



UNIVERSITY OF GOTHENBURG

# Design and Assessment of an Engine for Embedded Feature Annotations

Master's thesis in Computer Science and Engineering

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Department of Computer Science and Engineering CHALMERS UNIVERSITY OF TECHNOLOGY UNIVERSITY OF GOTHENBURG Gothenburg, Sweden 2020

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Typeset in  $L^{A}T_{E}X$ Gothenburg, Sweden 2020 Design and Assessment of an Engine for Embedded Feature Annotations

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#### Abstract

Features are an inherent unit of development of every software; and are defined as a set of implementation artifacts that constitute a functionality that adds value to the product, and is perceived useful by the customer. Locating features in source code is a typical software developer task, whether it before implementing a new feature, or maintaining and bug fixing of existing ones, as it is essential to know where to make changes. For tracing features to their implementation, two mechanisms can be used; external and internal documentation. As the names imply, external documentation refers to maintaining the traceability links externally, whereas internal documentation involves labeling assets inside the source code (aka embedded annotations). For internal documentation, two strategies are used namely eager and lazy approaches. The former involves annotating the code artifacts during development, whereas the latter requires extracting feature-related information from an un-annotated codebase based on heuristics. The former, although involves some added effort, result in significant returns in terms of accuracy and degree of reuse, also enabling a wider range of analyses. Also, the added effort can be minimal depending on the size of the project but soon begins to prove its worth in the short-run (when aiming to reuse) as well as the long run (when maintaining the code base).

Embedded annotations (with eager strategy) allow a minimally invasive and almost cost-neutral way to document the product features inside source code. This brings some benefits, the significant ones being easier co-evolution of code and traceability links, elimination of feature location, and ease in tasks like feature and artifact reuse (cloning) and maintenance (propagation). Several approaches exist today on how to document features in source code. Different definitions lead to different implementations and therefore, reuse is not directly possible. This work tackles exactly this issue and provides a unified design of embedded annotations with a freeto-use reference library according to the presented specification. The functionality of this library, aka. engine, is shown on the use case of partial feature-based commits. Feature centric development, which is typical for agile projects get the possibility for isolated source code commits based on specific features aka. embedded annotations.

Keywords: Embedded Annotations, Feature, Software Engineering, Software Product Line, Git, Partial Commits

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# Contents

Lis	st of	Figures	ii						
Lis	st of	Tables	v						
Lis	st of	Grammars xv	'i						
1	Intr	oduction	1						
	1.1	Statement of the Problem	2						
	1.2	Purpose of the Study	3						
	1.3	Structure of the Report	3						
<b>2</b>	Bac	kground and Related Work	<b>5</b>						
	2.1	Feature Definition	5						
	2.2	Feature Usage	5						
	2.3	Feature Location	6						
	2.4	Traceability and Variability	6						
	2.5	Feature tangling and scattering	7						
	2.6	Tangling Degree and Scattering Degree	8						
	2.7	Git Version Control Data Flow	9						
	2.8	Git Partial Commits	9						
	2.9	Related Work	0						
3	Met	Methodology 13							
	3.1	Research Questions	3						
	3.2	Design Science	3						
		3.2.1 Adjusted Design Science	5						
		3.2.2 Project Research Objectives	8						
4	Eml	bedded Annotations Design 1	9						
	4.1	Formal Definition of Embedded Annotations	0						
	4.2	Feature Hierarchy Model	3						
	4.3	Feature Reference Names	5						
	4.4	Annotation Listing	5						
	4.5	Feature expression logic	6						
	4.6	Annotation Markers 2	7						
		4.6.1 The begin-marker $\ldots \ldots 2$	7						
		4.6.2 The end-marker $\ldots \ldots 2$	7						

		4.6.3	The line-marker	28
		4.6.4	Interleaving of Annotation Markers	29
	4.7	Featur	e Mappings	30
		4.7.1	Feature-to-code mapping	30
		4.7.2	Feature-to-file mapping	30
	1.0	4.7.3	Feature-to-folder mapping	32
	4.8	Embec	Approximation Examples	33
		4.8.1	File Menning Examples	- 33 - 25
	4.0	4.0.2 Evolue	File Mapping Examples	- 30 - 36
	4.9	191	Survey Creation	36
		4.9.2	Survey Besults	37
		4.9.3	Design Changes	45
		4.9.4	Outcomes	45
	4.10	Embed	lded Annotations Workflow and Usage	46
<b>5</b>	Eng	ine for	• Embedded Annotation Extraction	47
	5.1	Parser	Generator	47
	5.2	Engine	e Architecture	48
	5.3	Public	Interface Methods and Capabilities	50
	5.4	Engine	e Usage Example	51
6 Industrial Use Case		ıstrial	Use Case	53
	6.1	Potent	ial Use Cases	53
	6.2	Use Ca	ase "Partial Commit"	54
	6.3	State of	of the Art	55
	6.4 6.5	Tool L	Jesign	57
	0.0 6.6	Dortiol	Arcmitecture	
	6.7	Tool F	valuation	- 59 60
	0.7	671	Scenario 1 - Adding New Assets to an Existing Feature	63
		6.7.2	Scenario 2 - Evolution of Source Code in Embedded Annota-	00
			tion and Base Source Code	65
		6.7.3	Scenario 3 - Refactoring Existing Structural Code Within a	
			Feature	66
7	Disc	cussion	L	69
8	Thr	eats to	o Validity	73
9	Con	clusio	1	75
10	<u>Б</u> .,		~~l-	
10	ruti	ure wo	JLK	11
Bi	Bibliography 79			
Α	Emb A.1	bedded Embed	l Annotation Specification lded Annotation Definition by Authors	I I

	A.2	EBNF	Grammar Definitions	III
		A.2.1	Feature Hierarchy Model Grammar	III
		A.2.2	Source Code Annotations Grammar	IV
		A.2.3	Feature-to-File Annotations Grammar	V
		A.2.4	Feature-to-Folder Annotations Grammar	VI
в	Sur	vey Da	ata Evaluation Embedded Annotation Specification	VII
	B.1	Survey	v of Embedding Annotations in Code	VII
	B.2	Feedba	ack Participants	VIII
$\mathbf{C}$	Par	tial Co	ommit Evaluation X	XXXIX
	C.1	Scenar	io 1 - Adding New Assets to an Existing Feature	XXXIX
	C.2	Scenar	io 2 - Evolution of Source Code in Embedded Annotation ar	ıd
		Base S	Source Code	XLIII
	C.3	Scenar	io 3 - Refactoring Existing Structural Code Within a Featur	e . XLVII

# List of Figures

2.1	Git Data Flow Extract and Storage Level
3.1	Design Science Methodology applied for this research
$\begin{array}{c} 4.1 \\ 4.2 \\ 4.3 \\ 4.4 \\ 4.5 \\ 4.6 \\ 4.7 \\ 4.8 \\ 4.9 \\ 4.10 \\ 4.11 \\ 4.12 \\ 4.13 \\ 4.14 \end{array}$	Meta-Model for Embedded Annotations22EA-Survey, Participants job titles37EA-Survey, Combined Mean values of design properties38EA-Survey Results, The notation is useful39EA-Survey Results, The notation is intuitive39EA-Survey Results, The notation is easy to learn40EA-Survey Results, The notation is easily applicable40EA-Survey Results, The notation is flexible to use41EA-Survey Results, The notation is flexible to use41EA-Survey Results, The notation is succinct41EA-Survey Results, The notation is succinct42EA-Survey Results, The notation is robust43EA-Survey Results, The notation is convincing43EA-Survey Results, The notation is convincing44
5.1	FAXE Engine Class UML Diagram    49
$6.1 \\ 6.2 \\ 6.3 \\ 6.4 \\ 6.5$	Partial Commit Workflow With Interactive Console "git add ——patch" 54 Git Partial Commit Workflow With Feature Focus With New Tooling 55 Git Partial Feature Commit Tool - Flow Diagram
C.1 C.2 C.3	Tool Evaluation Partial Commit, Scenario 1, Changes to Commit XXXIX Tool Evaluation Partial Commit, Scenario 1, Tool Execution XL Tool Evaluation Partial Commit, Scenario 1, git-add for Hunk in HelloCommitTest.java
C.4 C.5	Changes
C.6 C.7 C.8	cution

C.9	Tool Evaluation Partial Commit, Scenario 2, git-add for Hunk in	
	HelloCommitTest.java	XLIV
C.10	Tool Evaluation Partial Commit, Scenario 2, git-commit for Staged	
	Changes	XLV
C.11	Tool Evaluation Partial Commit, Scenario 2, Non-feature Changes	
	Unmodified	XLV
C.12	Tool Evaluation Partial Commit, Scenario 2, git-log After Tool Exe-	
	cution	XLVI
C.13	Tool Evaluation Partial Commit, Scenario 2, git-diff for New Commits	XLVI
C.14	Tool Evaluation Partial Commit, Scenario 3, Changes to Commit,	
	Feature FeatureTestScenario4	XLVII
C.15	Tool Evaluation Partial Commit, Scenario 3, Tool Execution, Feature	
	FeatureTestScenario4	XLVIII
C.16	Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFea-	
	ture.java	XLVIII
C.17	Tool Evaluation Partial Commit, Scenario 3, Git Skip Hunk in HelloFea-	
	ture.java	XLIX
C.18	Tool Evaluation Partial Commit, Scenario 3, Git Skip Hunk in HelloFea-	
	ture.java	XLIX
C.19	Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFea-	
	ture.java	L
C.20	Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk rejected	L
C.21	Tool Evaluation Partial Commit, Scenario 3, Manual Workaround for	
	Rejected Hunk Split	LI
C.22	Tool Evaluation Partial Commit, Scenario 3, Changes to Commit,	
~ ~ ~ ~	Feature Feature TestScenario3	LI
C.23	Tool Evaluation Partial Commit, Scenario 3, Tool Execution, Feature	
<i><i><b>G</b> a i</i></i>	Feature Test Scenario 3	LII
C.24	Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFea-	
<b>a a r</b>	ture.java	LII
C.25	Tool Evaluation Partial Commit, Scenario 3, git-commit for Staged	
C ac	Changes	LIII
C.26	Tool Evaluation Partial Commit, Scenario 3, git-log After Tool Exe-	
0.07		LIII
C.27	1001 Evaluation Partial Commit, Scenario 3, git-diff for New Commits	г т <b>т</b> 7
0.00	of Feature Feature TestScenario4	LIV
U.28	1001 Evaluation Partial Commit, Scenario 3, git-diff for New Commits	г т <b>т</b> 7
	of Feature Feature LestScenario3	LIV

# List of Tables

2.1	Definition of Scattering and Tangling Degrees	8
4.1	EA-Survey, Mean and SD of all Design Properties	38
$6.1 \\ 6.2$	Bitcoin-Wallet, Tool evaluation summary, FeatureDashboard Bitcoin-Wallet, Tool evaluation summary, FAXE	63 63
A.1	Overview Embedded Annotation Concepts	II

# List of Grammars

4.1	EA, EBNF of Shared Feature-Reference Expression	23
4.2	EA, EBNF of Shared FEATURENAME Expression	23
4.3	EA, EBNF-Snippet of Simple Hierarchy Model	25
4.4	EA, EBNF-Snippet of Annotation Markers	28
4.5	EA, EBNF-Snippet of feature-to-file Mapping	32
4.6	EA, EBNF-Snippet of feature-to-folder Mapping	33
A.1	EA, Full EBNF of Simple Hierarchy Model	III
A.2	EA, Full EBNF of Annotation Markers	IV
A.3	EA, Full EBNF of feature-to-file Mapping	V
A.4	EA, Full EBNF of feature-to-folder Mapping	VI

# 1

### Introduction

Feature-driven development (FDD) structures, as part of the agile methods, the software development process into client-value functionalities, so-called features. FDD focuses on the process to continuously delivering software with an increasing number of functional and non-functional features. (Palmer and Felsing, 2001)

Developing feature-based software requires more than the planning aspects of FDD (Passos, Czarnecki, et al., 2013). Besides adding new features, they often need to be refactored and evolved for their new purpose. For this purpose, the current feature location in the source code must be known.

Locating a feature is a difficult task mainly due to its cross-cutting nature and the deteriorating knowledge about them. Feature knowledge is not only important for variant-rich systems, where they provide a way to distinguish variants, but also for diversified software, such as software product lines. (Passos, Padilla, et al., 2015; Ji et al., 2015)

For feature recovery and feature location, there are research approaches available for full or semi-automated feature locations, but their results are not yet satisfactory for practitioners (Abukwaik et al., 2018). Manual feature recovery and location is more precise but causes at the same time more costs due to labor-intensive work. A solution recently proposed by researchers is to continuously trace features and their locations, using a lightweight technique; embedded feature annotations. Considered as the least expensive technique for feature annotation, the costs for maintenance is reduced and feature propagation and migration is improved for further software variants. (Ji, 2014)

To use the full potential of embedded annotated features, tool support is required. This ensures on the one side to encourage developers to use the annotations, and on the other side to locate features with embedded annotations. Such a tool could also be potentially be enriched with an integration in an existing software version control system, such as Git.

Embedded annotations might find application to many software development areas. By an integration into a programming language itself they could become natural part of that specific language in development IDEs and could also be added as a part of the language's documentation. On the other hand such an integration limits it to a specific programming language. A different approach would be to provide a generic support of embedded annotations into tools and platforms which cover all kinds of programming languages, such as Git or other version control systems.

#### 1.1 Statement of the Problem

Features are commonly used as a way to abstractly and more intuitively describe functional or non-functional parts of software assets. As they are describing the functionality of a software product, they can be used to express the product's functionalities on a common language level. Also, they are used for describing the differences between product variants. When features are not documented, knowledge about their functionality and location in source code often fades out over time and needs to be recovered through labor-intensive work. To document features, there are two possibilities, with embedded annotations in the source code itself or with a separate tool. (Krüger, Mukelabai, et al., 2019). The notion of features can thereby be found in many planning tasks, as well as in agile methods and variant-rich systems.

**Relevance of feature annotations** Besides the described planning aspect, in project planning, there are more fields where features take a central element. On the highest level, they allow to easily describe characteristics of a variant-rich system. Additional, other tools such as project and issue trackers are using the terminology of the features. The main challenge is the current lack of support of features at the source code level (Ji et al., 2015).

