Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

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To Tabitha, for making me realize how desperate we need to fight the HIV epidemic

ABSTRACT

From a clinical standpoint, there are many factors to consider when optimizing the care for people living with HIV (PLWH). With help from clinical guidelines, most obstacles can be addressed. Expanded knowledge is however in constant demand, from local conditions to universal processes. This thesis emerged from a demand for both clinical and virological data about the effect of antiretroviral treatment (ART) in Sweden. All data were derived from the national InfCareHIV database.

The current goal of ART is to achieve lasting suppression to < 50 HIV RNA copies/mL. Transient episodes of viremia up to 500 copies/mL, so-called viral blips, are not uncommon. We sought to investigate the clinical importance and outcome of this phenomenon. Through two large retrospective studies, **Paper I and IV**, we concluded that it is more common with blips in PLWH with higher baseline viral load and ART based on boosted Protease Inhibitors (PI). Blip incidence during Integrase Strand Transfer Inhibitors (INSTI) and Non-Nucleoside Reverse Transcriptase Inhibitor-based ART was lower at a similar level. In PLWH who reached HIV RNA suppression after initiating their first ART, blips were relatively common (10–20% of all participants) but not associated with an increased risk of virological failure.

Before the introduction of the INSTI dolutegravir, PLWH with resistance mutations to Nucleoside Reverse Transcriptase Inhibitors were often restricted to PI-based treatment. PIs are characterized by many drug interactions and often tolerability issues. **In Paper II**, 244 participants with either dolutegravir or traditional PI-based ART were retrospectively studied. Dolutegravir has pharmacological benefits and we concluded that it was an equivalent alternative.

Treatment recommendations are not affected by different levels of baseline viremia. Most clinical studies compare the outcome in participants with higher or lower than 100,000 HIV RNA copies/mL. Considerably higher levels of viremia are sometimes observed. In **Paper III**, we included 2,956 PLWH of whom 394 (13%) had baseline > 500k HIV RNA copies/mL. We found that participants with that high initial viremia needed longer time to reach viral suppression. Initial treatment with INSTIs was associated with faster viral decline. Higher baseline viral load was not associated with an increased risk of virological failure.

Keywords: HIV-1, antiretroviral therapy, transient viremia, viral blip, nucleoside reverse transcriptase inhibitor resistance, dolutegravir, baseline viral load, HIV RNA, virological failure

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SAMMANFATTNING PÅ SVENSKA

Det har nu gått fyra decennier sedan den globala hivepidemin upptäcktes och hiv har under dessa år orsakat att miljontals människor har mist livet. Sedan upptäckten har dock stora vetenskapliga framsteg gjorts och forskare har utvecklat effektiva läkemedel. Detta har gjort att den förväntade medellivslängden för personer som lever med hiv idag närmar sig den som hos dem utan sjukdomen. Hiv behöver tillgång till immunceller för att föröka sig och spridas. Genom behandlingen pressas virusnivåerna ner, vilket gör att det inte kan spridas till nya celler och immunförsvaret kan därmed återhämta sig.

Denna avhandling bygger på data från den unika nationella databasen InfCareHIV, där medicinska fakta från alla som lever med hiv i Sverige har samlats under många år. I delstudie I och IV undersökte vi s.k. blippar, ett fenomen där virusnivån plötsligt stiger trots pågående effektiv behandling. Vi upptäckte att dessa finns hos 10–20% av alla som lever med hiv i Sverige. Vi fann även att blipparna var vanligare hos personer som i början av behandlingen hade högre virusnivåer än genomsnittet, samt hos personer som behandlats med en läkemedelsgrupp kallad proteashämmare. Vi klargjorde att om man tar sina läkemedel som planerat så är blippar ofarliga och utgör med stor sannolikhet inte något tecken på att behandlingen kommer att sluta fungera på sikt.

Vanligen består hivbehandling av två s.k. nukleosidanaloger i kombination med ytterligare en medicin. I delstudie II undersökte vi om det nya läkemedlet dolutegravir fungerar hos personer med en variant av hiv där dessa nukleosidanaloger pga resistens hos viruset inte längre fungerar fullt ut. Vi upptäckte att kombinationsbehandling med dolutegravir fungerade lika bra som det tidigare behandlingsalternativet. En bonus med detta alternativ är att det både har färre biverkningar och interaktioner med andra läkemedel.

I delstudie III studerade vi hur behandlingsresultaten skiljde sig åt beroende på hur mycket virus man hade i blodet vid behandlingsstart. Efter att ha studerat data från nästan 3 000 personer som samlats in under 20 års tid fann vi att personer med mer än 500 000 viruskopior per ml blodplasma behöver längre tid för att nå behandlingsmålet jämfört med övriga. Därefter var behandlingsresultaten lika goda och man såg inte någon ökad risk för behandlingssvikt hos denna grupp.

Sammanfattningsvis fann vi att två relativt vanliga fenomen, blippar och höga virusnivåer vid behandlingsstart, är ofarliga. Vidare resulterade våra fynd i att personer med en viss sorts resistenta virus idag kan erbjudas en enklare men lika effektiv behandling.

LIST OF PAPERS

This thesis is based on the following studies, referred to in the text by their Roman numerals.

- I. Sörstedt E, Nilsson S, Blaxhult A, Gisslén M, Flamholc L, Sönnerborg A, Yilmaz A. Viral blips during suppressive antiretroviral treatment are associated with high baseline HIV-1 RNA levels. BMC infectious diseases. 2016 Dec 1;16(1):305.
- II. Sörstedt E, Carlander C, Flamholc L, Hejdeman B, Svedhem V, Sönnerborg A, Gisslén M, Yilmaz A. Effect of dolutegravir in combination with nucleoside reverse transcriptase inhibitors (NRTIs) on people living with HIV who have pre-existing NRTI mutations. International Journal of Antimicrobial Agents. 2018 May 1;51(5):733-8.
- III. Sörstedt E, Nilsson S, Nowak P, Treutiger CJ, Månsson F, Änghagen L, Gisslén M, Yilmaz A. Less than half of patients with chronic HIV-infection and baseline HIV- RNA > 500,000 copies/mL reach treatment goal of < 50 copies/mL within six months. Submitted manuscript.</p>
- IV. Sörstedt E, Nilsson S, Sönnerborg A, Svedhem-Johansson V, Treutiger CJ, Månsson F, Änghagen L, Berggren H, Gisslén M, Yilmaz A. Viral blips are more common in patients on antiretroviral therapy containing protease inhibitors in comparison to integrase inhibitors and non-nucleoside reverse transcriptase inhibitors – a retrospective nationwide study in Sweden 2007–2020. In manuscript.

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PREFACE

Tabitha was supposed to meet me at the small health clinic she had started a few years earlier in Kibera, a neighborhood in Nairobi's outskirts and the largest urban slum in Africa. Tabitha Atieno Festo, a widow and mother of four, struggled to make ends meet. She was a registered nurse but lacked employment and supported her family by buying and selling vegetables. One day she approached an American student, Rye Barcott, in Kibera for a research project. Tabitha convinced him that by investing \$26 in her small business, she could make enough money to succeed with her lifelong dream of opening a small health clinic in Kibera. Rye consented, and after just six months, she had raised enough money to start Rye's Clinic in a small house attached to her home. Her goal was to provide high-quality maternal care, which was not available in the community at the time. She also wanted to help patients with common infections like malaria, yellow fever and cholera, and started a home-based program for people living with HIV. Two years later, the clinic outgrew its first location and moved to a slightly larger facility nearby.

As a third year medical student, I had the opportunity to spend some time outside of the university in 2004. Through a mutual friend, I was put in contact with Rye, and the non-governmental organization called Carolina for Kibera (CFK) he had co-founded. With his aid, a monthlong internship at the clinic and the Kenyatta national hospital was arranged.

Tabitha was not at the clinic when I arrived. Claire, an American CFKvolunteer, told me Tabitha was not feeling well. Instead, I visited her in her home later that day. I arrived at the same time as a physician who came to examine her. Tabitha urged me to stay during the check-up to learn. She told me she was not used to being ill but was now suffering from a chronic wound. The doctor prescribed antibiotics, but her condition kept deteriorating. After a few days, she was admitted to one of the best hospitals in Nairobi. Soon after, Claire told me that Tabitha had been tested positive for HIV. Despite initiating antiretroviral therapy, she kept getting weaker, and after just a few weeks, Tabitha passed away. The tragedy of her passing, far too early, and leaving her children orphaned had a significant impact on me. I decided to continue her struggle to help patients in need and contribute so that we, someday, will be able to end the terrible HIV epidemic. This thesis is one small step on that journey.

Rye's clinic later changed its name to Tabitha's clinic. It still uses her motto, sacrificing for success, and has grown to serve more than 40,000 patients each year.



Tabitha Atieno Festo, 1962–2014 Image source: Wikimedia Commons

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ABBREVIATIONS

ART	Antiretroviral therapy				
DNA	Deoxyribonucleic acid				
DTG	Dolutegravir				
GSS	Genotypic Susceptibility Score				
INSTI	Integrase Strand Transfer Inhibitor				
IQR	Interquartile range				
MSM	Men who have sex with men				
NNRTI	Non-Nucleoside Reverse Transcriptase Inhibitors				
NRTI	Nucleoside Reverse Transcriptase Inhibitors				
PI	Protease Inhibitor				
PLWH	Person/People living with HIV				
RNA	Ribonucleic acid				
RT-PCR	Reverse transcriptase-based polymerase chain reaction				
VF	Virological failure				
VL	Viral load				

INTRODUCTION

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

1.INTRODUCTION

1.1 HIV-1 DEMOGRAPHICS

The human immunodeficiency virus (HIV) epidemic started 40 years ago when previously young and healthy gay men developed rare forms of cancer, pneumonia, and other opportunistic diseases in large cosmopolitan cities such as New York, Los Angeles, and San Francisco. In 1982, the term gay-related immune deficiency (GRID) was suggested for the syndrome. However, the term was changed to acquired immunodeficiency syndrome (AIDS) when the same symptoms also were noticed among heterosexual people with hemophilia (and others in need of blood products), people who inject drugs, immigrants from Haiti, and in children (1). It was not until later that it was understood that the virus had already been present for many years. Due to the long delay between transmission and symptom onset, the disease was already rapidly spreading in key populations (2).