Knowledge of functions is not only important at higher levels, but also at lower levels, such as configuration files, data sets and especially source code. Developing features for further development, maintenance, platform construction or reuse have one thing in common: at which point or points they are coded in the software. Finding a feature is therefore an important task. (Entekhabi et al., 2019)

Ji et al. (2015) unveiled in a study that feature location is "one of the most common activities of developers" and researched the costs and benefits of embedded annotations. There are two kinds of feature location techniques. First, the eager one where features are annotated during development, and the lazy one, where features are annotated after development or even when locating them. In the study of Ji et al. (2015), a cost-saving of 90% from the lazy to the eager strategy could be shown. Also, their work set the foundation for tool developments of FLORIDA (Andam et al., 2017), FeatureDashboard (Entekhabi et al., 2019), and a recommender tool for missing feature locations (Abukwaik et al., 2018). One of the challenges with embedded annotations is that there is currently no standard which unifies their usage and appearance.

**Feature annotation management** To explore the full potential of embedded annotated features, tool support is required. This ensures on the one side to encourage developers to use the annotations and on the other side to locate features. Such a tool might be usable as a standalone application or integrated into existing tools and platforms.

So far the previous mentioned tools, as well as variant management systems such as FeatureIDE <sup>1</sup> or Pure::Variants<sup>2</sup> are independent standalone, or in other platforms integrated, solutions with different annotation semantics. Latter tools support

<sup>&</sup>lt;sup>1</sup>http://www.featureide.com/

<sup>&</sup>lt;sup>2</sup>https://www.pure-systems.com/products/pure-variants-9.html

thereby variability annotations, while embedded annotations consist of traceability annotations.

#### 1.2 Purpose of the Study

The purpose of this study has several main aspects. First, a standard for embedded annotations shall be proposed. Second, a re-useable parsing engine for locating embedded feature annotations shall be created. And third, the parsing engine should be integrated with Git as an extension for partial feature-based commits.

For the embedded annotations several concepts exist and even when tool implementations are successors of each other the interpretations are slightly different - as evident in (Ji et al., 2015) and (Entekhabi et al., 2019). Therefore as a first step, a reference definition is required. The generation of a unified design for embedded annotations is important beyond the need of a work to reference to. As soon as tools shall be used in different projects, or developers switch projects, an efficient usage is only possible when people and tools work in the same way together.

Due to the different embedded annotation interpretations, different implementations exist to perform the work to extract them. With a reference definition as the second step, a conformal reference and re-useable implementation can be provided.

With the third step, partial feature-based commit, a field is addressed which is little known by mainstream development, even when Git-tooling is available. The extension and simplification of partial commits shall allow developers to easily use them and organize their commits for annotated source code in a better way. Providing tool support for partial feature-based commit reduce the number of steps to be performed and reduce the risk of wrong steps by the developer.

To perform this study as close to practice as possible and to collect real-world requirements, this study is conducted with practitioners. The study concept is done with one specific company from the area of web development. The survey to evaluate the notion of embedded annotations is conducted with practitioners from different companies and industrial fields.

#### 1.3 Structure of the Report

This report is structured such that after this introduction, Chapter 2 presents the relevant background information for this report and the research carried out. Chapter 3 presents the structure of applied research and the application of theory in this context.

The next three chapters describe the results of this work. First, Chapter 4 shows the created and reviewed design for a unified embedded annotation approach and in addition the results of the conducted survey to evaluate it. Chapter 5 shows the results for an engine implementation according to the previously shown embedded annotation design. Lastly, the usage of the created engine in an industrial use case is presented in Chapter 6.

In Chapter 7 the reached results are discussed, followed by the threats to validity

of the conducted research and its limitations (Chapter 8). A summary of the conducted research is given by Chapter 9 and Chapter 10 closes the report with an outlook for potential future research.

The work is appended with further information about the embedded annotations design (Appendix A) and the unmodified results of the survey (Appendix B). Appendix C shows details of the tool evaluation process conducted in Chapter 6.

# **Background and Related Work**

This chapter provides the background and refers to related work for the scope of the conducted research and this report.

#### 2.1 Feature Definition

A feature in the field of software product development can be defined as a "logical unit of behavior that is specified by a set of functional and quality requirements" (Bosch, 2000, p.194). Features are used to describe product functionalities and serve as the common language between technical and non-technical persons.

From a user perspective, a software product consists of several functional units within one product or a product family. In the requirements process, these functional units are expressed in functional and non-functional (aka. quality) requirements. A feature covers a specific set of these requirements.

Features are in the first view functional requirements - functionality that is provided by a software product or not. Considering features as non-functional requirements is as important as considering them as functional requirements. The reason for this is the overarching scope of non-functional requirements for the whole system and how it functions. Non-functional requirements can address e.g. reliability, performance, or maintainability of software products.

Features are not only of the type present or not in a software product. They may also have dependencies between each other. The most common relationship is "depends on" where one feature can only be present when the other one is already there. The opposite relation is "mutually exclusive" where features can never be present at the same time.

#### 2.2 Feature Usage

In Chapter 2.1, features were described as a more abstract concept to describe the software's functionality. There are several approaches which put features in the center of their design such as:

- Software Product Line Engineering (SPLE) A software product line has the goal to support a set of similar software products with a shared set of source code. The potential variants are integrated into the shared platform and are selected via a feature model, representing features and their relation.
- **Clone &Own** Describes a procedure to copy a complete software or parts with certain features and use it independent of the original product further on.

- Feature-Driven Development (FDD) An agile methodology for project planning whereby the customers' functionalities (features) are put in the center for all planning tasks.
- **Virtual Platform** A tool that supports a set of incremental migration techniques to perform a transformation from clone&own to software product line engineering.

#### 2.3 Feature Location

For developing or changing a feature in the software, its location must be known. Ji et al. (2015) discussed two important questions with feature locations. Firstly, "How to effectively maintain traceability between the features and the corresponding software assets?" and secondly "Where to store the feature traceability information?". Addressing the first question, to map features to source code, two possibilities exist, the eager and the lazy strategy. The eager strategy requires an effort to record feature positions during the actual software development. The lazy strategy retroactively re-constructs the feature location when required afterward. The benefit of the eager strategy is for the developer to have in the moment of development the best understanding of the feature and its relation to the source code. The process to share feature knowledge and even deteriorating feature location knowledge might hinder this work for developers themselves (Ji et al., 2015; Krüger, Mukelabai, et al., 2019; Andam et al., 2017).

For recording feature locations, which is only applicable in the eager strategy, two possible solutions exist. Either to record the feature location in an own external tool or directly with the source code artifacts. The external tool requires a universal way to position the feature locations in different kinds of software artifacts and to handle the evolution of source code. This means that changes must be either detected and mapped or manual work is required to keep the tool up-to-date. The internal (embedded) approach requires a general approach as well to annotate all kinds of software assets without disturbing its functionality or pre-compiler functions.

Krüger, Çalıklı, et al. (2019) showed that small improvements on the source code level have a big impact on software development, as developers primarily focus on it. A lightweight technique, such as embedded feature locations into source code, has an immediate benefit to development and maintenance without tool training or specialist processes to follow.

#### 2.4 Traceability and Variability

Embedded annotations for feature locations may serve two purposes. Either to trace the location of the feature(s) in the source code (traceability) or to control the active parts of a software product which are part of the product's binaries (variability).

To document feature locations in a most flexible way, as well as to link these to other artifacts, the notion of traceability is followed.

Using traceability information for variability purposes and vice versa must be handled with care. Variability information (e.g. #IFDEF pre-compiler) are exclusive on the source code level and contain very specific information which source code parts are pre-compiled into the binaries. Traceability information thereby allows to map source code to features while keeping it unmodified. Furthermore, it enables the link to higher levels such as software architecture or requirements and provides higher flexibility in marking features, due to the non-modifying character.

#### 2.5 Feature tangling and scattering

A goal of software development is to create modular and re-usable source code. Therefore, to follow the design principle separation of concerns is required. This means that concerns - aka. features - are separated into consistent blocks of source code, also known as cohesion. At the same time, these code blocks need to be independent of each other, known as coupling. To reach a good modular and re-usable software it shall have high cohesion and low coupling. With rising complexity, legacy systems, and interconnections between concerns (cross-cutting concerns) the separation into code blocks is difficult or would complicate the overall software unnecessarily. Therefore, software products have always features that are interconnected either in a tangled or scattered way. (Apel et al., 2013)

**Feature Tangling** means that source code blocks belonging to a certain feature are mixed with source code belonging to different feature(s) inside one logical unit, such as a class, method, or if/switch statement.

**Feature Scattering** means that a certain feature is separated over multiple different parts of the source code, such as classes or methods.

**Example for tangling and scattering** The following source code snippets show an illustrative example for tangling and scattering. The code is scattered for feature "Weight" (in red) and feature "Color" (in blue) over all shown classes. Inside class "Edge" both features are tangled.

```
class Graph {
                                                            class Node {
  Vector nv = new Vector();
                                                              int id = 0:
  Vector ev = new Vector();
Edge add(Node n, Node m) {
                                                              Color color = new Color();
                                                              void print()
                                                                 if (Conf.COLORED)
     Edge e = new Edge(n, m);
     nv.add(n); nv.add(m); ev.add(e);
                                                                   Color.setDisplayColor(color);
     if (Conf.WEIGHTED) e.weight = new Weight();
                                                                 System.out.print(id);
     return e;
                                                              }
                                                            }
  Edge add(Node n, Node m, Weight w){
    if (!Conf.WEIGHTED) throw RuntimeException();
                                                            class Edge {
    Edge e = new Edge(n, m);
                                                              Node a, b;
    nv.add(n);nv.add(m);ev.add(e);
                                                              Color color = new Color();
    e.weight = w; return e;
                                                               Weight weight;
  }
                                                              Edge(Node \_a, Node \_b) \{ a = \_a; b \}
  void print() {
                                                                 = _b; \}
     for (int i=0; i < ev. size(); i++){
                                                               void print() {
   if (Conf. COLORED)
        ((Edge)ev.get(i)).print();
     }
                                                                   Color.setDisplayColor(color);
  }
                                                                 a.print(); b.print();
if (!Conf.WEIGHTED) weight.print();
                                                              }
class Color {
                                                            }
  static void setDisplayColor(Color c) {...}
                                                            class Weight { void print() { \dots } }
```

Listing 2.1: Code example tangling and scattering (Berger, 2019)

#### 2.6 Tangling Degree and Scattering Degree

To measure the tangling and scattering of code presented in Chapter 2.5, the two measurement values scattering degree and tangling degree exist. Both, scattering degree and tangling degree can be applied to source code and on file level. The following definitions are a combination of the research results of Liebig et al. (2010) and El-Sharkawy et al. (2019).

Metric	Description
$SD_{vp}$	Scattering degree per individual annotation in source code. Represents
	the sum of variation points where the individual annotation is used.
	Variation points are in this context & begin, & end and & line.
$SD_{file}$	Scattering degree per individual annotation in file level. Represents the
	sum of files where the individual annotation is used.
$\mathrm{TD}_{vp}$	Tangling degree per individual annotation in source code. Represents
	the sum of annotations used in one variation point.
$\mathrm{TD}_{file}$	Tangling degree per individual annotation in file level. Represents the
	sum of used annotations in one source code file.

Usually for all metrics, the average value and standard deviation are given.

 Table 2.1: Definition of Scattering and Tangling Degrees

With these metrics, you can make a general assumption about your project and its tangling/scattering situation as well to track if it changes over time.

A factor to consider with such metrics is the way how they are calculated. Liebig

et al. (2010)[p.4] "measure each metric after normalizing the source code of each software system (i.e., removing comments and so on)". Another factor which might be different handling in metrics "of variation points, namely negating and #else directives, to which we refer to as corner cases, as they are seldom explicitly considered in research" (Ludwig et al., 2019)[p.1].

#### 2.7 Git Version Control Data Flow

Git as distributed version control system stores its to be managed source code online in a "Remote Repository" and creates a full copy of this "Remote Repository" on the users' machines. The user takes the data from the "Remote Repository" (command "git pull") to its own "Local Repository" and "Working Directory". In the "Working Directory" the user can perform its changes.

After completing the changes, the results shall be shared with others and need to be moved from the "Working Directory" to the "Remote Repository". The first step is to add your changes to the "Staging Area", aka "Index" (command "git add"). The "Staging Area" serves the purpose to prepare changes in different files and folders for a shared change in source code. After all, changes have been prepared in the "Staging Area", they are bound to-



Figure 2.1: Git Data Flow Extract and Storage Level

gether into one commit of changes (command "git commit") and moved into the "Local Repository". The final step to share the changes with others is to push one or more commits from the "Local Repository" to the "Remote Repository (command "git push").

#### 2.8 Git Partial Commits

Git partial commit is a sub-command of the "git add" command. As the name indicates, a "partial commit" versions only a part of a modified git resource. Therefore the "git add" command offers the optional parameter -p or --patch: "Interactively choose hunks of patch between the index and the work tree and add them to the index. This gives the user a chance to review the difference before adding modified contents to the index." (Conservancy, 2020)

To prepare the differences and add them to the "Local Repository", the "Staging Area" is used to collect the results of the individual partial commit steps.

The goal with this process is to enable software developers to work on different features and the base code at the same time, without losing the possibility to create commits in functional fitting units. To create a partial commit with the "--patch" option, Git is breaking down with an internal algorithm all changes into so-called "hunks". I.e. a hunk is a small piece of source code, representing a by git expected

belong together difference.

This partial creation of a git commit is possible as git indexes the changes in the staging area before actually committing them. As a developer, you can decide per hunk if you want to put it into the commit, not put it into the commit or break that hunk further down. After evaluating all changes, the commit is complete and can be versioned with a commit message.

Git partial commit is a way to implement parallel different changes in source code and maintain a clean commit history but requires manual and time intense steps. Such a process might be supported with specialized tooling for certain use cases.

#### 2.9 Related Work

The following literature has been analyzed to provide the foundation for this work. The different researchers show the importance of feature documentation and the benefits of using embedded annotations for this purpose. Several works provide as well tool implementations for feature extraction on embedded annotations and take for this an own set of annotation rules on how to use them. It also reveals that only with the right tool support, the concept of embedded annotations be can be used to best effect.