In 1983–84, a novel retrovirus was isolated from lymph nodes in affected patients and suspected to be the causative agent for AIDS (3-5). A few years later, in 1986, it was finally named HIV (6).

Since then, HIV has caused one of the most devastating infectious diseases in humankind's history. At the end of 2019, 75.7 million people had tested positive for HIV, of which 32.7 million have died. Tuberculosis is the most common AIDS-defining disease and accountable for a third of all deaths. It is estimated that more than 38.0 million people are living with HIV, of whom 68% have access to antiretroviral treatment (ART) (85% in pregnant women). Among people living with HIV (PLWH) with access to ART, 88% are estimated to have reached viral suppression < 50 HIV RNA copies/mL. In total, 7.1 million people worldwide are predicted to be HIV positive without knowing it (7).

Although a global disease, HIV is still considerably more common in low-income settings. Two-thirds of all PLWH live in Sub-Saharan (Figure 1) (7). The majority of adult PLWH are women (55%) while children <15 years old represent 5% of all PLWH. In 2019, one-third of all new infections were in young people (15–24 years old) (7).

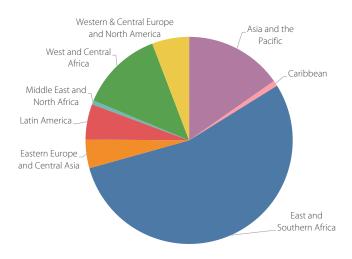


Figure 1. People living with HIV (all ages) by region. Data source: UNAIDS epidemiological estimates, 2020

HIV is transmitted across mucosal surfaces through sexual contact (worldwide primarily through heterosexual transmission) and vertical transmission from mother to child. It can also spread through direct contact with shared needles among people who inject drugs or via infected blood products. The infectiveness of HIV is highly dependent on access to ART. With modern ART, the risk of transmitting the virus to others is close to zero (8-10). The overall risk of contracting HIV is higher in certain key populations: 30 times higher for sex workers, 29 times for people who inject drugs, 26 times for men who have sex with men (MSM), and 13 times for transgender people (7).

Despite the grim numbers, there is also good news. Access to ART with few side effects is increasing, and through combined interventions the yearly incidence of people diagnosed with HIV has been reduced by 40% compared to 1998, the year when most new cases were diagnosed. AIDS-related deaths have also declined. Compared to the peak in 2004, the number of deaths has been reduced by 60% (7).

1.1.1 HIV IN SWEDEN

The Swedish epidemic is believed to have started in Stockholm among MSM in 1979 (11). The first case reports are from 1983, the same year AIDS was declared a public health hazard according to the Swedish Communicable Diseases Act (12).

Between 1983 and 2019, almost 13,500 people have tested positive for HIV in Sweden (L. Van Leest, The Public Health Agency of Sweden, personal communication, Dec 12, 2020). Out of these, 8,157 PLWH are presently receiving HIV-related health care in Sweden (InfCareHIV December 2020). The remaining individuals are either deceased or have emigrated. The overall Swedish HIV prevalence is thus low (0.08%). The majority of PLWH in Sweden are men (61%) and the median age is 49 years (IQR 40–57). Thirty-five percent of the population originates from Sweden, and 27% of all transmissions have occurred in Sweden. The most common transmission mode is through sexual contact (51% heterosexual, 32% MSM). The remaining infections with known routes of transmission have been acquired from sharing of injection drug preparation equipment (5%), vertical transmission from mother to child (3%), and infected blood products (1%).

In 2016, Sweden became the first country in the world to reach the 90-90-90 goal set by The Joint United Nations Programme on HIV/AIDS (UNAIDS) and the World Health Organization (WHO) (13, 14). The numbers represent three objectives; 90% of all PLWH shall be aware of their HIV status, 90% of these shall have access to ART, and 90% of these shall reach HIV RNA < 50 copies/mL (14).

The yearly HIV incidence has during the last decade been stable, with 400–500 new diagnoses per year. Sweden has one of the most diverse HIV subtype compositions outside Africa (15). This diversity is a consequence of migration and the fact that most new cases were diagnosed in another country before the arrival in Sweden. There has unfortunately also been a slow increase in transmission within Sweden in the last ten years (16).

1.2 HIV VIROLOGY

HIV is a virus from the *lentivirus* genus within the *retroviridae* family (17). It closely resembles the simian immunodeficiency virus (SIV), found in primates (18, 19). Phylogenetic studies have shown that it crossed over to humans in central Africa about a hundred years ago, most likely the consequence of monkeys being hunted for their meat (20).

There are two different kinds of HIV capable of causing disease in humans, HIV-1 and HIV-2 (21). HIV-1 is most common, responsible for the global HIV epidemic, the focus of this thesis and onwards referred to as HIV.

HIV-2 is mainly found in West Africa, less contagious, and requires a longer time to deplete patients' immune systems which eventually can also lead to AIDS (22).

HIV is further categorized into four genetically different groups (M, N, O, and P), resulting from separate zoonotic transfers (19, 23). Group M is the most widespread and the origin of the current epidemic (19). It is further categorized into subtypes A–K. Co-infections with more than one HIV subtype have resulted in about 20% of all PLWH having recombinant forms (24). The distribution of subtypes mirrors historical and current human migration. Recombinant forms are increasing, but subtype C is still the most frequent globally. It is most common in Sub-Saharan Africa and India, B is mostly found in Western Europe and America, and A predominates in eastern Europe and central Asia (25).

The HIV genome is located inside a cone-shaped nucleocapsid and stored in two copies of single-stranded HIV RNA with approximately 10,000 base pairs (Figure 2). The genome consists of nine genes: three major genes (*gag, pol, env*) encoding structural proteins and three necessary enzymes protease, integrase, and reverse transcriptase; and six genes (*rev, tat, vif, vpu, nef, vpr*) encoding proteins with regulatory function (26, 27).

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

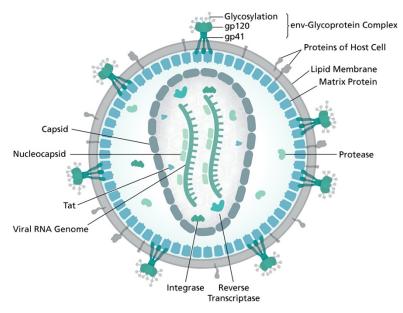


Figure 2. Schematic structure of the HIV-1 virion. Image source: Wikimedia Commons. By: Thomas Splettstoesser (www.scistyle.com)

HIV infects leukocytes that express CD4 receptors and CCR5 or CXCR4 co-receptors on their surface, i.e., CD4⁺ T lymphocytes, monocytes, microglia, astrocytes, macrophages, and dendritic cells. The HIV virion attaches to these receptors through a surface glycoprotein complex of gp120 and gp41 that brings the virus closer to the cell and eventually fuses with the cell surface membrane (28, 29). The viral enzyme reverse transcriptase (RT) attaches to the released RNA-strands. It first converts the HIV RNA to a complementary single-stranded DNA followed by a second strand, resulting in doublestranded DNA. After this, the viral enzyme integrase binds to the DNA molecule and transfers it to the cell nucleus, integrating the viral genome into the DNA of the cell. At this stage, the chronic HIV infection is established.

The cell can either remain in a latent state or start transcription through the human enzyme DNA transcriptase. The last process continues with the transcription of the integrated HIV provirus into mRNA from the viral DNA. Some of these mRNA relocate to ribosomes and get translated to surface glycoprotein complexes and

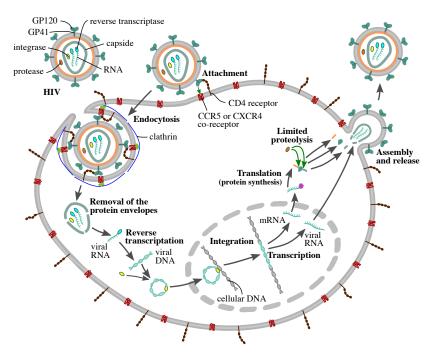


Figure 3. The replication cycle of HIV-1. Image source: Wikimedia Commons. By Jmarchn

viral polyproteins. After migrating to the cell surface, polyproteins and untranslated HIV RNA-strands are assembled and bud off from the host cell. The last step to produce infectious virions involves cleaving of the polyproteins by the enzyme protease to produce functional enzymes like integrase and reverse transcriptase (Figure 3) (27).

Each virion can make up to 10,000,000 copies of itself in one day, and each CD4⁺ infected cell can produce thousands of new viruses. The cells eventually die, either from bursting by HIV-induced cytolysis or by pyroptosis. The latter inflammatory reaction leads to cell death, possibly triggered when the number of non-integrated DNA-copies becomes too many. The dead cell releases inflammatory cytokines that might attract more T-cells that also get infected, resulting in a vicious circle (27, 30).

1.3 NATURAL COURSE OF HIV

The HIV infection is usually established close to the mucosal surface where the first virion entered the body. During the first couple of weeks, the viral amplification continues and antigen-presenting cells transport the virus to the draining lymph node. The infection is still asymptomatic, and no HIV RNA can be detected in the blood. Within two to four weeks post-transmission, the infection spreads systemically through the body. About 50–65% of infected persons develop flu-like symptoms with fever, sore throat, lymphadenopathy, myalgia, and rash (31, 32). At this stage, referred to as primary HIV or acute HIV syndrome, very high viral loads can be measured in the blood. Simultaneously, the immune system is responding to the infection with antibodies that bind to viral proteins and CD8⁺ T cells recognizing HIV-antigen presented by MHC class I molecules on infected cell surfaces (33).