Krüger, Mukelabai, et al. (2019) analyzed in their work two open-source products, "Marlin" (3D printer SW) and "Bitcoin-Wallet" for Android. They identified and located features and provided their results to the research community as a reference software for feature location.

The main aspects taken of this work are the notion of features as well as the notion of embedded annotations, shown in the created annotated projects.

The power of embedded annotations is shown by Ji et al. (2015). In their work, the researchers unveiled that embedded annotations have the main benefit to evolve naturally with the source code itself. The later usage of these annotations allows reduced costs for development, feature propagation, and platform/clone creation as well as maintaining tasks. The saved costs are thereby higher than the spend ones, which were almost zero.

The work with feature annotation can be split into the following tasks: Adding Features, Removing Features, Refactoring Features, Improving Feature Representation, Fixing Annotations, Cloning, and Maintaining Consistency and Evolving Assets. All of them need to be considered when using embedded feature annotations in real projects. (Ji et al., 2015)

The main aspects taken of this work are the challenges arising from working with software features, the different documentation possibilities as well as the cost-efficient usage of embedded annotations.

Entekhabi et al. (2019) proposed the tool FeatureDashboard<sup>1</sup> for feature visualization. The tool is based on textual feature annotation on source code snippet, source

<sup>&</sup>lt;sup>1</sup>https://bitbucket.org/easelab/featuredashboard/

files, and folder level. FeatureDashboard is an Eclipse-based tool and supporting different views about the annotated project. With the available graphical and metric views, developers can identify where the features are located and in addition see their relationship and how they are tangled.

The main aspects taken of this work are the notion of embedded annotations and information about the tool FeatureDashboard to extract feature locations.

The foundation for FeatureDashboard is given by the tool FLORIDA (Andam et al., 2017). Besides setting the two main use cases: Encouraging developers to use embedded feature annotations and feature-location recovery, Andam defines the embedded annotations, the feature views, and metrics as well as the feature location. The main aspects taken of this work are notion of features and embedded annotations, as well as potential use cases for embedded annotations.

Enabling and encouraging developers to add feature locations into their daily work is challenging. Mainly as the benefit of this work is seen after some time in the maintenance process and even not be the developer himself. Therefore, tool support is necessary to document feature locations. As feature location is a labor extensive working task and fully automated feature locating tools miss the industries required precision, Abukwaik et al. (2018) proposed a machine learning enriched recommender system to enable developers to tag their new created source code. The main aspect taken of this work is the recommender system to support developers while development and maintenance to document their features.

Hevner et al. (2004) investigate in their work design-science and behavioral-science. Both of them common research methodologies in the Information Systems discipline. Behavioral-science covers research on humans and firms may behave, while designscience searches new ways to create innovative artifacts.

The main aspect taken of this work is the design-science methodology.

#### 2. Background and Related Work

# Methodology

This chapter contains the research focus and describes the underlying research methodology with its concrete adaption for this research. It describes the research questions and how they are covered by the following described research methodology.

#### 3.1 Research Questions

In Chapter 2.9 "Related Work" the current state of research is shown and that currently different definitions and therefore different implementations to extract embedded annotations exist. This research is targeting both conceptual and technical aspects and the following research question and claims are raised for it.

- **RQ1** What can a unified and intuitive standard for embedded annotations look like?
  - **Claim1** A common definition of embedded annotations improves software development efficiency and maintainability.
  - Claim2 Embedded annotations are intuitive to use.
  - Claim3 Embedded annotation location extraction is meaningful for software development
- **RQ2** How can embedded annotations make an industrial use case more efficient?

#### 3.2 Design Science

The methodology chosen for this thesis is design science. Design science is a problemsolving approach which describes how to conduct, evaluate, and present product development for information system projects. Hevner et al. (2004, p.80) described it as "The goal of design-science research is utility", which means to extend persons and organizations capabilities by creating new and innovative artifacts. The focus is thereby to plan what will be developed and to reason how it will be used. A goal is to learn from the reasoning when successful, but especially when expectations failed. The difference to the related behavioral-science-research is its search to develop and verify theories, Hevner et al. (2004) proposed in their work seven guidelines for design science in information system projects. These guidelines are in the following listed and contextualized to this work.

#### Guideline 1: Design as an Artifact

The goal of design science is to create a viable artifact, which can be a software, model, or method. Often the constructed solution is not fully grown and covering in this phase a specific aspect of information systems.

In the context of this thesis, a unified design and an extraction engine for embedded annotation, as well as a Git extension for partial feature-based commits is created.

#### Guideline 2: Problem Relevance

Design science aims by constructing innovative technology-based solutions to tackle currently unsolved technology and business problems.

In the context of this thesis, we tackle the lack of standardization of embedded annotations as well as the lack of an easy way to perform partial commits based on embedded annotations.

#### Guideline 3: Design Evaluation

To demonstrate the intended functionality of the developed solutions, design properties for functional and quality aspects are required to evaluate the concept. Due to the iterative and incremental activities, the evaluation phase provides regular updates to the development phase.

The evaluation happens for this research in an empirical dimension as well as a technical dimension. For the unified standard of embedded annotations, personal talks, and a survey is conducted with the supervisor, his research group, and practitioners. To ensure the quality of the implemented tools, technical tests are derived from the specification to ensure the valid detection of embedded annotations and the skipping of non-embedded annotations.

#### **Guideline 4: Research Contributions**

As a research methodology, design science targets to provide new and interesting research results to the body of knowledge. The kind of contribution for the designed artifact might be in novelty, generality or significance.

The contribution of this thesis is on the one side the created embedded annotations library - the design artifact - and the specification for embedded annotations - methodology.

#### Guideline 5: Research Rigor

To determine how well an artifact works, rigor methods need to be designed. Often mathematical formalism on data collection or data analysis is used for this purpose.

Solutions which contain a human factor require more informal methods and interaction with the user.

The level of rigor is also derived from how efficient the solution can be used as well as how applicable it is to the given theory. A high level of rigorous account of generalizability and means to find the right balance between rigor and relevance.

For research question 1, qualitative and quantitative data is collected to evaluate the design of the embedded annotation design. The quantitative data shows thereby if one attribute is in general fulfilled or not.

Research question 2 consists of a tool implementation, which will be evaluated with a set of common development activities. For this the activities are conducted with and without the help of the created tool.

#### Guideline 6: Design as a Search Process

Searching for the most optimal solution happens in design science as an iterative approach. Starting with a simplified problem or subset allows in the different iterations to learn more about the underlying problem. Searching for the optimal solution requires knowledge about the problem space and solution space. Problem space is the given requirements for the to be solved issue and the solution space covers the technical and organizational aspects.

For this thesis work, we consider different phases of artifact development. Starting with a subset of embedded annotations, these will be tested with defined use cases, defined in collaboration with the industrial partner and research group. Incrementally expanding the functionality allows a deeper understanding of how embedded annotations work is done in this context and how the final solution looks like.

#### Guideline 7: Communication of Research

To implement and apply the created artifacts, both technical persons and managers need to be convinced of the meaningful purpose of it. The challenge is to provide enough details to technical persons to apply and implement it on a technical level and at the same time to abstract it to an organizational level to allow managers to decide about it for their responsibility area.

In Chapter 4 the "Embedded Annotations Design" is presented and represents the pivot point to use embedded annotations for a project. The design description is written in a way to show technical details and convey the usefulness of the approach. The concrete benefits are shown in Chapter 6 "Industrial Use Case".

All created tools are online accessible and available for later use. It is intended to write a research paper about this work to allow compactly sharing the results.

#### 3.2.1 Adjusted Design Science

Design Science distinguish the research into "Environment", "Design Science Research", and "Knowledge Base". These areas are linked with different cycles: "The Relevance Cycle bridges the contextual environment of the research project with the design science activities. The Rigor Cycle connects the design science activities with the knowledge base of scientific foundations, experience, and expertise that informs the research project. The central Design Cycle iterates between the core activities of building and evaluating the design artifacts and processes of the research" (Hevner, 2007)[p.2].

In the **Environment** block, different "Application Domains" exist for this research. The first are to be considered "People / Organizational Systems" with the different roles and company processes, which are linked to the design science research, are listed. For this research they are SW-Developer, SW-Architects, Project Management, and Requirements Engineering. Secondly, for the to be considered "Technical Systems", for this research the areas of Feature Documentation, Feature Traceability, Feature Location, and Feature Location Tools are considered. And lastly for "Problems & Opportunities", Standardization, Lightweight tool, and Feature Isolated Development are the important aspects to consider.

The **Relevance Cycle** links the "Environment" and "Design Science Research" blocks and is responsible for input requirements to the research, but also to return the design science research output for field testing back to the environment. For this research the relevance cycle is pass through with the talks to a web development company for the usage of embedded annotations and potential use cases. Also, for feedback to the in the design science research created artifacts this cycle is pass through. For the created Embedded Annotations Design feedback is received by practitioners and the industrial use case is evaluated on typical work tasks of the application domain.

In the **Knowledge Base** block, the theoretical foundation for the design science research is given. As foundation for the definition of embedded annotation, the "Scientific Theories & Methods" of Andam et al. (2017), Entekhabi et al. (2019), Ji et al. (2015), and Krüger, Mukelabai, et al. (2019) are used. The knowledge base is backed with the "Experience & Expertise" of this thesis works supervisor' Thorsten Berger and his research group *easelab*. As existing "Meta-Artifacts" the tools FLORIDA and FeatureDashboard, as well as the git-add sub-command "—patch" are used.

The **Rigor Cycle** is located between the Knowledge Base and the Design Science Research and provide current knowledge as well as state-of-the-art knowledge to the research. For this research different research works have been conducted especially in the first half of the research to design the embedded annotations design. This cycle was also pass through in weekly meetings with the supervisors, plus talks to the research group. Also, for program comprehension of the tools FeatureDashboard and git partial commit this cycle is used.

**Design Science Research** has as core element the **Design Cycles**. In this block the research itself is conducted. While Relevance Cycle and Rigor Cycle are conducted for special purposes, the Design Cycles are pass through more frequently. This research has different Design Cycle to evaluate the build artifacts. The *Embed*-*ded Annotation Design* as artifact is evaluated in several iterations with the supervisor and his research group. For this the current state as well as potential options were discussed and the next steps defined. After a stable version of the design was reached, external feedback was collected in an empirical survey with industrial par-

ticipants. For this survey persons in different roles and from different companies participated. The design was created based on a set of design properties, which were also used to evaluate the design within this survey. For this the participants had the option to rate a question in a Likert scale from "Completely Disagree" till "Completely Agree" as well as to provide free text answers to the questions. The survey is closed with optional participants industry role and contact information. The received feedback was collected and used to further improve the design. In addition to the theoretical evaluation of the embedded annotation design, the design was used to implement a further artifact, the Reference Engine. While the Reference Engine itself is an artifact, it serves at the same time as evaluation of the design as it is now put into practice and new aspects appeared while implementing and testing. Also, potential options of the design could be eliminated and rose within this Design Cycle. The last Design Cycle is between the Reference Engine, now considered as artifact, and the Industrial Use Case. For the Industrial Use Case several use cases have been evaluated and with the industrial partner two of them where evaluated in more detail. Finally, one use case was implemented and evaluated with this the Reference Engine. This Design Cycle fulfilled thereby especially the purpose to evaluate the interfaces and reliable extracted data. Used to evaluate the Reference Engine, the Industrial Use Case itself has own typical Development Scenarios, e.g. bug fixing, new feature development, or to evaluate its functionality. These typical scenarios have been defined and the result with and without the in the Industrial Use Case created artifact evaluated.

Over the time of the research, the different Design Cycles have been focus of specific **Research Objectives** where a specific aspect of the overall design science research has been worked on.



Figure 3.1: Design Science Methodology applied for this research

#### 3.2.2 Project Research Objectives

The research questions are answered with a methodology, based on an adjusted design science process. Different research objectives of the thesis answer thereby different RQs.

The first research objective covers the creation of a unified embedded annotation design and specification and answers thereby RQ1. Research objective 2 takes care of the creation of an engine (aka. library) for extracting embedded annotations out of source code. The last research objective, research objective 3, investigates into industrial use cases for the usage of the created specification and implement one of them. With research objective 3, RQ2 will be answered.

Research objectives 1 - Embedded Annotations Design to answer RQ1 with steps:

- Literature Review for knowledge seeking about embedded annotations, and which notions currently are available, is conducted. The literature review is conducted in a lightweight snowball technique with starting literature provided by supervisor, plus a search for embedded annotations and feature documentation on Google Scholar.
- **Specification** to create a notion of embedded annotations in syntax and semantics is created, discussed, and shared.
- **Research Group Feedback** to receive feedback from embedded annotation experts and experienced researchers.
- Survey Creation to conduct a survey with industrial practitioners.
- **Practitioner survey** to receive industrial feedback and include their feedback into the embedded annotation design and specification.

**Research objectives 2 - Engine for Embedded Annotation Extraction** with steps:

**Embedded Annotation Engine** according to the specification document to implement a reference library that can be re-used in industrial use-cases.

Research objectives 3 - Industrial use-case to answer RQ2 with steps:

- **Define use cases** which can be improved with the usage of embedded annotations.
  - **Pick use case** in collaboration with an industrial partner. This decision is taken in an open discussion between representatives from industry and research.

**Implement use case** , which was collaboratively selected, create a detailed concept to improve use case with embedded annotations and realize it.

Evaluate use case against described use case and present created concept.

4

## **Embedded Annotations Design**

The embedded annotations design serves the purpose to describe how to document software feature locations close to the source code artifacts level. In general, there are two ways to locate features in a software product: First, the "lazy" approach where to locate them when needed and second, the "eager" approach to document feature location while development. The here chosen approach is the "eager" one, which can be either reached with external tooling or as used here, to document the feature locations directly in source code and specialized files close to it. Embedded annotations offer the benefit - to externally documented feature locations - that they evolve naturally with the source code itself (Ji et al., 2015) and while cloning of source code in Clone&Own actions, allow propagating changes over software variants. Embedded annotations cover either blocks or specific lines of source code or file system resources. With this flexible approach, it is possible to annotate projects on a system level, e.g. to benefit from object-oriented programming, folders, and files reflect the internal structure, and at the same time to annotate line-specific feature relations. The way how these annotations work is independent of any project programming languages and can be also applied to non-source code files, such as e.g. configuration or binary files.

Embedded annotations fulfill the purpose of traceability and neither required central management nor to be pre-defined.

Features play a central role in modern software development. In general, agile software development focuses on customer functionality and features, whereby the method "Feature Driven Development (FDD)" takes a special position and puts the feature as the center of every decision and following the agile manifesto. (Wikimedia, 2019)

Locating features in source code is an important work for software developers (Entekhabi et al., 2019). The benefit to document features is seen in most cases only in the long run or with high coverage of the source code but can reduce feature location costs significant (Ji et al., 2015). Currently several slightly different approaches exist to write feature locations in project artifacts, known as embedded annotations (Ji et al., 2015; Andam et al., 2017; Entekhabi et al., 2019; Krüger, Mukelabai, et al., 2019)<sup>1</sup>. The situation of different approaches prevents a general unified working with embedded annotations and therefore reuse of tools and for developers changing projects/companies to use them without potential wrong usage. The here proposed

<sup>&</sup>lt;sup>1</sup>Details to differences in Table A.1, Chapter A.1 .
notion unifies these approaches and allows the implementation of reference software libraries to it.

# 4.1 Formal Definition of Embedded Annotations

#### **Embedded Annotations Terminologies**

The design for embedded annotations requires some special terminologies:

- **Feature** A distinct functionality or attribute of a software product, usually expressed in functional or non-functional requirements.<sup>2</sup>
- **Feature Model** A feature hierarchy model, describing feature names and their hierarchy in textual form.
- Feature Reference Reference to a concrete feature in the feature model.
- **Annotation Marker** Keyword to open/close the annotated scope for one or more feature references.
- **Annotated Scope** Artifacts, source code/files/folders, associated with one or more features. The scope is set with specialized files and annotation markers in source code.
- Annotation Concrete usage of one Feature Marker in source code, including all its feature references.

#### **Design Properties**

For Design Properties, goals "such as simplicity, aesthetics, expressiveness, and naturalness are often mentioned in the literature, but these are vaguely defined and highly subjective" (Moody, 2009)[p.757]. For this work several design properties are defined and backed up with documenting the design decision flow and reasoning about them. This allows traceability between the final design properties and their origin and helps to justify them. For each design property a unique name is selected and described in a short statement what the property is about. (Moody, 2009)

The following four main- and five sub-Design Properties are used for this embedded annotations design. They are derived from Balzer's "principles of good specification" (Balzer and Goldman, 1981)[p.393] as well as extended with the experience & expertise of the supervisor and co-supervisor. These principles cover the primary use of software specifications: unambiguously and clearly understandable by specifier and implementor (understandability), testability of the specification's implementation, and maintainability to change the specification over time.

**Usefulness** (Balzer's Principle 1 and 2)

For Usefulness, the design must fulfill its intention to support embedded annotations and provide its user benefit to its working task. For this it defines the necessary elements in functionality and inside the annotation process.

**Easy Applicable** is part of the Usefulness property and describes how easy embedded annotations can be applied to a specific project.

 $<sup>^{2}</sup>$ Detailed analysis about feature definition in Krüger, Mukelabai, et al. (2019)

- **Flexible to Use** is part of the Usefulness property and considers how flexible embedded annotations can be used inside source code and for different projects.
- **Intuitiveness** (Balzer's Principle 5)

For Intuitiveness, the designs level of how natural it feels for the user to use embedded annotations is considered.

- **Easy to learn** describes the process to learn to use embedded annotations and that this time shall be as small as possible.
- **Robustness** (Balzer's Principle 3, 4, and 7)
  - For Robustness, two dimensions are considered. For the user of embedded annotations, Robustness means that as many annotations as possible survive the evolution of the project, e.g. moving folders/files, removing code, and editing code. For the specification itself, Robustness means that it can be extended and evolved modular and do not require to rework the whole design.
  - **Redundancy** (Balzer's Principle 8) is part of the Robustness property and ensures that embedded annotations are designed in that way that annotating a feature in an artifact, the number of added markers and feature references is minimal and not repeated unnecessarily.
  - **Succinctness** (Balzer's Principle 6) is part of the Robustness property and balances between readability and that the additional writing effort is minimal.

#### **Negligible Efforts**

A design property which results in well working other design properties is Negligible Efforts. Besides having a useful, easy to understand and robust design, the arising costs to create and maintain embedded annotations shall be minimal. This shall avoid that embedded annotations are refused to use because of too high costs.

#### Embedded Annotations Meta-Model

The Meta-Model shown in Figure 4.1 shows the different attributes, relations and constrains of the embedded annotation notion.

The Meta-Model for embedded annotations contains 16 attributes and 22 relations. The elements used to mark source code artifacts with embedded annotation belong to the attribute type *Artifact* and derive into *Folder*, *File* and *Code Artifact*. *Code Artifacts* thereby derive into *Code Block* and *Line of Code* annotations. The different types of *Artifact* have all a many-to-many relationship to their feature-mapping counterparts. *File* has thereby the specialty that it contains of a feature-mapping and a *File Reference*.

A concrete Feature is represented by a Feature Reference which in the following can be used for Feature to Folder Mapping, Feature to File Mapping or Code Annotation; all of them from the type Feature Mapping. A concrete Feature Reference is used in a concrete mapping, but a mapping might consist of multiple Feature References. Feature References in Code Annotations are either Block Annotations or Line Annotations. One Block Annotations consist of exactly two Annotations Marker: "Begin" and "End". One Line Annotation consist of exactly one Annotation Marker: "Line".



Figure 4.1: Meta-Model for Embedded Annotations

## Embedded Annotations Level System

The definition of embedded annotations is split into two levels. This serves the purpose to have the appropriate level of expressiveness for different purposes. The levels are briefly introduced and explained in detail in the further chapters.

Level 1 Begin-, End- and Line-annotations, annotation identifier, Least-Partially-Qualified name, Simple Hierarchy Model, feature-to-file mapping and featureto-folder mapping

Level 2 Level 1 + Logical operator expressions, Full Hierarchy Model

# Keywords

Keywords are reserved words for the usage of embedded annotations and can not be used as annotation names. Note that the limitation is not on a combination of keywords and other words, e.g. the keyword "line" in a concatenation such as "DatabaseLineReading" will not be treated by the parser as a keyword.

# Keyword-List:

- &begin
- &end
- &line
- &file

# Grammar syntax definition

To express the description of embedded annotations in a regular grammar, the Extended Backus–Naur Form (EBNF) is used. The benefit of this representation is to be free of programming language-specific constraints. The EBNF consists of terminal characters (letters, numbers, spaces, symbols) and non-terminal characters (one or more terminal characters or other non-terminal characters). An expression in EBNF is composed of non-terminal characters and their replacements till only terminal characters are left in the expression.

For the notion of embedded annotations, there are "Code Annotations", "File Annotations", "Folder Annotations" and "Simple Hierarchy Model" available as own EBNF definitions. This is possible as file, folder and hierarchy annotations are located in specialized files with pre-defined names. All remaining documents are checked for "Code Annotations".

Shared assets between the embedded annotation grammars are "Feature-Reference" and "FEATURENAME". The representation of the grammars is in the respective EBNF snippets and the full EBNF grammar is attached in the appendix in Chapter A.2 "EBNF Grammar Definitions".

```
\langle feature reference \rangle ::= \langle FEATURENAME \rangle ('::' \langle FEATURENAME \rangle)^*;
```

Grammar 4.1: EA, EBNF of Shared Feature-Reference Expression

 $\langle FEATURENAME \rangle ::= ([A-Z]+ | [a-z]+ | [0-9]+ | `_'+ | `,''+)+$ 

Grammar 4.2: EA, EBNF of Shared FEATURENAME Expression

# 4.2 Feature Hierarchy Model

The Feature Hierarchy Model defines the available features and their hierarchy relations in a textual format. The feature hierarchy model serves to model features and organized them in a hierarchical structure to keep an understanding of them. This model needs to be maintained by the developers themselves as they have the deepest domain knowledge. This work can be supported by SW-Architects or domain experts.

The syntax is inspired by the Clafer modeling language (Bak et al., 2011). Feature models allow very detailed descriptions of feature hierarchy and relations in-between. For the purpose of feature modeling, a subset of these options is sufficient and presented in the following as "Simple Hierarchy Model". The full range of feature models is touched in the "Full Hierarchy Model".

**Simple Hierarchy Model** The simple hierarchy model covers the feature references and their hierarchy with one-tab indentation per level. Each feature reference is listed as an independent line. For the simple hierarchy model, the hierarchy-file must be stored at the root node of the project and is exclusive per project.

#### Example:

```
1 ProjectName
2 FeatureA
3 FeatureA1
4 FeatureA2
5 FeatureB
6 FeatureB1
```

**Full Hierarchy Model** The Full Hierarchy Model supports all language elements of feature hierarchy models. Each feature is listed as an independent line and constraints such as annotations relation, e.g. xor as mutually exclusive selection between annotations, or to mark an annotation with "?" as optional are possible. The full hierarchy model covers extended capabilities, as defined by the Clafer language, and includes also feature inheritance and nesting.

## Example:

```
ProjectName
FeatureA ?
FeatureA ?
FeatureA1
FeatureA2
FeatureB
FeatureB1 ?
FeatureB2
```

In concrete implementations, the feature hierarchy file name could be \_\_.cfr or similar as defined for this project.

**EBNF representation** For the simple hierarchy model, which is used in this project scope, the following EBNF implementation is used. The chosen approach lacks flexibility but fulfills the functional requirements. The proper handling of indents require specialized source code extending the EBNF.

 $\langle projectHierarchy \rangle ::= \langle FEATURENAME \rangle (\langle subfeature \rangle)^* \\ \langle subfeature \rangle ::= (' \ '' \ 'EATURENAME \rangle) \langle subsubfeature \rangle^* \\ \langle subsubfeature \rangle ::= (' \ '' \ 'T \ 'EATURENAME \rangle) \langle subsubsubfeature \rangle^* \\ \langle subsubsubfeature \rangle ::= (' \ '' \ '' \ 'T \ 'T \ '' \ 'T \ '' \ 'T \ '' \ '' \ 'T \ '' \ 'T \ '' \ 'T \ '' \ 'T \ 'T \ '' \ 'T \ 'T \ 'T \ '' \ 'T \ 'T$ 

Grammar 4.3: EA, EBNF-Snippet of Simple Hierarchy Model

# 4.3 Feature Reference Names

Inside the feature hierarchy model, features with the same name may appear twice or more often. To reference features uniquely the individual feature is pre-extended by its ancestor till the combined feature reference is unique. This technique is called Least-Partially-Qualified name, short LPQ.

Example:

```
    CoffeeShop
    Coffee
    Sugar
    Milk
    Tea
    BlackTea
    Milk
```

The feature "Milk" appears twice in the overall model, the individual entities can be addressed by "Coffee::Milk" and "BlackTea::Milk".

In contrast, the fully-qualified-name is much longer and more likely to change as compared to the least-partially-qualified name when the feature model evolves. In case an annotation appears only once, its LPQ is identical to its name, e.g. "Sugar". The separation of individual annotations to their ancestors is shown via the "::" characters. Approach from Andam et al. (2017).

# 4.4 Annotation Listing

Annotation identifiers are the concrete embedded annotations or features used in the source code. They are individual or combined words, without spaces or punctuation marks.

The usage of multiple annotation identifiers together is possible. In concrete implementations, the separator of annotations could be a comma, space-character, or similar as defined for this project.

The following syntax applies for the annotations listing:

Annotation\_1, Annotation\_2 [, Annotation\_n]

The conjunction of multiple annotations causes the mapping of the marked source code to ALL listed annotations in the same way. I.e. the order of the given annotations is independent.

# 4.5 Feature expression logic

Besides mapping source code, files, and folders to features or a list of features, it might be required to map code to combinations of features, written in Boolean expressions.

## **AND-Operator**

The marked code part is considered to all given annotations individually. Comparable with multiple begin/end markers.

In concrete implementations the logical operator between individual annotations could be an "AND", "&&" or similar as defined for this project.

The following syntax applies for combining multiple annotations in one identifier :

```
Annotation_1 AND Annotation_2 [AND Annotation_n]
```

Alternatively, with symbolic characters

Annotation\_1 && Annotation\_2 [&& Annotation\_n]

## **OR-Operator**

The marked code part is considered to all given annotations individually. Comparable with multiple begin/end markers.

In concrete implementations the logical operator between individual annotations could be an "OR", " $\|$ " or similar as defined for this project.

The following syntax applies for combining multiple annotations in one identifier :

```
Annotation_1 OR Annotation_2 [OR Annotation_n]
```

Alternatively with symbolic characters

Annotation\_1 || Annotation\_2 [|| Annotation\_n]

#### **NOT-Operator**

The marked code part is considered to all annotations individually, except the given one.

In concrete implementations the logical operator for an annotation negation could be an "NOT", "!" or similar as defined for this project.

The following syntax applies for annotation negation in one identifier :

```
NOT Annotation_1
Alternatively with symbolic characters
```

```
1 ! Annotation_1
```

## Order of operators

Annotations logic operators may appear in mixed mode. The general precedence rules of Boolean expressions apply in this case.

# 4.6 Annotation Markers

There are three kinds of annotation markers: &begin, &end, and &line, each with specific purposes and syntax. Annotation markers are escaped through the programming language specific comment characters, such as e.g. "//" or "#". This avoids unwanted side effects for the project execution.

The individual markers have a leading '&'-symbol to distinguish these keywords from regular comments. Alternatives were considered, but the approach of the '&'symbol from the basic embedded annotations definition is taken over. For example the '@'-symbol is used for JavaDoc keywords, the '\$'-symbol is used in Bash scripts for variable definition and as well in Bash scripts the '#'-symbol as escape character for comments. Also, we consider the start of a comment with an '&'-symbol followed by one of the keywords for a different purpose as unlikely.

# 4.6.1 The begin-marker

In concrete implementations, this could be #ifdef, & begin, or a similar expression defined for this project.