After an additional few weeks the symptoms resolve and viral load decreases (34). The infection enters a new phase referred to as chronic HIV or clinical latency phase. Viral amplification and CD4⁺ T cell depletion continue but are balanced by the immune response. The viral load reaches a constant setpoint that varies greatly between individuals, from < 50 to > 1,000,000 copies/mL (35, 36). Over time, the amount of CD4⁺ T cells gradually declines, and immune responses consequently deteriorate. The previous asymptomatic person starts developing severe opportunistic viral, bacterial or fungal infections and risks different cancer forms when the CD4 count drops to < 200 x10⁶/L. This represents the development of AIDS. This is the last phase of the untreated infection and starts on average ten years post-transmission (Figure 4) (36).

Erik Sörstedt

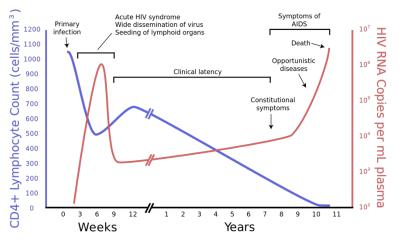


Figure 4. Untreated HIV course. Image source: Wikimedia Commons. By: EternamenteAprendiz

1.4 ANTIRETROVIRAL THERAPY

During the first years of the HIV epidemic, only symptomatic and supportive treatments were available. PLWH suffered from stigma, mental and physical distress, and mortality was very high.

In 1987, zidovudine was introduced as the first antiretroviral drug. It inhibits RNA transcription by incorporating into the complementary single strand DNA, which results in blocking RT from linking additional nucleotides from the cytoplasm. Consequently, natural nucleotides can no longer be added to the growing DNA chain, and the reverse transcription is ended. It was the first drug in a class called Nucleoside Reverse Transcriptase Inhibitors (NRTIs). After initially promising results, it was soon realized that the treatment effect was transient.

In 1996, two new drug classes were introduced: Non-Nucleoside Reverse Transcriptase Inhibitors (NNRTIs) that inhibit RT but in a non-competitive manner, and Protease Inhibitors (PIs) that inhibit the cleavage of viral polyproteins into functioning enzymes. The gamechanging concept of highly active antiretroviral therapy (HAART) was introduced the same year. By combing \geq three drugs from \geq two classes, a durable effect was finally achieved (37-39). For PLWH with access to these drugs, viral load decreased and the immune system could slowly start to recover. Clinical trials and mathematical studies confirmed the positive results and for a while there was hope that by using HAART long enough, HIV would finally be eradicated. The combined treatment suppressed the viral load to undetectable levels, but viremia always returned when treatment was discontinued. With the discoveries that HIV also infects long-lived cells like microglia and that it can persist for a very long time in a latent stage, it was evident that life-long treatment was needed (40-42).

Since then, four new drug classes have been introduced. Integrase Strand Transfer Inhibitors (INSTIs) prevent viral DNA from becoming integrated into the human DNA and thus prevent viral amplification. Fusion inhibitors, co-receptor antagonists and post-attachment inhibitors are all designed to block the virion's entry into the host cell. These last three drug classes remain rarely used in clinical practice.

The combination of antiretroviral drug treatment strategy has been unchanged since 1996. However, since then, pharmacodynamic and pharmacokinetic advances and fixed-dose combinations have led to fewer pills per day, less drug interactions and fewer side effects. According to current treatment guidelines, first-line treatment should consist of two NRTIs and one core agent, either an INSTI, PI, or NNRTI (43). As of December 2020, an array of 20 different ARTs from 5 drug classes are available in Sweden: 6 NRTIs, 5 NNRTIs; 4 PIs; 4 INSTIs, and one entry inhibitor (Table 1).

The current treatment goal is durable suppression < 50 HIV RNA copies/mL (43). This threshold used to be the lower limit of detection but has also proved to be a reliable treatment target. The recommendations on when to initiate ART have varied over the years. The initial strategy of "hit hard and early" was replaced by "wait as long as possible" due to troublesome side effects. In 2015, the influential START-study demonstrated that treatment initiation in PLWH with a CD4⁺ cell count \geq 500 x10⁶/L in comparison to < 350 was significantly associated with lower morbidity and mortality (44). Since then, immediate treatment initiation is once again recommended.

The definition of treatment failure varies between countries. In Sweden, the current recommendation for when to suspect failure is in

PLWH who, six months after treatment initiation, have repeated HIV RNA > 200 copies/mL (43).

Class	Group	Generic name	Abbreviation	Trade	Year of		
				name	approval		
Reverse transcriptase inhibitors							
	Nucleoside	analogues (NRTIs)					
		abacavir	ABC	Ziagen	1998		
		emtricitabine	FTC	Emtriva	2003		
		lamivudine	3TC	Epivir	1995		
		tenofovir alafenamide	TAF	Vemlidy	2015		
		tenofovir disoproxil	TDF	Viread	2001		
		zidovudine	AZT/ZDV	Retrovir	1987		
	Non-nucleo	side analogues (NN	IRTIs)				
		efavirenz	EFV	Stocrin	1998		
		nevirapine	NVP	Viramune	1996		
		etravirine	ETR	Intelence	2008		
		rilpivirine	RPV	Edurant	2011		
		doravirine	DOR	Pifeltro	2018		
Protease inhibitors (Pls)							
		atazanavir	ATV	Reyataz	2003		
		darunavir	DRV	Prezista	2006		
		lopinavir	LPV	Kaletra	2000		
		ritonavir*	RTV	Norvir	1996		
Integrase strand transfer inhibitors (INSTIs)							
		bictegravir	BIC	**	2018		
		dolutegravir	DTG	Tivicay	2013		
		elvitegravir	EVG	Vitekta	2015		
		raltegravir	RAL	lsentress	2007		
Entry inhibitor							
	for boosting othe	maraviroc	MVC	Celsentri	2007		

Table 1. Antiretroviral drugs currently used in Sweden

*only used for boosting other drugs

**Single-drug treatment not available

1.5 HIV DRUG RESISTANCE

Unlike human DNA transcriptase, viral RT lacks proofreading, which is the most important factor to why the mutation rate in HIV is extremely high $(1-2 \times 10^5 \text{ mutations per base and day})$ (45-47). In combination with the rapid amplification rate, HIV has an enormous capacity for genetic diversity. The heterogeneous HIV pool is one of the reasons why there is still no HIV-vaccination, as surface proteins targeted by the vaccines are in constant evolution. It is also the reason why monotherapy with ART quickly fails. If a mutation successfully modifies the binding site of a drug, the molecule can no longer attach, and viral suppression is immediately stopped.

Acquired drug resistance in PLWH during ART is very uncommon. As the amplification process is blocked, no new mutations can occur. However, amplification can proceed in PLWH with poor adherence, treatment interruptions or drug-interactions that lead to sub-optimal drug levels. At subtherapeutic drug concentrations, resistant clones will enrich until the resistance is fully established.

The risk of developing drug resistance varies as drugs have different genetic barriers, i.e., how many mutations are required for resistance to develop (48). HIV subtypes can also influence the future risk of resistance (49). Drug resistance can affect either a single drug or cause cross resistance for an entire drug class (50). As is often the case with NRTIs, a mutation can also make some drugs less effective while others are potentiated. This discovery can be used to our advantage when designing personalized ART-regimens (50, 51).

Drug resistance can also occur by transmitted resistance. When someone with acquired resistance transfers the mutations to a naïve population it can influence many people's treatment outcomes. As some mutations come at a fitness cost for the virus, if the individual is not exposed to the specific drug there is a chance that the mutation will perish. The persistence varies between different mutations. Resistance has been observed to all drug-classes, but the frequency differs significantly due to the genetic barrier. For example, PI-resistance is still uncommon (52). High genetic barriers have, in recent years, made therapies with only two drugs possible. So far, no treatments based on a single drug have been successful, although it has been suggested as an option for PLWH switching from an initial treatment after having been successfully suppressed (53).

1.6 HIV RESERVOIRS

Despite the use of effective ART, with the introduction of more sensitive PCR assays, it has been shown that persisting low-level viremia (LLV) (HIV RNA 1-3 copies/mL) is common (54-58). As HIV RNA-strands in plasma have a half-life of six hours, the detectable virus does not reflect pre-ART virus (59). Instead, it is believed that the rate of HIV RNA decay after treatment initiation mirrors the life span of previously infected CD4⁺ cells. Four stages are identified in the ART-dependent viral decline (Figure 5). The first stage is believed to represent the population of activated T cells. The second stage, with a slightly slower decay, is hypothesized to originate from macrophages. The third phase is considerably slower, with a half-life of 9–15 months. After that, the fourth stage enters where HIV RNA continues to be detectable between 1–3 copies/mL. This phenomenon is often referred to as residual viremia. It is speculated that both the third and fourth phases represent viruses released by latently infected CD4⁺ T cells (60, 61).

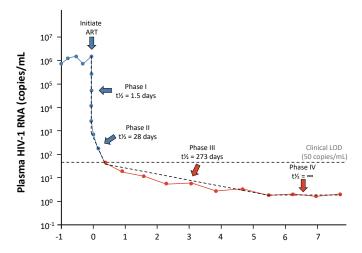


Figure 5. Dynamics of HIV RNA decay after treatment initiation. Blue lines indicate HIV RNA > 50 copies/mL measured with conventional PCR. Red lines indicate low-level viremia detected with ultrasensitive assays and dotted lines a theoretical decay slope. Image source: Curr HIV/AIDS Reports 2012; 9:91-100. Reprinted with permission from Springer Publishing

1.6.1 LATENCY

As described in section 1.3, infected cells can harbor incorporated proviral DNA without replicating. Latent infections also exist in a preintegrated form, where HIV RNA, transformed to DNA, has entered but is not integrated into inactive lymphocytes (62). However, since unintegrated DNA strands have a half-life of about 1–5 days, this has no significant impact (63-65). Post-integrated viral DNA, on the other hand, can persist much longer. If incorporated in a long-lived resting memory CD4⁺ memory T cell or possibly in hematopoietic progenitor cells, it can remain unaffected by ART for a very long period of time. Upon activation, HIV replication can resume decades later, representing a significant obstacle for HIV eradication (40-42, 60, 64, 66-68). A possible solution would be if inactive cells could be stimulated into replication, which would facilitate ART. A previous study tried to use intravenous immunoglobulins with promising results (69), but the effect was unfortunately only transient (70).