The following syntax applies for the begin-marker:

```
//&begin[ <parameter> ] <comment> <cr> /*<cr> carriage return*/
/*is a newline symbol */
```

This marker considers the following consecutive lines of text to be part of this identifier. Identifiers can be defined in a hierarchy feature model but must not. A begin-marker must be closed by an end-marker.

# 4.6.2 The end-marker

In concrete implementations, this could be #endif, &end, or a similar expression defined for this project.

The following syntax applies for the end-marker:

```
//&end[ <parameter> ] <comment> <cr>
```

This marker ends the scope of the begin-marker of the given identifier. Identifiers can be defined in a hierarchy feature model but must not. An end-marker must have a fitting begin-marker before.

# 4.6.3 The line-marker

In concrete implementations, this could be &line or a similar expression defined for this project. The line-marker is a convenient way to use a &begin- and &end-marker for a single line and can be substituted by them.

The following syntax applies for the line-marker:

any source code //&line[ <parameter> ] <comment> <cr>

This marker considers exclusively its own line of text to be part of this identifier. If this line is a class or method, still only the annotated line is considered as part of this identifier.

#### **EBNF** representation

1

$$\langle marker \rangle ::= .*? (\langle beginmarker \rangle \\ | \langle endmarker \rangle \\ | \langle endmarker \rangle | \\ \langle linemarker \rangle ::= '\&begin' ''* \langle parameter \rangle \\ \langle beginmarker \rangle ::= '\&end' ''* \langle parameter \rangle \\ \langle endmarker \rangle ::= '\&end' ''* \langle parameter \rangle \\ \langle linemarker \rangle ::= '\&line' ''* \langle parameter \rangle \\ \langle parameter \rangle ::= '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(' ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | '(''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*')' .*? \\ | ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''*' \langle lpq \rangle)* ''* \\ | ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''* \\ | ''* \langle lpq \rangle (''+ \langle lpq \rangle)* ''* \\ | ''* \langle lpq \rangle (''*', '''* \langle lpq \rangle)* ''* \\ | ''* \langle lpq \rangle (''*', '''* \langle lpq \rangle)* ''* \\ \langle lpq \rangle ::= \langle FEATURENAME \rangle ('::' \langle FEATURENAME \rangle)*$$

Grammar 4.4: EA, EBNF-Snippet of Annotation Markers

# 4.6.4 Interleaving of Annotation Markers

Annotation markers appear in the simple case independent of each other and have no cross-cutting relation. That this is unlikely in a practical context is shown by following Listing 4.1. Here the annotation "Codecs" is surrounded by "RequestCoins" and "BIP21" is inside "Codecs".

Potential interleaving situations are e.g. with overlapping annotation scopes with same begin-multiple end or vice versa.

**Overlapping annotation scopes** Between different & begin- and & end-markers as well with the & line-markers the marked scope for a certain annotation might overlap. A full overlapping (left), as well as a partial overlapping (right), are possible:

```
1 //begin[FeatureA] 1 //begin[FeatureA]
2 ... (code FeatureA) 2 ... (code FeatureA)
3 //begin[FeatureB] 3 //begin[FeatureB]
4 ... (code FeatureA, FeatureB) 4 ... (code FeatureA, FeatureB)
5 //end[FeatureB] 5 //end[FeatureA]
6 ... (code FeatureA) 6 ... (code FeatureB)
7 //end[FeatureA] 7 //end[FeatureB]
```

This situation might happen also with three and more annotation markers.

**Same begin-multiple end or vice versa** With the possibility of &begin and &end to support multiple feature references at the same time, there is the option to start multiple features within one &begin- and end them with individual &end-markers - or vice versa.

```
1 //begin[FeatureA, FeatureB] 1 //begin[FeatureA]
2 ... (code FeatureA, FeatureB) 2 ... (code FeatureA)
3 //end[FeatureA] 3 //begin[FeatureB]
4 ... (code FeatureB) 4 ... (code FeatureA, FeatureB)
5 //end[FeatureB] 5 //end[FeatureA, FeatureB]
```

This situation might happen also with three or more feature references.

**Greater flexibility than IFDEFs** The approach of interleaving of annotation markers provide greater flexibility to mark features than the notion of IFDEFs. IFDEFs set for their scope a concrete set of features and close them always all together. Usage of inner or simultaneous opening with individual closing is not possible as the IFDEF-precompiler cuts out the code parts and the inner parts are lost.

Example 1: Same begin with multiple ends

```
#define FEATURE_FIRST
#define FEATURE_SECOND
#ifdef FEATURE_FIRST &&
    FEATURE_SECOND
...
#endif /* FEATURE_FIRST */
...
#endif /* FEATURE_SECOND */
```

This setup causes a compiler error "#endif without #if" as #ifdef allows exactly one endpoint about its scope. I.e. multiple-ends or multiple starts are technically not possible. Example 2: Partially overlapping feature code (lines 4-8 and lines 6-10)

```
1 #define FEATURE_FIRST
2 #define FEATURE_SECOND
3
4 #ifdef FEATURE_FIRST
5 ...
6 #ifdef FEATURE_SECOND
7 ...
8 #endif /* FEATURE_FIRST */
9 ...
10 #endif /* FEATURE_SECOND */
```

Disabling "FEATURE\_SECOND" cause to early end of scope at first found #endif:

```
1 #define FEATURE_FIRST
2 //#define FEATURE_SECOND
3
4 #ifdef FEATURE_FIRST
5 ...
6 #ifdef FEATURE_SECOND
7 ...
8 #endif /* FEATURE_FIRST */
9 ...
10 #endif /* FEATURE_SECOND */
```

# 4.7 Feature Mappings

Embedded annotations are mainly used to annotate source code parts. For the mapping of whole files and folders including their subfolders, two kinds of mappings exist: feature-to-file and feature-to-folder.

# 4.7.1 Feature-to-code mapping

The feature-to-code mapping serves to link specific blocks and lines of code to one or more features. The parts of the source code which are mapped to a certain feature are called annotation scopes. An annotation scope is surrounded by annotation markers (see Chapter 4.6) and contains at least one feature reference (see Chapter 4.3).

# 4.7.2 Feature-to-file mapping

The feature-to-file mapping is a specialized file to map one or more file(s) and its/ their content to one or more feature references. All content of the linked file is considered fully to be part of the given feature references. The mapping file must be stored in the same folder as the source code files and covers only the file in this folder. This is especially helpful to map files that don't contain source code, such as binary or generated files. The feature-to-file mapping is exclusive for files and folders to be mapped to features by the feature-to-folder mapping - even when some operating systems handle files and folders identical (e.g. Linux).

In case the file name contains spaces or other special characters, the file name can be escaped with leading and ending quotation marks, e.g. "database config.dab".

For projects with little applicability, or if the files for feature-to-file mapping wants to be avoided, the same effect of mapping the complete content of a file can be reached with a "begin"-annotation marker at the beginning of the file and its "end"annotation marker counterpart at the end of the file.

The following syntax applies for the mapping file: Alternative 1 Alternative 2

1	File_a (File_b) <cr></cr>	1	<pre>File_a (File_b) <cr></cr></pre>	
2	<pre>Feature_1 (Feature_2) <cr></cr></pre>	2	Feature_1 <cr></cr>	
3	File_x (File_y) <cr></cr>	3	Feature_2 <cr></cr>	
1	<pre>Feature_n (Feature_m) <cr></cr></pre>	4		
õ	<eof></eof>	5	<pre>File_x (File_y) <cr></cr></pre>	
		6	Feature_n <cr></cr>	
		7	Feature_m <cr></cr>	
		8		
		9	<eof></eof>	

In concrete implementations, this filename could be \_\_.feature-file or similar as defined for this project. To avoid that the file is hidden by the development IDE or file explorer the leading underscore is recommended.

For the file syntax in feature-to-file mapping two solutions with different focuses exist. On the one side, one feature to multiple files and on the other side one file to multiple features. The reasoning for one of these solutions could be given by the scattering degree (SD) and tangling degrees (TD). For this, the  $SD_{file}$  and  $TD_{file}$ would have been required. Based on the systematic literature review of El-Sharkawy et al. (2019) only two works consider both metrics: Hunsen et al. (2015) and Zhang et al. (2013). Hunsen et al. (2015) analyzed 41 systems and provided both values, but analyzing the system on #IFDEF-variability and not traceability. Zhang et al. (2013) provided in their results only ranges and makes it difficult to compare and as well on variability base.

As no clear tendency can be given the proposed feature-to-file mapping is kept flexible. It supports, therefore, a mapping with multiple files to one or more features and one file to one or more features. The only constrain given is that a file-line is followed by a feature-line and the file must start with a file-line.

Alternative 3 Instead of handling the feature-to-file mapping in an own file, the same result can be reached with the file-marker which should be placed at the file-header, best initial line.

The following syntax applies for the file-marker:

```
//&file[ <parameter> ] <comment> <cr>
```

This marker considers the whole file to the given features in the parameter. The benefit is the independence of the specialized file and that with a copy/move the

feature information is automatically considered. The drawback is that a feature mapping change is not independent possible of the file, e.g. using in another project or scope, and technically not possible for binary files.

The shown "Alternative 2" is dismissed for the implementation part. The reason for this is the challenge to handle files without a file extension, e.g. a file named "database". The tool will not be able to detect if this is a file or feature. Therefore a safe mapping from files and features is not possible with this approach.

The shown "Alternative 3" is not considered in the implementation part. The reason for this is the late appearance of this option and that the functionality is available with the "Alternative 1".

## **EBNF** representation

$$\langle fileAnnotations \rangle ::= (\langle fileAnnotation \rangle)^{*} \\ \langle fileAnnotation \rangle ::= \langle fileReferences \rangle `:'? `' \n' + \langle lpqReferences \rangle \\ \langle fileReferences \rangle ::= (\langle fileReference \rangle (' `* \langle fileReference \rangle)^{*} ' `*) \\ | (\langle fileReference \rangle (' `* ', ' `* \langle fileReference \rangle)^{*} ' `*) \\ \langle fileReference \rangle ::= (',  ') \\ | (\langle fileName \rangle) \\ \langle fileName \rangle ::= \langle STRING \rangle \\ | (\langle STRING \rangle :' \langle STRING \rangle) \\ \langle lpqReferences \rangle ::= (\langle lpq \rangle (' `* \langle lpq \rangle)^{*} ' `*) \\ | (\langle lpq \rangle (' `* ', ' `* \langle lpq \rangle)^{*} ' `*) \\ \langle lpq \rangle ::= \langle STRING \rangle ('::' \langle STRING \rangle)^{*}$$

(hint: STRING equals FEATURENAME in Grammar 4.2)

Grammar 4.5: EA, EBNF-Snippet of feature-to-file Mapping

# 4.7.3 Feature-to-folder mapping

The purpose of this file is to map complete folders and their content to one or more feature references. The mapping of feature references to folders allows linking specific features to the folder, including all its sub-folders and files. With this, the mapping of complete folder structures to features is possible and may substitute the feature-to-file mapping. The mapping file is located on the top level inside the to be annotated folder.

This way of the feature-to-folder has two main benefits. Firstly, the mapping takes care that always all containing artifacts are linked to the feature(s) and provides, therefore, more stability on a folder base than feature-to-file where the developer would need to maintain the file list. And secondly, the location inside the folder is

more stable against renaming or moving the folder than the feature-to-file mapping.

The following syntax applies for the mapping file: Alternative 1 Alternative 2

1 <lpq> <cr></cr></lpq>	1 <lpq> , <lpq> <cr></cr></lpq></lpq>
2 <lpq> <cr></cr></lpq>	2 <eof></eof>
3 <eof></eof>	Ele-
Fuomplo	Example:
Example:	<pre>Feature_1 , Feature_n <cr></cr></pre>
<pre>Feature_1 <cr></cr></pre>	2 <eof></eof>
2 Feature_n <cr></cr>	
3 <eof></eof>	

In concrete implementations, this filename could be \_\_.feature-folder or similar as defined for this project. It is stored inside the folder it annotates to resists better changes of the folder, such as renaming or moving.

#### **EBNF** representation

```
 \begin{array}{l} \langle folderAnnotation \rangle ::= (``* \langle lpq \rangle (``* \langle lpq \rangle) * ``*) \\ | (``* \langle lpq \rangle (``*', `.* \langle lpq \rangle) * `.*) \\ | (``* \langle lpq \rangle (`\setminusn' \langle lpq \rangle) * `.*) \end{array}
```

Grammar 4.6: EA, EBNF-Snippet of feature-to-folder Mapping

# 4.8 Embedded Annotation Examples

## 4.8.1 Annotation Code Examples

To illustrate the defined begin-, end- and line-markers, two real-world examples shall illustrate the usage.

```
public static PaymentIntent fromBitcoinUri(final BitcoinURI bitcoinUri) {
224
       final Address address = bitcoinUri.getAddress();
final Output[] outputs = address != null ? buildSimplePayTo(bitcoinUri.getAmount
225
226
        (), address) : null;
227
       final String bluetoothMac = (String) bitcoinUri.getParameterByName(Bluetooth.
228
       MAC_URI_PARAM); //&line[Bluetooth]
       //&begin [RequestCoins]
final String paymentRequestHashStr = (String) bitcoinUri.getParameterByName("h")
231
       final byte [] paymentRequestHash = paymentRequestHashStr != null ?
        base64UrlDecode(paymentRequestHashStr) : null;
233
       //&begin[Codecs]
234
       return new PaymentIntent (PaymentIntent. Standard. BIP21, null, null, outputs,
235
        bitcoinUri.getLabel(), //&line[BIP21]
       //&end [Codecs
236
             bluetoothMac != null ? "bt:" + bluetoothMac : null, null, bitcoinUri.
237
        getPaymentRequestUrl(),
             paymentRequestHash);
238
       //&end[RequestCoins]
239
```

#### 240 }

**Listing 4.1:** Code example embedded annotations: Bitcoin-Wallet, class PaymentIntent. Adjusted from (Krüger, Mukelabai, et al., 2019).