The current understanding is that the reservoir of long-lived memory CD4⁺ T cells is established early in the infection (71). The size of the reservoir, as measured by HIV DNA, has been shown to correlate with how prompt ART was initiated and the level of residual viremia (72, 73). A more extensive reservoir also correlates to faster viral rebound after treatment interruptions (74). It is worth noting that the use of HIV DNA as a surrogate marker for the reservoir is debated. As the integrated DNA does not always contain the entire provirus genome, there is a risk of misjudging the results. Several studies have found that only about 10% of integrated proviruses that persists during ART are capable of producing mature and infectious virus (75-77).

1.6.2 SANCTUARY SITES

Much effort has been put into understanding if LLV is a consequence of ongoing replication in specific anatomical compartments not accessible by ART. Gut-associated lymphoid tissue and the central nervous system have been proposed as sanctuary sites where viral amplification might continue (78-82). HIV RNA/DNA during suppressive ART have also been found in lymph nodes, adipose tissue and in the urethra (83-86). Intensified treatment with additional drugs to target ongoing replication has not convincingly reduced LLV, suggesting that continuous replication is not the reason for this phenomenon (87-89). Also, with some exceptions from small studies in the early ART-era (61, 90), most studies to date have not found convincing evidence of viral evolution, which would have been expected in case of continuous replication (40, 71, 91-93).

1.6.3 VIRAL BLIPS

Case series that described transient episodes of detectable HIV RNA > 50 copies/mL despite effective ART were first published around the turn of the millennium (55, 90, 94, 95). To my knowledge, the retrospective study by Havlir et al in 2001 is the first scientific paper to define these intermittent episodes of viremia as blips (96). Since then, viral blips in plasma have been investigated in several both prospective and retrospective studies. The term viral blip is similarly used for episodes of detectable low-level viremia in cerebral spinal fluid (97, 98). Blips are also described in other infectious diseases caused by other viruses like cytomegalovirus (99), hepatitis B (100) and C (101).

In a prospective study by Nettles et al 2005, 10 participants were followed with blood samples drawn every 2–3 days for 3–4 months. Blips were found in nine of ten participants with a median duration of < 3 days and a median amplitude was 79 HIV RNA copies/mL (102). More recent studies, with less frequent sampling, have found blips to occur in 13–40% of all participants (96, 103-108). However, since PCR assays and blip definitions vary between studies, both incidence and amplitudes are difficult to compare.

The origin of blips is not known. It has been hypothesized to be a result of intermittent activation of latent CD4⁺ T cells (109, 110), random biological fluctuations (102), assay variations (111), low adherence (102, 112), or emerging drug resistance (113, 114).

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

AIMS

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

2. AIMS

The overall aim of this theses was to study the clinical and virological response in PLWH after initiating ART through analysis of the unique Swedish InfCareHIV database.

The specific aims were:

- I. To define the incidence and clinical importance of viral blips in PLWH and to identify potential predictive factors for their occurrence
- II. To investigate if dolutegravir is an effective treatment option in PLWH with known NRTI-resistance
- III. To assess how baseline HIV RNA > 500,000 copies/mL affects treatment outcome with modern ART
- IV. To analyze blip incidence in the entire national cohort of PLWH, with particular focus on INSTIS

PATIENTS AND METHODS

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

3. PATIENTS AND METHODS

3.1 PATIENTS AND STUDY DESIGN

All participants in this thesis are adult PLWH that receive health care and treatment at one of the 30 Swedish HIV clinics.

Due to the low HIV prevalence in Sweden, and the objective to compare large samples of the Swedish cohort of PLWH, some of the different papers' participants are inevitably included in more than one study (Figure 6).

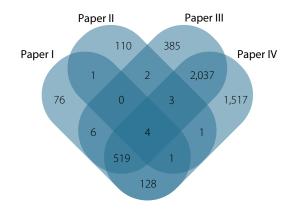


Figure 6. Venn diagram illustrating participant overlap between studies. Each Paper is represented by an oval. The area is not proportional to the number of participants

All results in this thesis are based on retrospective data. No additional blood samples needed to be drawn or analysed. The Regional Ethics Review Board in Gothenburg has approved all four included studies (Dnr 532-11).

PAPER I

In this study, all treatment-naïve participants receiving health care in the five largest HIV clinics in Sweden were eligible for inclusion. This cohort represented about two-thirds of PLWH in Sweden. The study started \geq six months after ART was commenced when the second HIV RNA sample < 50 copies/mL was registered (Figure 7).

The inclusion period (2007 – 2013) was chosen to ensure that the conditions between the clinics were as similar as possible. All HIV RNA samples had to be collected in ethylenediamine tetraacetic acid test tubes and analyzed with the same HIV RNA quantification method: version 1 or 2 of COBAS TaqMan HIV-1 technique (CAP/CTM1/2; Roche, Molecular Systems, Branchburg, NJ, USA).

The definition of blips was chosen to be in line with what most other studies were using at that time. The definition of virological failure (VF) was also selected in accordance with the majority of previously published studies.

To limit the possibility of blips due to suboptimal treatment, only participants with ART consisting of \geq 3 components were allowed. ART also had to consist of 2 NRTIs and \geq 1 core agent, in most cases PI or NNRTI. INSTIS were not commonly used at the time and thus only included in a few cases.

9) InfCareHIV
	Exclusion criteria
 HIV-1 positive ≥ 18 years at treatment initiation Treatment naïve Treatment ≥ 6 months ≥ 2 HIV RNA samples < 50 c/mL. 2 NRTIs + ≥ 1 core agent according to treatment criteria (below) PLWH receiving care in Malmö, Göteborg or Stockholm Treatment initiation after the introduction of COBAS TaqMan PCR assays and EDTA test tubes. 	 HIV-2 positive < 18 years at treatment initiation Treatment experienced Treatment < 6 months < 2 HIV RNA samples < 50 c/mL Treatment initiation before the introduction of COBAS TaqMan and EDTA test tubes Primary virological failure Lack of baseline data Event dependent exclusion Treatment interruption > 1 month
	Secondary virological failure
Definitions	ART with < 3 drugs
Viral blips 1 sample with HIV RNA 50 – 500 c/mL preceded and followed by HIV RNA <50 c/mL. Two samples within 6 weeks are interpreted as one, highest RNA registered Primary VF No samples < 50 c/mL Secondary VF Previously supressed participants with 1 HIV RNA > 500 c/mL or 2 consecutive samples > 50 c/mL	Content of the following Content of the follo
 Collected data Baseline data Number of blips Number of transient episodes of HIV RNA 20-50 c/mL Number of HIV RNA < detection limits Number of participants with primary and/or secondary VF ART-composition for each HIV RNA sampling Adherence data from questionnaire Resistance tests at baseline and within 1 year from secondary VF or a blip Treatment interruptions (< 1 month paused all data recorded for 6 months) 	NNRTIs efavirenz nevirapine etravirine PIs atazanavir darunavir fosamprenavir indinavir lopinavir nelfinavir saquinavir tipranavir Others raltegravir enfuvirtide maraviroc

Figure 7. Study design, Paper I

PAPER II

For this study, all PLWH in Sweden with baseline NRTI drug resistance (defined by the Stanford University HIV Drug Resistance Database) and dolutegravir-based ART (50 mg once daily) with 1–2 NRTIs \geq 1 month were eligible for inclusion. Combinations with dolutegravir and other core agents were not allowed.

NRTI resistance was evaluated using the Genotypic Susceptibility Score (GSS), a theoretical model of expected treatment effect in relation to drug resistance mutations and choice of ART. From an online resistance interpretation guide at the Stanford University HIV Drug Resistance Database (115), a number between 0–1 was calculated for each drug as an indicator of expected drug susceptibility (Table 2).

Table 2. Genotypic Susceptibility Scores

Drug susceptibility	Score
Susceptible	1
Potential low-level resistance	0.75
Low-level resistance	0.50
Intermediate resistance	0.25
High-level resistance	0

As dolutegravir was a novel drug and studies with documented cases of INSTI resistance at the time were very few, dolutegravir was considered to have full susceptibility, i.e., GSS 1. Participants with a combined GSS 1–2.5, and controls matched according to GSS and treatment duration were included. Both treatment-experienced and naïve PLWH were eligible for inclusion.

Participants with HIV RNA > 200 copies/mL in their last available blood sample or that never reached < 50 copies/mL were considered to have VF (Figure 8).

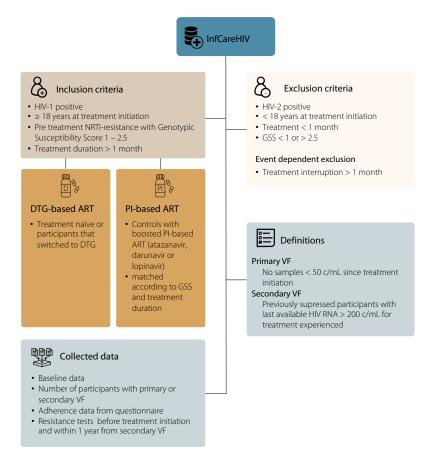


Figure 8. Study design, Paper II

PAPER III

In the third study our aim was to compare the treatment outcome in PLWH with different levels of baseline viremia. Participants were categorized into four groups depending on baseline HIV RNA: < 100k, 100–500k, 500–1,000k, and > 1,000k copies/mL.