Explanation of used markers and embedded annotations inside Listing 4.1:

- Line 228 "//&line[Bluetooth]" belongs to the feature Bluetooh and the linemarker matches exclusive line 228 to the feature Bluetooth.
- Line 230 "//&begin[RequestCoins]" belongs to the feature RequestCoins and the begin-marker maps lines 230 and following to the feature RequestCoins. This scope is ended with the "//&end[RequestCoins]" at line 239.
- Line 234 "//&begin[Codecs]" belongs to the feature Codecs and the beginmarker maps lines 234 and following to the feature Codecs. This scope is ended with the "//&end[Codecs]" at line 236.
- Line 235 "//&line[BIP21]" belongs to the feature BIP21 and the line-marker matches exclusive line 235 to the feature BIP21.

```
115 public class BlockchainService extends LifecycleService {
       private WalletApplication application;
116
117
       private Configuration config;
       private AddressBookDao addressBookDao; //&line[AddressBook]
118
       private long serviceCreatedAt;
139
       private boolean resetBlockchainOnShutdown = false; //&line[ResetBlockChain]
140
       //&begin[ResetBlockChain]
157
       private static final String ACTION RESET BLOCKCHAIN = BlockchainService.class.
158
       getPackage().getName()
                  .reset_blockchain";
159
               +
       //&end[ResetBlockChain]
160
161
       //&begin[BlockchainSync]
       private static final String ACTION_BROADCAST_TRANSACTION = BlockchainService.
162
       class.getPackage().getName()
163
              + ".broadcast_transaction";
       private static final String ACTION_BROADCAST_TRANSACTION_HASH = "hash";
164
       //&end[BlockchainSync]
165
166
       private static final Logger log = LoggerFactory.getLogger(BlockchainService.
167
       class);
```

**Listing 4.2:** Code example embedded annotations: Bitcoin-Wallet, class BlockchainService. Adjusted from (Krüger, Mukelabai, et al., 2019).

Explanation of used markers and embedded annotations inside Listing 4.2:

- Line 115 "//&line[AddressBook]" belongs to the feature AddressBook and the line-marker matches exclusive line 115 to the feature Bluetooth.
- Line 140 "//&line[ResetBlockChain]" belongs to the feature ResetBlockChain and the line-marker matches exclusive line 140 to the feature Bluetooth.
- Line 157 "//&begin[ResetBlockChain]" belongs to the feature ResetBlockChain and the begin-marker maps lines 157 and following to the feature Reset-BlockChain. This scope is ended with the "//&end[ResetBlockChain]" at line 160.
- Line 161 "//&begin[BlockchainSync]" belongs to the feature BlockchainSync and the begin-marker maps lines 161 and following to the feature Blockchain-Sync. This scope is ended with the "//&end[BlockchainSync]" at line 165.

# 4.8.2 File Mapping Examples

1	Bitcoin-wallet
2	feature-model.cfr
3	src
4	de
5	schildbach
6	wallet
$\overline{7}$	data
8	offline
9	service
10	ui
11	util
12	feature-file
13	feature-folder
14	Configuration.java
15	Constants.java
16	Logging.java
17	WalletApplication.java
18	WalletBalanceWidgetProvider
	. java
19	

**Listing 4.3:** Code example mapping files: Bitcoin-Wallet, adapted folder structure. Adjusted from (Krüger, Mukelabai, et al., 2019).

```
1BitcoinWallet2Bluetooth3BitcoinBalance4DonateCoins5SendCoins6AppLog
```

Listing 4.4: Code example mapping files: Bitcoin-Wallet, feature-model.cfr. Adapted from (Krüger, Mukelabai, et al., 2019).

```
1 Logging.java
```

AppLog Wellet Delener Widnet Deveider

WalletBalanceWidgetProvider.java
 BitcoinBalance

Listing 4.5: Code example mapping files: Bitcoin-Wallet, \_\_.feature-file. Adapted from (Krüger, Mukelabai, et al., 2019).

1 Main

Listing 4.6: Code example mapping files: Bitcoin-Wallet, \_\_.feature-folder. Adapted from (Krüger, Mukelabai, et al., 2019).

Explanation of used mappings inside Listings 4.4 till 4.6. Listing 4.3 shows the folder structure for the mapping files.

- Listing 4.4 shows the feature hierarchy model (Folder structure line 2) with:
  - Line 1 the project name and root node for the hierarchy.
  - Lines 2 6 are the features in the project, three in the first and two in the second hierarchy level. Even when more features are used by the project, they are not required to be listed here. Nevertheless its encouraged
- Listing 4.5 shows the feature-to-file mapping (Folder structure line 12) of the files "Logging.java" (Folder structure line 16) mapped to feature "AppLog" and file "WalletBalanceWidgetProvider.java" (Folder structure line 18) mapped to feature "BitcoinBalance".
- Listing 4.6 shows the feature-to-folder mapping (Folder structure line 13) and therefore, inside folder "wallet". This means that the folder wallet and all its content is mapped to the feature "Main".

Fully annotated projects have been created by Krüger, Mukelabai, et al. (2019) and can be found at https://bitbucket.org/rhebig/jss2018/.

# 4.9 Evaluation Embedded Annotation Specification

The in Chapter 4 presented notation for embedded annotations is firstly designed with literature review and expert discussions, see methodology "Research objectives 1" in Figure 3.1. To evaluate the proposed notation an online survey with practitioners is hold. This survey has two main objectives: First, evaluate the acceptance and second, improve the current specification itself. Further outcomes are potential future research topics that are relevant for practitioners. The document reviewed is a compressed and standalone version of the embedded annotation design and therefore called "Embedded Annotation Specification"

The following sub-chapters cover the survey creation, execution, and results.

# 4.9.1 Survey Creation

The survey consists of two parts: A PDF containing the specification<sup>3</sup> and an online survey.

We define "Design Properties" in Chapter 4.1, each aiming to qualitatively evaluate one aspect of the embedded annotation design. To evaluate those properties, we design the survey, where each question asks about one design property. The target participants are industrial practitioners. For each property, the survey participant is asked to rate its opinion on a Likert scale and support its choice in a free-text field. The Likert scale range from "Completely Disagree" over "Disagree", "Neutral", and "Agree" to "Completely Agree". The free-text field allows to clarify the chosen rating and add further feedback to the survey. The survey continues with an open-ended question to the survey participant about its general opinion on how embedded annotations are beneficial and for specification improvement suggestions. The survey is closed with optional participants industry role and contact information.

The survey questions are:

## "To which extent do you agree with the following statements?"

- The notation is useful.
- The notation is intuitive. (e.g. How natural it feels to use it)
- The notation is easy to learn.
- The notation is easily applicable.
- The notation is flexible to use. (imagine the contexts in which you want to use it, do you think it's flexible to use?)
- Using the annotation will avoid redundancies. (i.e. Requires writing more annotations than necessary for mapping assets to features)
- The notation is succinct. (i.e. the additional writing effort is minimal)
- The notion is robust during software evolution and maintenance. (i.e. as many annotations as possible survive the evolution, e.g. moving folders/files,

 $<sup>^{3} \</sup>tt https://bitbucket.org/easelab/faxe/src/4548bac7575ae24c1438984e911324f405cb19b6/specification/Embedded_Annotation_Specification.pdf$ 

removing code, and editing code, etc.)

- The additional effort of using annotations during programming is negligible.
- It will be easy to convince developers to use it while programming.
- What do you think the biggest benefits of using embedded annotations are?
- Any suggestions for improvements?

## "Participant information" (optional)

- Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)
- Please add your e-mail address

# 4.9.2 Survey Results

The survey was conducted in April and May 2020 as an online survey. The invited participants belong to three groups:

- 1. Industry contacts of the field of software product lines from Thorsten Berger as supervisor
- 2. Industry partner of thesis work
- 3. Industry contacts of Tobias Schwarz

For the survey in total 19 persons have been contacted and at least one person forwarded the survey invite in its organization. In total 10 persons replied to the survey, which makes a response rate of 53%. The survey was stopped after this number of participants as the qualitative answers of participants from different companies and backgrounds began to confirm each other and highlight the same factors. Due to the timeline and ongoing Corona pandemic in first half of 2020 the survey was stopped with the good amount of 10 filled survey.

Industry practitioners job roles (9 of 10 answered this optional question):



Figure 4.2: EA-Survey, Participants job titles

The summary of the means (Figure 4.3) shows for most design properties a rating between "Neutral" and "Agree". Outliers are the design properties "Effort" and "Convince". All design properties are discussed with their respective detailed results. The selectable range is rated for this analysis from (1) "Completely Disagree" over (3) "Neutral" till (5) "Completely Agree".

Design Property	Mean	SD
Useful	3,5	0,85
Intuitive	3,8	1,03
Learnable	4,2	0,79
Applicable	$^{3,5}$	0,53
Flexible	3,7	0,95
Redundancy	$^{3,5}$	0,85
Succinct	3,7	1,34
Robust	3,3	0,48
Effort	2,9	0,88
Convince	2,6	1,17

and SD of all Design Properties





**Figure 4.3:** EA-Survey, Combined Mean values of design properties

With this result, the notation of embedded annotation has a solid foundation and is understood by practitioners. For an even more convincing result, the survey participants missed information about the return of investment, how teams collaborate with this technique, and tool support. All of which are factors beyond the definition of embedded annotations and belong more to the application of how embedded annotations are used.

The received survey results listed in Appendix B. They are unchanged, except for the removed participant's contact details.

In the following the individual survey questions are presented per question with statistical values of mean and standard deviation, an overall summary of the question in one to two sentences, a bar-chart with the results, and the summarized free-text answers of the participants.

# The notation is useful

This question evaluates the design property "Usefulness". The results have a mean of 3.5 with a SD of 0.85 .

The notion can be seen in general as useful. The rationale of this is that different doubts have been seen about e.g. how meaningful for different programming languages it is or a clean code policy.

Annotating features in source code is seen as useful, especially as features and feature thinking is growing with the trends of agile software development concepts. Software projects are growing over time in complexity and team members join over the whole project duration. A project introduction can be supported with embedded annotations for increasingly large and complex development projects. This may be enriched with linking embedded annotations to requirements for general traceability purposes, such as e.g. asked by standards such as ASPICE.

Considerations are in the areas of clean code, i.e. which is intuitive to read and can be understood quickly (Wikimedia, Foundation Inc., 2019), and that embedded



Figure 4.4: EA-Survey Results, The notation is useful

annotation might counteract against this. Besides that, there is the possibility to document features on the software architecture level and structure your source code by code generation from the created architecture. Which at the same time would also allow us to generate embedded annotations directly and support developers while their implementation.

## The notation is intuitive

This question evaluates the design property "Intuitiveness (e.g. How natural it feels to use it)". The results have a mean of 3.8 and a SD of 1.03.

The notion is seen in general as intuitive. The rationale of this is that especially the similarity to other established approaches, such as #ifdef's, support it.



Figure 4.5: EA-Survey Results, The notation is intuitive

The used keywords and syntax are considered as intuitive to use and the proposed structure is appreciated. One doubt is that if embedded annotations are not part of the original syntax, reading and using them might be non-intuitive, especially in more abstract languages such as Python.

## The notation is easy to learn

This question evaluates the design property "Easy to learn". The results have a mean of 4.2 and a SD of 0.79 .

The rationale of easy to learn is that with the given keywords and structure the notation is simple to use.

	Completely disgaree	Disagree	Neutral 🗾 A	gree 🗾 C	ompletely agree	
4						
2					-	
0						
			Rating.			

Figure 4.6: EA-Survey Results, The notation is easy to learn

Not only the source code annotations but also the feature-to-file and feature-to-folder mapping is seen as easy to learn. The challenge to learn embedded annotations is currently that there is no way to try them out in a ready to use environment.

# The notation is easily applicable

This question evaluates the design property "Easy applicable". The results have a mean of 3.5 and a SD of 0.53 .

The rationale of the notion is highly applicable, but has at the moment a lack in tool support and potential team commitment.



Figure 4.7: EA-Survey Results, The notation is easily applicable

The participants see the notation applicable to all stages of software project development. Best case is early in the project phase, but also for legacy projects or in maintenance phase could be e.g. with feature-to-file or feature-to-folder mapping a low-cost feature mapping be created.

For the usage of embedded annotations in project teams, team-agreements are necessary to commonly define the design and structures features and sub-features naming conventions as well as up to which level features shall be documented. Following such agreements as well as emphasizing their usage and finally benefit from them the support of tools is essential.

## The notation is flexible to use

This question evaluates the design property "Flexible to use". The results have a mean of 3.7 and a SD of 0.95 .

The notion has a positive trend for its flexibility. The rationale of this is that the survey participants have a lack in experience it.



Figure 4.8: EA-Survey Results, The notation is flexible to use

It is recognized that the notation of embedded annotations is independent of the context and development itself and therefore can be applied independently to the actual context. Also, the level to annotate ranges from whole file structures (folder) over files till individual lines of text.

Considerations exist due to the lack to try out embedded annotations on a ready to use the environment as well as doubts on scalability and validity while future development and need to update them exist.

## Using the annotation will avoid redundancies

This question evaluates the design property "Redundancy (i.e. Requires to write more annotations than necessary for map-ping assets to features)". The results have a mean of 3.5 and a SD of 0.85.

The rationale of this is that the notation is avoiding redundancies.



Figure 4.9: EA-Survey Results, The notation avoids redundancies

It is in general seen that embedded annotations avoid redundancies. Redundancy is saved on the one side with how embedded annotations are written and on the other side with the feature knowledge to reduce the risk to implement features twice. The survey feedback shows that how the question was not fully clear compared to what or with which focus redundancy is avoided.

## The notation is succinct

This question evaluates the design property "Succinctness (i.e. the additional writing effort is minimal)". The results have a mean of 3.7 and a SD of 1.34 .

The level of succinct is rated more diverse, with a positive trend. The rationale of this is that the notion is succinct, well-structured, and compact to write, but with the need for tool support.



Figure 4.10: EA-Survey Results, The notation is succinct

The strength of the notation for embedded annotations is to act minimal invasive. This is recognized and a compact way to express embedded annotations. At the same time even with this succinct representation tool support is essential and might be in contrast with a clean code approach.

## The notation is robust during software evolution and maintenance

This question evaluates the design property "Robustness (i.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code, etc.)". The results have a mean of 3.3 with a SD of 0.48.

The robustness of the notation is seen as "Neutral" with a positive trend. The rationale of this is that the risk is in the different usage of annotations and lack of updating in the development and maintenance phase. Especially with project time pressure and missing refactoring tooling.