The focus of this paper was participants with chronic infection. Participants with primary HIV infections with separate viral dynamics were consequently excluded. To make sure all participants were treatment naïve, those with baseline viral load < 1,000 HIV RNA copies/mL were also excluded.

The study period lasted from 2000 to 2018 and was chosen to secure effective ART with three components (Figure 9). Treatment during the first year was restricted to two NRTIs, with either abacavir or tenofovir as one component. The core agent could be a NNRTI, boosted PI, or an INSTI. After the initial year, any treatment combinations were allowed. Consequently, many individuals with older, potentially less effective NRTIs, and unboosted PIs were excluded.

Primary VF was defined as not reaching viral suppression < 50 HIV RNA copies/mL within one year after ART initiation. Secondary VF was registered in participants with previously registered HIV RNA level < 50 copies/mL treated with ART for \geq 1 year and who developed \geq 1 HIV RNA > 1,000 copies/mL or \geq 2 consecutive samples > 200 copies/mL. To clearly differentiate between primary and secondary VF, participants could not be registered with both of these entities.

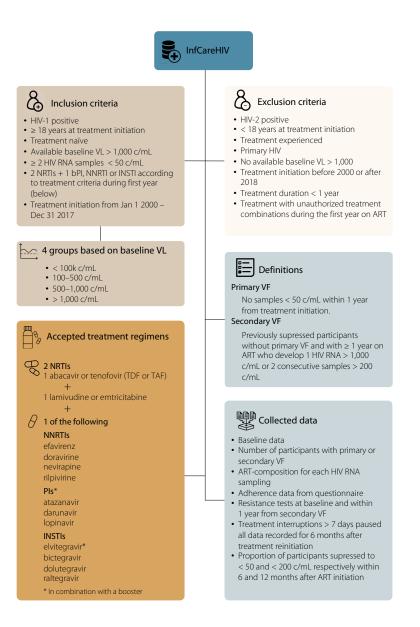


Figure 9. Study design, Paper III

PAPER IV

This study sought to mirror the real-life setting in HIV clinics, where the recommended ART and HIV RNA quantification methods change over time. Due to the very limited use, it was not possible to draw any conclusions from the participants with INTIs in Paper I. We thus wanted to focus on participants with this treatment in this study.

The inclusion period started in 2007, which is the year INSTIs were introduced as a new treatment option. Participants from all Swedish HIV clinics were eligible. As in Paper I, participants were obliged to be treatment-naïve and the observation period started after viral suppression was achieved.

To make sure participants were receiving effective ART, the accepted treatment options were even more limited compared to Paper I. Only one core agent was allowed, i.e., NNRTI, INSTI or boosted PI. The NRTI component had to consist of two drugs, either abacavir or tenofovir, each in combination with either lamivudine or emtricitabine (Figure 10).

Another difference from Paper I was that the definition of VF was changed. Paper III concluded that the initial treatment response takes a longer time than was previously known. Thus, the adapted definition of VFs used in Paper III was also used in Paper IV.



Inclusion criteria

- HIV-1 positive
- ≥ 18 years at treatment initiation
- Treatment naïve
- Treatment \geq 6 months
- ≥ 2 HIV RNA samples < 50 c/mL
- 2 NRTIs + 1 bPI, NNRTI or INSTI according
- to treatment criteria (below) • Treatment initiation after 2007
- rieatment initiation alter 20



Viral blip

1 sample with HIV RNA 50–500 c/mL preceded and followed by HIV RNA < 50 c/mL

Two samples within 6 weeks gets interpreted as one, highest RNA registered

Primary VF

No samples < 50 c/mL within 1 year from treatment initiation

Secondary VF

Previously supressed participants with 1 HIV RNA > 1,000 c/mL or 2 consecutive samples > 200 c/mL

Collected data

- Baseline data
- Number of blips
- Number of transient episodes of HIV RNA 20–50 c/mL
- Number of HIV RNA < detection limits
- Number of participants with primary and/or secondary VF
- ART-composition for each HIV RNA sampling
- Adherence data from questionnaire
- Resistance tests at baseline and within 1 year from secondary VF or a blip
- Treatment interruptions (< 1 month paused all data recorded for 6 months)

Exclusion criteria

- HIV-2 positive
- < 18 years at treatment initiation</p>
- Treatment experienced
- Treatment < 6 months
- < 2 HIV RNA samples < 50 c/mL
- Treatment initiation before 2007

Event dependent exclusion

- Treatment interruption > 1 month
- Secondary virological failure
- Treatment initiation with unauthorized treatment combinations

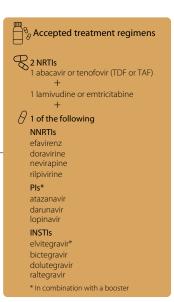


Figure 10. Study design, Paper IV

3.2 INFCAREHIV

Due to a demand for a more effective way to summarize patient's medical history, InfCareHIV was created as a collaboration between Health Solutions AB, Sahlgrenska and Karolinska University hospitals in 2003. InfCareHIV serves as a combined quality assurance, decisions support, clinical and research database, and has since 2008 become a national registry. From 2009 it is considered to have national coverage, and > 99% of all PLWH are registered. It is validated through both a quality index and external processes by IBM Research Haifa, the Mac Planck Institute of Bioinformatics, and from 2011–2015 the Cohere database quality assurance system (HIV Cohorts Data Exchange Protocol).

Data have been added retrospectively from the early 1980s. Thus, InfCareHIV contains almost four decades of relevant demographic, epidemiological, treatment and laboratory data. Patients also regularly fill in a validated questionnaire with questions concerning self-assessed health, treatment satisfaction and adherence (116). The database is a unique and valuable source for researchers who may gain access after permission from the steering committee (117).

3.2.1 DATA EXTRACTION AND SORTING

All data in this thesis are derived from InfCareHIV. Data can be extracted through visual inspection of one patient at a time, through a research section where different parameters can be specified, which renders MS Excel sheets with the desired information. It is also possible to download parts of the database to MS Access.

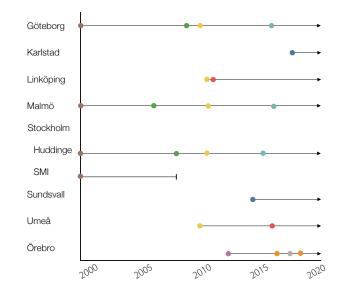
In Paper I, data were initially collected by visual inspection before switching to computer-aided data handling with the help of a statistician. In Paper II, the smaller group of participants included was manageable in MS Excel. For Paper III and IV, the study populations and related data were considerably larger, and it became apparent that a new method had to be applied. A relational database with anonymized data was established in Filemaker Pro 18 Advanced (FileMaker, Inc., Santa Clara, CA, USA). After acquiring knowledge regarding how to write scripts for data sorting, the management of large data sets was much more manageable.

3.3 HIV-1 RNA QUANTIFICATION

HIV-1 RNA quantification based on RT-PCR started in Sweden in 1994 at Sahlgrenska and Karolinska University Hospitals (formerly Huddinge University Hospital). Through the years, different commercially available tests have been in use (Figure 11). The main differences between these tests are the detection limits, e.g., the lowest and highest levels of detectable HIV RNA in plasma, and the amount of manual labour involved in the process.

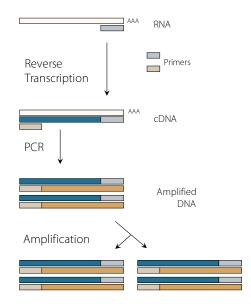
The quantification process starts with the enzyme RT that converts HIV RNA to complementary single-strand DNA. After this, either manually or by machine, cell-free plasma is combined with a PCR Master mix that contains nucleotides, another enzyme called Taq polymerase enzyme, HIV-1 specific primers, probes that emit fluorescent signals for each duplication, and nuclease-free dilution fluids. Through thermal cycles, the multiplying chain reaction starts with annealing, which causes the DNA primers and probes to adhere to specific parts of the single-strand DNA. In the next step called extension, heat resistant TAQ-polymerase start at the primer and add nucleotides to convert the single-stranded DNA to double-stranded DNA. In the last step called denaturing, the DNA double helix gets separated into two single-stranded DNA copies before the process starts over again (Figure 12). Thus, for every cycle, the amount of DNA doubles, resulting in an exponential amplification.

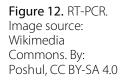
Lastly, to quantify the viral load, the number of PCR cycles required to reach the lower detection limit of the PCR machine is compared to the slope and intercept of a standard curve, i.e., the number of cycles required for a series of HIV RNA samples with set concentrations that were amplified together with the patient samples.



Test	Lower detection limit*	Upper detection limit*
 Abbott RealTime HIV-1 	40	10,000,000
 Aptima HIV-1 quant dx assay 	30	10,000,000
 COBAS 4800 HIV-1 test 	20	10,000,000
 COBAS 6800 HIV-1 test 	20	10,000,000
 COBAS AmpliPrep/COBAS TaqMan HIV-1 test v 1.0 	40	10,000,000
 COBAS AmpliPrep/COBAS TaqMan HIV-1 test v 2.0 	20	10,000,000
 COBAS® AmpliPrep/COBAS® TaqMan® HIV-1 Test 	48	10,000,000
Roche Amplicor HIV-1 Monitor Assay	400	750,000
 Veris HIV-1 assay 	35	10,000,000
		*copies/mL

Figure 11. HIV-1 RNA quantification assays in use in Sweden between 2000 and 2020. The availability of these methods during this period and the number of annual analyses between the laboratories differs significantly. Data received through correspondence with all laboratories.