The participants see the notation of embedded annotation robust on all software artifact levels. Feature-to-folder annotations refer to the folder structure which is less likely to change over time and therefore by themselves more stable. Even in the case of renaming or moving to a new place. Feature-to-file are similar to folders, but are liable to refactoring operations such as renaming, moving or deleting. Since most changes happen in source code it is the part of embedded annotations that



Figure 4.11: EA-Survey Results, The notation is robust

is least robust. Its level of robustness depends on how carefully developers handle them. E.g. in case of adding new content, copy parts of source code, or updating them while refactoring. One risk is that, especially at the end of a project, under pressure, the careful handling is skipped, and no later documentation happens. For the level of robustness two factors interplay. On the one side the notation itself and on the other how it is used. The proper usage is especially important for large distributed teams, differences in working and cultural backgrounds as well as to be constant over time. For all drawbacks, tool support can reduce the risk of invalid states and remember updating the annotations.

# The additional effort of using annotations during programming is negligible

This question evaluates the design property "Negligible effort". The results have a mean of 2.9 and a SD of 0.88 .

The rationale of this is that the additional effort to add embedded annotations is neither seen as given nor as not given.



Figure 4.12: EA-Survey Results, The notation is cheap

With the different levels of the embedded annotation notation, the feature locations for folders and files could be created with low additional effort. For well-structured object-oriented-programming (OOP) projects a big part of the feature location documentation is seen to be annotated with this step. With a clean OOP approach, the actual code annotations should be on a small number.

As for writing readable and understandable source code, using embedded annotations in the best way requires training and experience. Therefore, in the training phase, an extra effort might be required until embedded annotations become a natural habit.

## It will be easy to convince developers to use it while programming

As the first additional question to the design properties, an estimation is asked how easy it is to convince developers in the survey respondent's environment to use embedded annotations as well. The results have a mean of 2.6 and a SD of 1.17 . The rationale of this is that to convince other developers easily to use embedded annotations is rated mainly "Neutral" with a tendency that this is not the case. The survey participants see the lack in the existence of best practice as well as the required tool support to work easily with.



Figure 4.13: EA-Survey Results, The notation is convincing

The notation of embedded annotations is seen new to most practitioners. As there is no own experience or famous companies/tools using it, it is difficult to show the actual advantage to get accepted by developers. Another aspect is the project or product wide usage where guidelines and management support become important. Easy and reliable tolling is an essential part to convince further developers to adapt embedded annotations and apply them.

In the end, a developer or developer group must decide for their scope if the additional effort, independent how small, is worth to spend for more documentation.

# What do you think the biggest benefits of using embedded annotations are?

There are several areas of benefits that embedded annotations provide. The first and foremost is the achievement of an overview of the implemented features in the project with a detailed result where to locate them. Applying them over a longer period allows the easier location of features for different tasks as well as supporting effort estimations and planning the implementation of new or changed features. The feature location may serve also for other purposes such as linking them to requirements or trace features for the use of report generation. Feature location and quickly locating the affected source code part(s) is highly relevant to follow the agile methodologies as here changes over time in already implemented source code parts are likelier than in waterfall projects.

## Any suggestions for improvements?

The last question is openly formulated and asks for feedback to improve the specification.

# 4.9.3 Design Changes

With the received and in Chapter 4.9.2 summarized results, changes in the embedded annotation design has been made. Some examples out of the survey which lead to changes in the specification:

- The introduction was expanded to provide a better understanding of the importance and general usage of embedded annotations.
- The terminology of Clafer was introduced as side note instead of using it in the chapter names
- Special character "<cr>" explained
- For the interleaving of annotation markers, the high flexibility was added to show the benefits to  $\#\mathrm{ifdef}$  notation
- The feature-to-file mapping received an own keyword to annotate file within their own source code

# 4.9.4 Outcomes

The provided feedback is integrated into the embedded annotation design and part of the latest version of the Specification of Embedded Annotations: https://bitbucket.org/easelab/faxe/src/master/specification/Embedded\_Annotation\_Specification.pdf

With the conducted survey, the notion of embedded annotations is empirically evaluated. For this the notion of embedded annotations is formalized and designed according to defined design properties. The basic foundation of embedded annotations is a summary of existing approaches of which this design is largely inspired.

# 4.10 Embedded Annotations Workflow and Usage

To receive the full picture, Figure 4.14 shows the workflow of handling embedded annotations and the potential benefits which a project may take out of them. This summary is created as last artifact in "Embedded Annotation Design", see Figure 3.1. With the creation of this figure at this point in time, the knowledge of Chapter 2.9 "Related Work" and Chapter 4 "Embedded Annotations Design" run into it. The developer, step (1), is responsible to add the source code and embed-



Figure 4.14: Embedded Annotation Workflow and Usage

ded annotations. While continuously developing new software, the added embedded annotations can be re-used for feature location immediately. This allows a closed feedback loop for the effort to add embedded annotations and benefiting of them. Tool support for feature extraction, step (2), can be given via tool support, e.g. FAXE - Feature Annotation eXtraction Engine<sup>4</sup>.

Besides the actual development, the developer should be responsible to update the Feature Model. This work might be supported by SW-Architects and Domain experts.

With the knowledge of feature locations, several kinds of other benefits exist. One of the topics on which this work has great expectations is the process of partial feature-based commits. By knowing which parts of a local change belong to which features, isolated code commits can be created. This has e.g. the benefit when on multiple features or feature plus base code changes have been performed parallel and clean feature commits shall be created. This process starts with step (3).

Further, embedded annotations might be used for Feature Visualization (Andam et al., 2017; Entekhabi et al., 2019), i.e. keeping overview/understanding on feature level (instead folder/file level), or for Feature Metrics (Andam et al., 2017; Entekhabi et al., 2019), e.g. for project tracking and warning indicators. Both in taking a local perspective of the source code or based on an online git repository and enrich it with the git history.

<sup>&</sup>lt;sup>4</sup>https://bitbucket.org/easelab/faxe

# Engine for Embedded Annotation Extraction

In the conducted survey, results shown in Chapter 4.9, a major challenge was seen in proper tool support to use embedded annotations on the one side in the right way and on the other side to gain value from their existence. With the "Engine for Embedded Annotation Extraction" a tool support is created for the core part, the extraction of embedded annotations, out of a given project.

With the approach of a unified design for embedded annotations, it is possible to implement software, which refers to this design, and developers and tool producers can rely on the other's interfaces. This engine functions as the first software to support this unified design and shall allow clean and easy usage for further use.

This reference engine is based on the concept of embedded annotations introduced in Chapter 4 "Embedded Annotations Design" and its capabilities and structure are described in this chapter.

To support this, the foundation of the engine is on a domain-specific grammar, build with the ANTLR4 Parser Generator<sup>1</sup> and uses the in Appendix A.2 "EBNF Grammar Definitions" defined EBNF grammars. The engine itself takes the interpreted grammar, combines the grammar outputs and interacts with the user.

The engine itself is written in Java. The decision for Java is taken to support as many as possible industrial use-cases with one engine implementation. For easier referring and a succinct appearance the engine received the name "FAXE - Feature Annotation eXtraction Engine".

FAXE is hosted on Bitbucket: https://bitbucket.org/easelab/faxe/.

# 5.1 Parser Generator

With the definition of the embedded annotations grammar in EBNF, a parser generator is needed to generate source code that can take the projects' source code and "parse" it for the given grammar pattern. The parser for embedded annotations is created with the tool ANTLR4, based on the EBNF grammars for code annotations, file annotations, folder annotations, and simple feature hierarchy. The with ANTRL4 generated parser receives the source code files and embedded annotation files, checks for the defined grammar, and creates an abstract syntax tree (AST). This AST can be accessed by the engine and the required information be taken out.

<sup>&</sup>lt;sup>1</sup>https://www.antlr.org

The tooling used to extract keywords and their surrounding source code is usually using regular expressions (RegEx). RegEx are powerful and easy to use, but becomes difficult to use when searching regular expressions inside another regular expression (recursion) or the number of expressions is growing (scalability). (Tomassetti, 2017)

# 5.2 Engine Architecture

The engine contains five core classes, shown in Figure 5.1, from which three extend ANTRL4 generated files. The ANTRL4 generated files represent the entry point and consist of more files than shown here.

The class **FAXE** is the entry point into the engine and offers the engine-user methods to check a whole project for embedded annotations or a specific type (source code, feature-to-file, and feature-to-folder). The usage is designed in that way, that the user provides a specific file to analyze or a project path where the engine search iterates through all files and sub-directories. Providing a list of type EmbeddedAnnotations to the user. Inside the class **EmbeddedAnnotations** all necessary information are stored to identify a specific embedded annotation inside the project, i.e. its file, line position, and feature name. With the respective getters, the user can extract the for him/her relevant information. To classify the different types of embedded annotations, the enumeration **eEAType** list all types.

The layer between the publicly available methods and the generated ANTLR4 grammar is filled by the classes MyCodeAnnotationsVisitor, MyFileAnnotationsVisitor and MyFolderAnnotationVisitor. All these classes extend their respective generated BaseVisitor and override the methods where grammar data is extracted. For each visitor its in the grammar defined main rule, e.g. "visit-Marker(...)" is called. Internally this triggers a search through the AST for this grammar. The extracted embedded annotations are collected, merged and returned as a list of embedded annotations.

The layer of generated ANTLR4 source code contains more functions that are overridden by the visitor-classes. The methods of the base classes represent all rules in the EBNF grammar and perform the actual detection of the grammar patterns. Figure 5.1 shows one more BaseVisitor than in the engine implementation, this is a preparation for future engine extension and not relevant for the following industrial use case. In the projects' repository unit tests for the engine's functionality and visitor files exist.



Figure 5.1: FAXE Engine Class UML Diagram

# 5.3 Public Interface Methods and Capabilities

As the design of embedded annotations, the engine's design is driven for intuitiveness, easy to learn, and flexible to use. These concepts have been taken to design the interfaces for public methods. The main goal is the seamless usage of the engine for users, to simplify their work and let them focus on the usage of embedded annotations than bothering to extract them.

The following methods are offered to the engine's users:

#### Method extractEAfromRootDirectory

```
public static java.util.List<EmbeddedAnnotation>
    extractEAfromRootDirectory(java.lang.String rootDirectory)
```

Method to extract embedded annotations from given root directory. The root directory and all sub-directories are checked for embedded annotations in source code, files and folders. In addition, the hierarchy file is analyzed.

## **Parameters:**

rootDirectory - String of root directory. **Returns:** List of found embedded annotations.

#### Method extractEAfromSourceCode

```
public static java.util.List<EmbeddedAnnotation>
    extractEAfromSourceCode(java.lang.String fileToAnalyze)
```

Method to extract embedded annotations on source code level of given file.

#### **Parameters:** fileToAnalyze - String

fileToAnalyze - String of to be analyzed file.

#### Returns:

List of found embedded annotations.

## $Method\ extract EA from Feature File$

```
public static java.util.List<EmbeddedAnnotation>
    extractEAfromFeatureFile(java.lang.String fileUnderTest)
```

Method to extract embedded annotations on file level of given file.

**Parameters:** fileUnderTest - String of to be analyzed file. **Returns:** List of found embedded annotations.

#### Method extractEAfromFeatureFolder

```
public static java.util.List<EmbeddedAnnotation>
    extractEAfromFeatureFolder(java.lang.String folderUnderTest)
```

Method to extract embedded annotations on folder level of given folder.

#### **Parameters:**

folderUnderTest - String of to be analyzed folder. **Returns:** List of found embedded annotations.

#### Method serializeEAList2JSON

```
public static org.json.JSONArray serializeEAList2JSON(java.util.
List<EmbeddedAnnotation> eaList)
```

Transforms list of EmbeddedAnnotation to JSON object. **Parameters:** eaList - List of EmbeddedAnnotation **Returns:** JSON object out of parameter.

## Method deserializeEAList2JSON

```
public static java.util.List<EmbeddedAnnotation>
    deserializeEAList2JSON(org.json.JSONArray jsonArray)
```

Transforms JSON object to list of EmbeddedAnnotation **Parameters:** jsonArray - JSON object **Returns:** List of EmbeddedAnnotation out of parameter.

# 5.4 Engine Usage Example

A project requires adding the engine JAR file into your project or import of it into your source code. Afterwards, the individual engine functions are one-line commands. A small example can be found on Bitbucket<sup>2</sup>. Extract of this example:

```
import FAXE.*;
2
  public class FAXEMiniApplication {
3
     public static void main(String[] args) {
4
5
        List < EmbeddedAnnotation > eaList =
6
           FAXE.extractEAfromRootDirectory(projectRoot);
        List < EmbeddedAnnotation> eaListCode =
8
           FAXE.extractEAfromSourceCode(testFileCode);
9
        List < EmbeddedAnnotation > eaListFile =
           FAXE.extractEAfromFeatureFile(testFileFile);
11
        List < EmbeddedAnnotation > eaListFolder =
12
           FAXE.extractEAfromFeatureFolder(testFileFolder);
13
14
15
```

<sup>&</sup>lt;sup>2</sup>https://bitbucket.org/TobiasOnBitbucket/faxeminiapplication/

6

# Industrial Use Case

The embedded annotations specification represents a document, created out of literature review as well as researchers' and practitioners' feedback. Based on that the reference engine was created. To show that this approach is valuable for industry, and to evaluate the FAXE-engine, several actual use cases will be shown (Chapter 6.1) and one of them implemented.

# 6.1 Potential Use Cases

A subtotal list of use cases which can be improved with the notion of embedded annotations. The fact which all these approaches are using is the knowledge about the feature location in source code.

- Maintenance: To quickly locate the feature of interest while bug fixing and implementation work in an existing system.
- **Feature Metrics:** With the knowledge about how features are distributed over the source code and how they interact allows the calculation of metrics such as scattering-, tangling- or nesting-degree.
- **Visualization:** Extracting the feature locations and present them in a graphical way. This could be used e.g. to show which parts of the source code belong to a feature or how features are linked to others.
- **Version Control:** Feature Metrics and Visualization itself would improve with the notion of embedded annotations. Using the version control system, in addition, would allow to calculate/show the evolution of the software over time and especially variants.
- **Partial Commits:** Partial commits allow to commit a subset of changes inside one software project (Chapter 2.8). With the existing git tooling this is a process, requiring many manual steps. By knowing the feature locations inside a project, an automated way is possible, to decide if certain changes are part of a feature. With this the user interactions to perform a partial commit can be made more efficient.