Today, commercially available PCR assays have a lower quantification threshold of 20 HIV RNA copies/ml (118). Manual assays with ultracentrifugation provide even higher sensitivity and can detect single copies of HIV RNA (54, 119). New assays are rigorously tested for sensitivity and specificity and compared to other assays with concordance analysis (104, 118, 120). In general, a deviation of > 0.5 HIV RNA log₁₀ is considered significant (B. Svennerholm, Department of Clinical Virology, Sahlgrenska University Hospital, personal communication, Dec 9, 2020).

3.4 DRUG RESISTANCE TESTS

To avoid ineffective treatment, most HIV treatment guidelines recommend HIV resistance testing before initiating ART. Testing is equally important if there are signs of treatment failure (121). HIV drug resistance can be determined with phenotypic or genotypic tests. Phenotypic tests involve *in vitro* testing to analyze what effect the mutation will have on the virus on different drugs at different concentrations. This test is however not used much today. Genotypic tests, which are most commonly used, focus on the HIV RNA/DNA sequence and list the corresponding amino acids. An algorithm like Stanford University HIV Drug Resistance Database is then used to compute drug susceptibility.

During the inclusion time for the studies in this thesis, all tests were performed through genotypic resistance tests with population-based Sanger assays of the HIV *pol* gene. In the coming years, this technique is predicted to be replaced by next-generation sequencing, with which the entire HIV genome can be analyzed. Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

RESULTS

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

4. RESULTS

4.1 PAPER I & IV

This thesis includes two studies investigating viral blips in PLWH in Sweden (Table 3). In the first study, 735 participants who started ART between 2007 and 2015 met the inclusion criteria. Of these, 76 (10.3%) developed blips. The median follow up time was 3.3 years (range 1.9– 4.6). Blip incidence per 100 person years was 3.0 (CI 95% 2.3–3.7).

The second study (Paper IV) included 4,210 participants who started ART between 2007 and 2020; median follow up time was 5.5 years (range 0.5–13.7). With the same blip definition, 853 participants (20.3%) had viral blips. Blip incidence per 100 person years increased to 4.4 (CI 95% 4.0–4.7).

	Paper I		Раре	Paper IV	
-	Participants without blips	Participants with blips	Participants without blips	Participants with blips	
№ of participants [%]	659 (89.7)	76 (10.3)	3,357 (79.7)	853 (20.3)	
№ of samples [%]	3,729 (83.8)	720 (16.2)	42,500 (70.8)	17,566 (29.2)	
№ samples/participant [median (IQR)]	5 (2–8)	9 (7–12)	10 (6–16)	17 (12–24)	
Observation time, years [median (IQR)]	3.0 (1.8–4.4)	4.5 (3.7–5.1)	5.0 (2.5–7.8)	7.9 (5.2–10.6)	
Baseline HIV RNA log10 copies/mL [median (IQR)]	4.6 (3.9–5.0)	4.9 (4.3–5.2)	4.5 (3.5–5.1)	4.9 (4.3–5.4)	
Primary virological failure [%]	excluded	excluded	394 (11.7)	73 (8.6)	
Secondary virological failure [%]	21 (3.2)	6 (7.9)	321 (9.6)	66 (7.7)	

Table 3. Characteristics of participants in Paper I and IV*

A total of 90 blips were registered in Paper I and 1,180 in Paper IV. Most of the blips registered were < 200 copies/mL: 87% in Paper I and 89% in Paper IV (Figure 13).

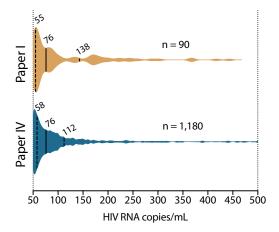


Figure 13. Violin plot depicting all registered blip amplitudes. Wider portions of the diagram translate into the number of samples with corresponding HIV RNA values on the x axis. Vertical lines represent median and IQR.

In both studies, baseline HIV RNA levels were higher in participants with blips than in participants with sustained viral suppression: median 4.9 log₁₀ and 4.6 log₁₀ in Paper I, and 4.9 log₁₀ and 4.5 log₁₀ in Paper IV, respectively (Figure 14).

Blip incidence varied significantly during the inclusion periods. In Paper I, most registered blips occurred during the first two years after the study initiation. However, after comparing years on ART with calendar years, the latter was found to be the most influential factor.

More blips were registered between 2008 to 2011 than during the following years. The same trend with declining blip incidences was observed in Paper IV. However, from 2014 the incidence increased again with a new peak in 2017 (Figure 15). The median time until the first blip was 625 days (IQR 452–814) and 946 (IQR 589–1,739) for Paper I and IV, respectively.

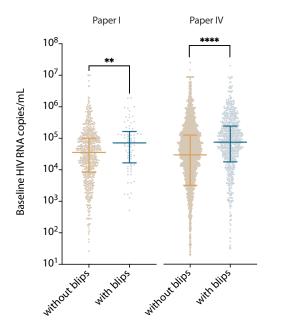
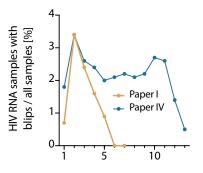


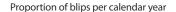
Figure 14. Scatter plots illustrating all included participants without and with viral blips in Paper I and IV. Horizontal bars indicate median and IQR **HIV RNA baseline** levels. Statistical differences between the groups were calculated using two-tailed Mann-Whitney U-test.

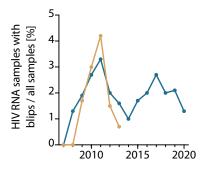
In both Paper I and IV, PI-based treatment at the time of blip occurrence was most common. Blip frequency was comparably lower in INSTI- and NNRTI-based ART (Figure 3, Paper IV). Secondary VF was defined differently in the two studies; in Paper I, VF could be registered six months earlier and at lower HIV RNA levels than in Paper IV. The association between viral blips and VF also differed, with an increased risk of subsequent failure in Paper I and the opposite result in Paper IV.

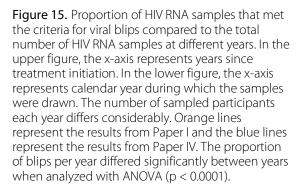
In both studies, participants with blips were followed for longer periods and had more available HIV RNA samples than participants with continuous viral suppression. In Paper I, HIV RNA samples in participants with blips were drawn with shorter intervals compared to other participants; median 178 days (IQR 144–206) and 217 days (IQR 176–285) respectively (p < 0.001). In Paper IV, the opposite occurred: median 150 days (IQR 116–174) in participants without blips (p < 0.05).



Proportion of blips per year since treatment initiation







4.2 PAPER II

Through InfCareHIV, two groups with 122 participants each were identified (Table 4). All participants had 1–7 pre-treatment NRTI mutations with median (IQR) GSS 1.5 (1.5–2.0). The first group had DTG-based ART and the second, matched according to GSS and observation time, had boosted PI-based ART. All participants were ART experienced since no treatment-naïve participants with DTGbased ART who matched treatment inclusion were identified. However, all participants in the first group were naïve to DTG. Twenty-six (21.3%) had previous INSTI-experience with raltegravir. The median (IQR) observation time was 1.5 years (0.9–1.9) for participants treated with DTG and 1.4 years (1.0–1.9) for individuals treated with PI.

	DTG-based ART	PI-based ART
№ of participants	122	122
Women/men [%]	39/61	32/68
Age [median (IQR)]	52 (46–59)	46 (40–51)
Weeks on ART [median (IQR)]	78 (50–98)	75 (50–101)
NRTI composition [%]		
abacavir/lamivudine	59	34
tenofovir/emtricitabine	34	50
other*	7	16
Nadir CD4 ⁺ T-cell count [median (IQR)]	260 (171–340)	245 (153–299)
HIV RNA log ₁₀ copies/mL [median (IQR)]		
Before start of DTG/bPI	<1.3 (<1.3–1.8)	<1.3 (<1.3–2.4)
Last available	<1.3 (<1.3–1.3)	<1.3 (<1.3 - <1.3)

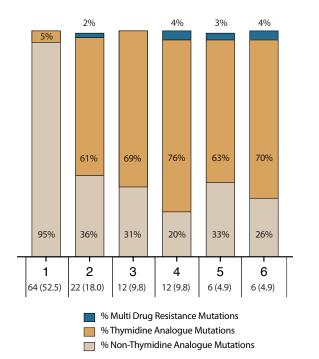
Table 4. Characteristics of participants in Paper II

* lamivudine monotherapy, other dual NRTI-combinations or

participants who switched NRTI during the study period

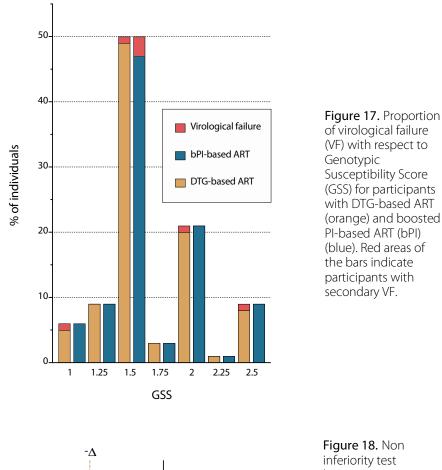
In participants with DTG-based treatment, a total of 260 mutations were registered. The majority (53.5%) were thymidine analogs mutations (TAMs), followed by non-TAMs (44.2%) and multidrug mutations (2.3%) (Figure 16).

Abacavir/lamivudine was the most common (59%) NRTI-backbone in participants with DTG. In the controls, tenofovir/emtricitabine was most commonly used (50%).





No participants developed primary VF, but seven cases of secondary VF were observed: four participants with DTG-based ART and three participants from the control group. Three out of four of those on DTG were re-tested without new NRTI- or INSTI resistance mutations. The median GSS for participants with VF was 1.8 for participants with DTG; all three with boosted PI had 1.5 (Figure 17). In conclusion, participants with pre-treatment NRTI resistance mutations were effectively treated with both DTG and boosted PIbased ART. Post hoc analysis found DTG to be non-inferior to boosted PI with a non-inferiority margin of 6% (p < 0.05) (Figure 18.)



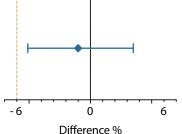


Figure 18. Non inferiority test between dolutegravir and boosted PIs with 90% confidence interval. The dotted line represents the non-inferiority margin (Δ) of 6%.

4.3 PAPER III

During the inclusion period that lasted almost 20 years, 2,956 participants that met the inclusion criteria were identified (Table 1, Paper III). The majority of excluded participants had previous treatment experience or had started ART with disallowed drug combinations.

The most important result was the strong correlation between high pre-treatment viral load and duration until the first HIV RNA < 50 copies/mL. Only 44% of participants with \geq 500,000 HIV RNA copies/mL had reached the treatment goal within six months, and 20% were still not suppressed after the first year on ART. Although less distinctive, a similar association was seen between baseline viral load and time until first HIV RNA < 200 copies/mL. In total, 76% and 91% of participants with baseline HIV RNA > 500,000 copies/mL reached this threshold within six and twelve months, respectively (Figure 19).

Despite the need for a longer time to reach HIV RNA suppression, participants with baseline VL \geq 100,000 HIV RNA copies/mL did not have an increased risk of secondary VF. The risk of primary VF failure was however correlated with higher baseline VL.

Treatment regimen differed over the study period, as new drugs were introduced and treatment guidelines updated. Participants with baseline HIV RNA < 100,000 copies/mL were more often started on NNRTI-based ART, while participants with higher baseline viral load were more commonly started with boosted PI-based ART. The time until viral suppression was shorter with INSTI-based ART than other core agents. Abacavir-based NRTI was associated with faster time until suppression, but only in participants with baseline VL<100,000 HIV RNA copies/mL (Figure 3, Paper III).

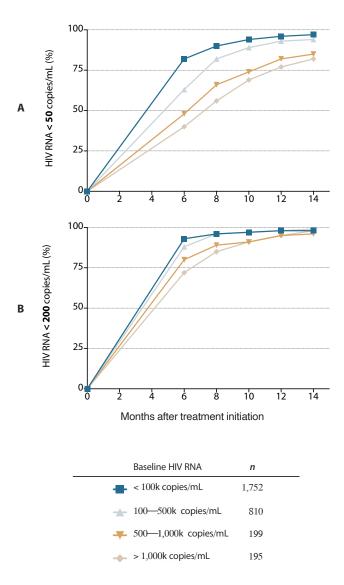


Figure 19. Proportion of participants with different baseline HIV-1 RNA levels that reached HIV RNA < 50 (A) and < 200 copies/mL (B) after ART initiation over time.

DISCUSSION

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

5. DISCUSSION

This thesis includes two of the largest studies conducted on viral blips in PLWH. Our hope was that these studies would therefore enable us to address the cause of this phenomenon. Unfortunately, in practice it did not turn out to be that simple. The correlation between blips and higher pre-treatment RNA-levels, combined with the finding that blips were less common in PLWH who started treatment early, i.e., participants registered as having primary HIV infection (n = 156 in Paper IV), were what we interpreted as the best clues. Similar associations have been presented in mathematical models and other observational studies (96, 108, 109, 122, 123) and support the hypothesis that blips are a consequence of intermittent activity in longlived CD4⁺ leukocytes. In theory, the latent viral reservoir is larger in participants with delayed treatment onset and higher baseline VL (71). In a recent study, Crowell et al. studied the link between reservoir size and blips through observations of blip-incidence in participants that started ART at different well-defined (Fiebig) stages. In line with our results, they found that blips were significantly more frequent if ART was started during chronic infection compared to ART started during earlier phases (108).

Integrated provirus in latent cells is not eradicated with current ART. Due to the lack of viral evolution in the released RNA-strands during blips, they are not believed to originate from replicating cells. However, some concern has been raised about how this was proven (102, 124). With ART, HIV RNA strands detected during blips are not believed to infect and replicate in new cells, and are likely harmless for the infected individual. In all probability, this is not the only blip origin. Subtherapeutic drug concentrations due to tolerability issues or other reasons for low adherence can be a contributing factor to the origin of transient viremia in some PLWH. A previous study, however, showed that even in patients with strict adherence, 25% experienced blips (125). Another important factor to consider is PCR assay variability. Consistencies between assays seem to be particularly difficult in the "blip zone," i.e., in proximity to the lower detection limit (118).

Both Paper I and IV showed that PI-based ART is more common than NNRTIs and INSTIs during blips. Given the inherent limitations of retrospective observational studies, no causality can be proven. Previous studies report inconsistent results concerning drug classes and viral blips. Some found blips to be more frequent in PI-based ART (126-128), others presented high blip-rates in NNRTI-based ART (107, 129, 130), and some studies showed that ART composition had little effect on blip frequency (103, 108, 122). There are multiple parameters to take into account when choosing an ART regimen. Patients expected to have lower adherence are more likely to be recommended ART with a higher genetic barrier for drug resistance (43). To this date, PI-based ART has been associated with the lowest risk of acquired drug resistance (48). In Paper III, we demonstrated that PI-based treatment is also more common in participants with baseline VL > 100,000 copies/mL. As the same group has a higher percentage of blips, this selection bias could explain parts of the association between blips and PIs. As a consequence of diverse study populations, the same bias could possibly explain the inconclusive results between drug classes and blips in previous studies.

Further, the different mechanisms of action between drug classes could be of importance to why blip incidence was higher in PIs. NNRTIs and INSTIs both block replication in the pre-integration phase, while PIs inhibit the protease activity at a later stage. Current PCR assays are not able to detect if the transient viremia that we define as blips originate from functional virus, capable of infecting new cells. Alternatively, particularly during PI-based ART, they could derive from replicationincompetent virus.

But why were PIs, at least until the introduction of DTG, more common in PLWH with high baseline VLs? Previous guidelines have recommended tenofovir-based NRTI backbones rather than abacavir to participants with baseline VL > 100k copies/mL as this was shown to decrease the risk of VF (131, 132). Due to an increased risk of renal impairment, tenofovir is not the drug of choice in combination with boosted PIs (133). Consequently, we would expect to see more participants with NNRTI-based treatment, as this does not affect the tenofovir concentration and the related effect on the kidneys. However, unlike many other countries, this recommendation was never incorporated in the Swedish treatment guidelines and is therefore of limited importance. Another explanation is the possibility that higher baseline VL acts as a confounder for more advanced disease. In Paper III, higher baseline viremia was associated with lower baseline CD4⁺ Tcell counts and the probability of AIDS-defining diagnoses in the medical history. Swedish treatment guidelines have, however, never favored boosted PIs even in this group. More likely, the higher genetic barriers of PIs have been translated to an overall perceived robustness that clinicians were inclined to use in patients where they felt extra caution was indicated. The therapeutic margins are inevitably smaller in participants with a more advanced stage of disease, and immediate treatment can be indicated, i.e., before the result from the resistance test has arrived. With the highest genetic barrier, this clearly favors PIs as empiric treatment. If the initial treatment is successful, it is then likely to be continued.

There are two major differences between the results from paper I and IV: the blip frequency and the association between participants with blips and secondary VF. Blip incidence per 100 person years increased from 3.0 in Paper I to 4.4 in Paper IV. The introduction of INSTIbased ART, which has become first-line treatment over time, was associated with a decreased risk of viral blips compared to PI-based ART. Hence, this would have the opposite effect on the blip incidence. During the first couple of years of inclusion in Paper IV, not all clinics had changed their test tubes to ethylene diamine tetraacetic acid test tubes. As a result, some blips registered during this period risk being incorrect (134). However, since less than 3.5% of all blips registered in the study came from this period, this alone cannot explain the increased incidence. Instead, the introduction of new and more sensitive PCR assays is probably the primary factor. Previous studies have found that shifting to more precise PCR assays has been associated with higher RNA levels close to the lower detection limit and consequently increased blip rates (111, 118, 127, 135). Our studies show a significant association between higher blip rates and specific calendar years. The years with most registered blips, 2011 and 2017, were about one year after PCR assays were changed to more sensitive methods.

The increased blip incidence could also be a consequence of the different observation periods. The median time until the first blip was 2.6 years in Paper IV. A larger portion of the included participants were possibly observed long enough to develop blips with a longer observation period. In line with this theory is the observation from both blip studies, that participants with detected blips were both observed for a longer period and consequently had more RNA samples compared to those with continuous viral suppression. It is possible that most studies, like ours, fail to detect many blips due to infrequent sampling. As blips are not related to any physical signs or symptoms, they may go unnoticed. In a study by Nettles et al., ten courageous participants underwent HIV RNA sampling twice a week for 3-4 months. Blips with short duration (< 3 days) were found to be very common and registered in 9 out of 10 participants (102). This implies that, similar to our results, the chance of detecting blips increases with the number of RNA samples available.

Primary VF is evaluated to examine the capacity of ART to achieve initial viral suppression. Secondary VF is instead assessed when the long-term suppressive ability of ART is evaluated. Thus, they serve different purposes but are not always used consistently between studies. Both phenomena are highly affected by drug susceptibility in relation to resistance mutations and drug adherence. In Paper I, participants with primary VF were excluded and secondary VF (in Paper I, simply referred to as VF) was defined as two consecutive HIV RNA samples > 50 copies/mL or a single sample > 500 copies/mL to be in line with existing studies. In Paper IV, the definition of secondary VF was changed to an event with a single sample > 1000 copies/mL or consecutive samples but with a higher cut off at > 200 copies/mL. The rationale was to resemble a clinical setting in which two samples of HIV RNA just above 50 copies/mL seldom raise questions about emergent treatment failure with subsequent treatment modifications. As a consequence, the registered cases of secondary VF are not directly comparable between these studies. Another important factor when comparing our results to others is that in both Paper I and IV participants were censored when they developed secondary VF. Consequently, these participants often had shorter observation periods and thus arguably, a decreased risk of viral blips. Similarly, to our

results, a recent study by Joya et al. also found blips to be protective in relation to VF (107). It is possible that they also censored participants after VF, but this is not clarified.

Viral blips are often defined differently between studies, which further complicates outcome comparisons. We chose a definition of blips that would decrease the risk that HIV RNA fluctuations during the initial treatment response were misinterpreted as blips, and that was in line with what the majority of existing studies were using when this project was started. Since then, there is still no consensus on which definition of blips to use. Some have stratified blips into different categories, often 50–199 and 200–499 copies/mL (106), while others include intermittent viremia from 20–1000 copies/mL (105, 108). Some studies show that the virological outcome is dependent on blip amplitude (127), while others claim the opposite (102, 106). For continuity reasons and to improve the ability to compare our results, we kept the same blip definition in both our studies.

The VL measured during blips in our studies were mostly < 200 HIV RNA copies/mL. In the other study that like us found blips to be protective in terms of VF, blips were per definition restricted to HIV RNA levels < 200 copies/mL (107). The same study also investigated transient episodes of higher viremia (200-1,000 copies/mL). Interestingly, this group had a higher risk of VF. It is possible that the amplitudes of the blips are related to different blip origins, e.g., that lower HIV RNA levels mostly derive from latent CD4⁺ T cells, while higher levels to a larger extent are the consequence of suboptimal drug concentrations. As the latter theoretically is more likely to cause VF, the lower blip magnitudes found in our studies could be an additional factor to why secondary VF was less common in Paper IV. In line with this speculation, the previously mentioned study with blips during optimized adherence surveillance by Miller et al. defined blips as transient viremia between 40-1,000 copies. Despite this broad definition, the majority of blips registered were in the lower end of this spectrum (median 80 copies/mL, range 40-374) (125). Further studies are needed to determine the need for a stratified approach when assessing the origin and importance of blips. In light of the close association between blips and temporary detectable viremia between

20–50 HIV RNA copies/mL described in Paper IV, future studies are recommended to not refer to these findings as separate entities.

The possible consequences of very high baseline VL were addressed in Paper III. The most important finding was that the treatment outcome during the study period was not affected by the level of initial viremia. Although the time to reach viral suppression took considerably longer with higher initial VL, 99.5% of all participants ultimately reached the treatment goal of HIV RNA < 50 copies/mL. The observed delayed treatment response is in accordance with previous studies (136-140).

For optimal clarity, primary and secondary VF were clearly separated in this study, i.e., participants with primary VF in our study could not also be registered with secondary VF. We showed that participants with higher baseline viremia had an increased risk of primary VF. This was an anticipated consequence of the definition, as HIV RNA had to reach suppressive levels of < 50 copies/mL within a year from treatment initiation. After one year, 96% of participants with baseline HIV RNA <100k copies/mL had reached the treatment goal. In contrast, 23% of participants with baseline VL > 1,000k copies/mL were still not suppressed after the same time. Given our present knowledge, it is not apparent that the slower treatment response should be labeled as failure. This illustrates the importance of finding suitable definitions that make comparisons between studies possible. This is, however, not always easy. This thesis is based on four studies in which VFs are managed in four different ways. In Paper II, a single sample of HIV RNA > 200 copies/mL was defined as VF. For consistency and differentiation between developing blips and VF, it would have been better with consecutive samples > 200 copies/mL. When later reviewing the participants with VF from this study, six out of seven with defined VF had multiple samples > 200 copies/mL. The participant with a single increased HIV RNA has later continued to have similar episodes with a pattern usually seen during suboptimal drug adherence. Thus, these participants likely represent real VF.

In Paper III, we demonstrated that higher baseline HIV RNA levels were not associated with an increased risk of secondary VF. We could not predict the long-term risk, but many of the included participants were observed for more than a decade. However, opposite results were recently published in a retrospective study by Chen et al. (140). From 758 treatment-naïve participants, 48 with baseline VL \ge 500k HIV RNA copies/mL were identified. VF was significantly associated with baseline VL \geq 500k HIV RNA copies/mL. Although their results originated from a substantially smaller study population, shorter observation periods and ART limited to combinations of NRTIs and NNRTI, the major difference from our study was the definition of VF. Aside from viral rebound \geq 50 copies/mL, they chose to categorize participants that had not reached < 200 HIV RNA copies/mL after 24 weeks as VF. In our study, 99.9% of all participants eventually reached < 200 copies/mL, however, at week 24, 25% of the participants with baseline VL > 500k HIV RNA copies/mL still had HIV RNA \ge 200 copies/mL. Our study shows that this subgroup did not have treatment failure but rather experienced a much slower viral decay due to the high initial baseline viremia, but due to the difference in study populations and ART composition, the results from these two studies are difficult to compare. Despite this, we believe that it is important that other groups take the substantially longer treatment response described in Paper III into account when interpreting the results of future studies so that VF is not declared prematurely.

Substantially higher baseline VL was not restricted to a particular part of the study period. Time until treatment initiation in participants with baseline VL > 500k HIV RNA copies/mL was also consistent, but substantially shorter in comparison to those with lower levels of viremia. This is most likely a consequence of the correlation between higher baseline HIV RNA and lower CD4⁺ T cell count, as immediate treatment was recommended for this group even before the implications of the START study (44). It is unknown why some PLWH experience substantially higher viremia, but the finding from Paper III that ART is equally effective regardless of baseline HIV RNA is fortunate. We further learned that INSTIs provided the fastest viral decline. The clinical impact of this besides a shorter period of infectiousness is, however, uncertain. After DTG, NNRTIs provided the second fastest viral suppression, which is in accordance with previous studies (141). In Paper II, we investigated if DTG was an effective alternative for participants with pre-treatment NRTI resistance. Previously, the first generation INSTI raltegravir had been found to be inferior to boosted PIs in PLWH with previous treatment failure (142). The genetic barrier for drug resistance for DTG was significantly improved compared to raltegravir but had not been evaluated outside of clinical studies (143, 144). Our results showed that VF was uncommon in participants treated with DTG and in the matched controls treated with boosted PI. There were also no new mutations in the few participants with VF, further strengthening the robustness of the treatment. We used GSS as a model to predict drug susceptibility with an algorithm from the Stanford University HIV Drug Resistance Database. Previous studies have demonstrated that available drug resistance data renders a better treatment outcome (145). With multiple resistance mutations that can have both synergistic or antagonistic effects, an algorithm to help guide the clinician is essential (146, 147). There are multiple GSS algorithms available, both unweighted like the one we used and weighted. In the latter, the calculated GSS can for example be multiplied with 1.5-2 for PIs and 0.5 for NRTIs to compensate for different genetic barriers (148). To the best of my knowledge, there is no set factor for weighting different INSTIs. More recent studies have, similar to our results, found DTG-based ART to be robust even with GSS < 1.5 (149). However, in both studies, participants with GSS scores in effect close to DTG monotherapy were few. In clinical practice, many of these patients would likely be treated with dual core agents and would thus not be included in our study.

The use of GSS as a predictor of virologic success is still debated due to conflicting results in existing studies (150-152). In a comprehensive study, Rhee et al. found the combined GSS score for each ART to be the strongest predictor of virologic response (148). An alternative approach to investigate this population's treatment outcome would be to perform a retrospective non-inferiority study, with boosted PIs as an active comparator. In a post hoc analysis reported in the results section of this thesis, DTG would have met the non-inferior criteria with a *p*-value of < 0.05 and a non-inferiority margin of 6%. The effect of DTG would hence be comparable to boosted PIs.

Paper II was analyzed with an intention to treat approach with a binary outcome. Similar results, although without reported degrees of susceptibility, have recently been reproduced (153). To our knowledge, Paper II was the first real-life study with DTG-based ART in PLWH with previous NRTI-resistance. The advantage of this kind of study is that it makes it possible to study the outcome in a more heterogeneous population than those in clinical trials. The downside is the nonrandomized design with a risk of selection bias and that the frequency of blood sampling and resistance tests are not consistent. However, for a limited duration of time and in an early INSTI-era, we concluded that DTG constitutes a safe and, in terms of tolerability, promising alternative to boosted PIs for this subpopulation. As DTG has become part of the first line treatment in many treatment guidelines (43, 154-156), the prospect for further studies in this group with longer durations and in treatment-naïve populations is promising.

The studies in this thesis are based on data collected for many years, which increases the scientific reliability of the results. During the study periods, both treatment recommendations and PCR assays have changed, which complicates the interpretation of the results. By the use of the unique InfCareHIV database, a large part of PLWH in Sweden have been included. However, the study populations included inevitably overlap as we wanted to include many participants and the fact that Sweden has a low HIV prevalence (8,157 receiving care in December 2020). For example, it was expected that the majority of participants from Paper I were also included in the follow-up study presented in Paper IV as we used partially overlapping study periods and similar inclusion criteria. However, we believe that a considerably extended study period and focus on INSTI-based ART justifies their re-inclusion. In clinical trials, the use of highly selected subpopulations lower external validity and jeopardizes the scientist's ability to generalize the study results. Overlapping study populations face the same problem, but the studies in this thesis are based on real-life data with generous inclusion criteria and, in comparison to most other studies with similar approaches, based on substantially larger populations which enables robustness.

CONCLUSIONS

Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

6. CONCLUSIONS

- Viral blips are significantly correlated with higher baseline viral loads and are more common in PI-based ART. In relation to other populations, PLWH in Sweden have a comparable low blip incidence.
- Individuals with INSTI-based ART, similar to NNRTIs, have fewer blips as compared to those on PI-based ART. Blips are not associated with an increased risk of secondary virological failure.
- Dolutegravir is equally effective as PIs in PLWH with baseline NRTI-resistance mutations.
- Individuals with baseline HIV RNA > 500,000 copies/mL have similar treatment results as PLWH with lower initial viral loads but require a longer time to reach viral suppression.

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Antiretroviral treatment of HIV-1 in Sweden with focus on virological aspects

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