With the industrial partner, one specific company from the area of web development, the potentials of embedded annotations and their software development challenges have been discussed. As embedded annotations where not used in this company so far, mainly the Version Control and Partial Commits options were discussed. The possibility to develop at the same time different features while keeping the commit history clean to specific feature commits, this option was chosen to be implemented. This decision is supported from research side due to its innovative character.

# 6.2 Use Case "Partial Commit"

The general idea of partial commit has been shown in Chapter 2.8 "Git Partial Commits". Git provides to the user with "git add ——patch" (alternative '-p') such a functionality with an interactive console and takes the user into the position to decide which parts of the source code shall be added to a commit and which not. Figure 6.1 shows the workflow which a user must perform for each feature. First, the developer must go through all files and inspect the changes. Per change the user must decide if it shall be part of the partial commit or not. The by git proposed "hunk" blocks might have the need to be split and then added or rejected. After going through all files the user can perform a commit with fitting message and perform the same operation to collect data for the next commit. Finally, with a git push, the data is moved to the server's git repository.



Figure 6.1: Partial Commit Workflow With Interactive Console "git add --patch"

For the purpose of partial feature-based commits, a fully automated approach is targeted. Conceptually, the user provides the information which feature to commit and the tool takes to map the current software changes to the feature scopes. The precondition is that embedded annotations are present in the to be committed source code.

The application shall be able to accept individual feature names as well as a list of feature names. Latter is required to support product variants which contain a set of features.

A partial feature-based commit shall happen in the following steps:

- 1. Receive a list of local differences in changed, added and removed files, compared to the git repository
- 2. Receive list of embedded annotations from local project for a given feature
- 3. Determine overlapping elements between detected changes and given feature
- 4. Check if the staging area is empty and in case the user put something in there ask for permission to delete it.
- 5. Prepare source code in the staging area to perform partial feature commit ("git add")

6. Perform git commit with either user commit message or tool-generated one The goal of this application is on the one side to reduce the number of steps for the user to perform a partial feature-based commit and on the other side to avoid manual interactions in the process.



Figure 6.2: Git Partial Commit Workflow With Feature Focus With New Tooling

How the steps of partial feature-based commit work with Figure 6.2. As precondition the developer needs to have installed a git client and created or cloned a git repository. In this working directory the developer performs changes to two different features, namely "FeatureA" and "FeatureB". These changes happen in the working directory, shown in Figure 6.2 as orange cylinder object. In the box to the right of the working directory, the changes of "FeatureA" are shown in blue and the changes of "FeatureB" are shown in red. "FeatureA" and "FeatureB" have changes in files A.java and B.java while C.java contains only changes of "FeatureB".

The intention of partial feature-based commit is now to create own git-commits for "FeatureA" and "FeatureB". This is shown as with the numbers in blue circles

- 1. to perform a partial feature-based commit for "FeatureA" with a by the developer provided commit message (parameter '-m')
- 2. to perform a partial feature-based commit for "FeatureB"

For these commits, individually for partial feature-based commit (1) and (2), as Step 1 the changes of the local working directory, compared to the local repository (git version control data flow in Chapter 2.7) is detected. Step 2 takes care to identify all features based on their embedded annotations in the git project root folder and below. As now the two information, local changes, and feature positions, is available, these two lists can be compared in Step 3 and the changes be identified which belongs to the requested feature. Before adding the changes, which belong to the feature, the staging area must be cleaned to avoid manually added source code to be included, Step 4. Step 5 takes care to add all changes of this feature to the staging area, followed by the last Step 6 to perform a git commit and create a new commit in git.

After the developer has performed the wanted partial feature-based commit, the developer must git-push his commits to the Remote Repository, shown as blue cylinder. In the remote repository the commits are added and the individual partial feature-based commits appear as own elements in the "Commit-History".

# 6.3 State of the Art

To establish a body of knowledge, an online search has been conducted to find possible ways to implement the use case of partial commit. Thereby the search was on the one side conceptional and analyzed the potential solution tracks to follow, and on the other side tools, which offer a partial commit functionality for git. Best results have been made with searching for "git" plus "partial commit"/"partial staging". Most results are forum discussion where further hints are linked and lead to
the following list.

The potential solution tracks are:

- Shell Program that interacts with "git add -p" As an established and via console interaction available command exists, the first option is to create a tool that interacts with the by git provided tool for partial commits. The process of how to navigate through the menus is known and the structure of the answer message is well structured. Nevertheless, the number of potential scenarios and variances in answer messages is expected to be very high. Also, it remains one inherent issue with the definition of hunk blocks. The source code blocks are split into hunks, which can be further split down. This process is not always possible to break down hunks to individual lines of source code. Therefore, the risk of code blocks exists which contain feature and non-feature code, but which cannot be separated further with the tool.
- Git integration libraries Different libraries exist, to control git repositories for different programming languages. E.g. libgit2<sup>1</sup> for C, JGit<sup>2</sup> for Java and GitPython<sup>3</sup> for Python. The benefit of such libraries is the smooth integration of git access and regular source code, such as a feature extraction library. All libraries have in common, that native support of the sub-option "--patch" for "git add" is missing. Discussions are held for such a feature request and the latest one found is for libgit2 from 2012: https://github.com/libgit2/libgit2/issues/591.
- Git Hosting Platforms Instead of interacting with the local git repository, one alternative is to interact directly with the git hosting platforms. Hosting platforms such as GitHub or GitLab provide APIs for other tools and websites to interact with them. GitHub provides an API and a CLI (Command Line Interface) tool, where both have the focus to work with GitHub repositories and issues, but not source code. The GitLab API also focuses on repository and issue interaction but allows as well to handle source code. The issue with handling source code directly with GitLab is the divergence of the bypassed local git repository and an additional challenge to handle.
- **Extension of git** As the git tooling provides the partial commit option and as it is available as an open-source project, one option is to extend git itself. By providing a new parameter that triggers its own subroutine, this solution would have the highest integration. Besides the need to understand the extensive git source code and low-level version control handling, an issue is to make this solution available to others. Before the solution is not available in the mainstream git, anybody who would like to use it must replace his official git by the customized version.

For the git tools which are capable to support partial commits, some even on individual line level, in total six tools have been found:

Git-gui - https://git-scm.com/docs/git-gui

Git-gui is an in git by default integrated GUI tool to perform commit genera-

<sup>&</sup>lt;sup>1</sup>https://libgit2.org/

<sup>&</sup>lt;sup>2</sup>https://www.eclipse.org/jgit/

<sup>&</sup>lt;sup>3</sup>https://gitpython.readthedocs.io/en/stable/#

tion. It is available as an open-source project, written in TCL, and supports by itself to stage up to individual lines for one commit.

```
Git-Cola - https://git-cola.github.io/
```

Git-Cola is a standalone graphical tool to interact with git to stage commits and handle branches. It is available as open-source, written in Python and supports by itself to stage up to individual lines for one commit.

```
Egit - https://www.eclipse.org/egit/
```

Egit is a set of Eclipse plugins written with the JGit library. Its source code is available and written in Java. The tool allows to edit the staging area directly and crosscuts with this the need to mark and add individual lines. But with this the inherent risk to add invalid code while manually editing the staging area.

#### Git-tower - https://www.git-tower.com/

Git-tower is a comprehensive graphical interface to work with git. The tool is proprietary software and no source code is available. The tool allows staging individual lines.

### git-crecord by andrewshadura -

https://github.com/andrewshadura/git-crecord

The first version of git-crecord is specialized to perform partial commits on the actual git-diff between the working directory and staging area. It is a command-line tool and allows to select individual lines of source code to include in a commit. The implementation is in Python and as open source available.

```
git-crecord by mbrendler - https://github.com/mbrendler/git-crecord
The second version of git-crecord fulfills the same functionality as the first one.
It is written in Ruby and available as open source.
```

### 6.4 Tool Design

The internal implementation of the tool for partial feature-based commits is done in Java with the JGit-library to interact with an online git repository. This Java implementation is linked with a custom git command (Bash script) to the local git installation and can be used as own git sub-command from the command line console.

As implementation internal git commands, basic git commands such as git-clone, -add and -commit have been used. The detection of changes happens between a copy of the working directory and the unmodified version of the repository.

The tool is integrated into the user space of local git commands and provides on command line level with a Bash script the functionality to the user. It supports these parameters:

```
$ git pfc -h
1
 Usage: -f featureName -m "message to add to commit" -nc
2
                          Single feature to consider [MANDATORY]
    -f, --feature <arg>
3
                          Text to be added to commit message [OPTIONAL]
    -m, --message <arg>
4
                          Suppress git-commit [OPTIONAL]
    -nc, --no-commit
5
    -p, --print-embedded-annotations Prints available embedded
6
     annotations [OPTIONAL]
```

The Java implementation requires a further argument parameter which is automatically filled by the Bash script:

-wd, --working-directory Path to git-folder (with .git) of project

### 6.5 Tool Architecture

The tool is implemented as a Bash script, forwarding the given parameters to the tool. The core implementation is done in Java in a single class. The internal flow of decisions and data transmission is shown in the following figure.



Figure 6.3: Git Partial Feature Commit Tool - Flow Diagram

### 6.6 Partial Commit Limitations

With the final version of this tool, the handling of changes in existing & begin - & end annotation as well as existing & line annotation has been realized. Both work within the in Figure 6.3 described workflow and analyze the differences based on the embedded annotations lists. Adding and removing annotations is increasingly complex, especially when changes evolve over feature and non-feature source code. An example to visualize this situation is shown in Listings 6.1 and 6.2 and requires advanced diffing as the origin of a change cannot be traced back just by the feature information.

Partial commit in feature base is able to use with changing content of existing embedded annotations and practical experience can be taken out of this.

```
embedded
  Present
                          annotations
                                                  Present embedded annotations in
                                          in
  Listing 6.1:
                                                  Listing 6.2:
  Name "FeatureA"
                                                  Name "FeatureA"
  Begin 7
                                                  Begin 7
  End 12
                                                  End 10
  File "HelloPartial.java"
                                                  File "HelloPartial.java"
                                                  Name "FeatureA"
                                                  Begin 15
                                                  End 19
                                                  File "HelloPartial.java"
1 public class HelloPartial {
                                                 public class HelloPartial {
                                               1
2
                                               2
3 public void main(String[] args) {
                                               3
                                                 public void main(String[] args) {
     methodA();
                                                    methodA();
4
                                               4
5 }
                                               5
                                                }
6
                                               6
  //&begin(FeatureA)
7
                                               7 //&begin(FeatureA)
  private void methodA(){
                                               8 private static boolean var1;
8
     System.out.println("methodA");
System.out.println("A Print");
9
                                              9 private static boolean var2;
                                              10 //&end(FeatureA)
10
                                              11 private static boolean var3;
11 }
12 //&end(FeatureA)
                                              12 private static boolean var4;
13
                                              13 private static boolean var5;
14 private void methodB(){
                                              14
     System.out.println("methodB");
                                              15 //&begin(FeatureA)
15
16 }
                                                 private void methodA(){
                                              16
17
                                                    System.out.println("methodA");
                                              17
18 private void methodC(){
                                              18
      System.out.println("methodC");
                                                 //&end(FeatureA)
19
                                              19
20
                                              20
                                              21 private void methodB(){
21 }
                                                    System.out.println("methodB");
                                              22
  Listing 6.1: HelloPartial.java, Partial
                                              23
  Commit Limitations Initial State
                                              24
                                                private void methodC(){
                                              25
                                              26
                                                    System.out.println("methodC");
```

27 } 28 }

> **Listing 6.2:** HelloPartial.java, Partial Commit Limitations Final State

### 6.7 Tool Evaluation

The tool evaluation was initially contemplated to be in collaboration with the industrial partner, but due to the mid of 2020 ongoing pandemic a different evaluation approach had to be chosen.

By evaluating the tool for partial feature-base commits, research question 2 ("How can embedded annotations make an industrial use case more efficient?") of this work shall be answered. For this, the tool is demonstrated in different scenarios and each scenario is compared with the currently available techniques and the by this work created tool. The tool is publicly available under https://bitbucket.org/TobiasOnBitbucket/partialfeaturecommitongit/ and can be used as one line commands within the git console.

As the Design Properties for the Embedded Annotations Design, the scenarios base on the by Balzer and Goldman (1981) proposed principles of good software specifications: understandability, testability, and maintainability. With these basic principles and the by Ji et al. (2015) presented evolution patterns for embedded annotations, the scenarios have been selected and defined. With the in Chapter 6.6 introduced limitations of the current implementation, the selection of evolution patterns is limited. The scenarios are presented and discussed in this chapter and their execution is documented with screenshots in Appendix C.

The scenarios are:

- 1. Adding new assets to an existing feature and its unit tests
- 2. Evolution of source code in embedded annotation and base source code
- 3. Refactoring features Structural change within a feature

Before the scenarios can be analyzed in detail, the reliability and scalability of the tool must be ensured. For this the results of FeatureDashboard (original dataset<sup>4</sup>) and FAXE (reworked dataset according specification<sup>5</sup>) are compared. The dataset has around 1500 embedded annotations and due to the rework of the &line annotation (from own line to behind the source code), the line numbers of following embedded annotations are changed. With these two factors, the sequence and feature in &begin/&end and &line embedded annotations are compared.

To extract the data from FeatureDashboard, the original dataset was cloned from its git repository to a local repository and imported to Eclipse with FeatureDashboard. In the "Feature Dashboard View" all features have been selected in "Feature Model" and all files and folders in "Resources". With the option "export traces as CSV format", the detected embedded annotations are extracted to a CSV file. FeatureDashboard discovers in total 62 features:

The bash script for partial commit supports a print option for all available features in embedded annotations: "git-pfc -p". The outcome of this is a list of 63 features. This is one more feature than shown by FeatureDashboard because FeatureDashboard is

<sup>&</sup>lt;sup>4</sup>https://bitbucket.org/rhebig/jss2018/src/master/Bitcoin-wallet/

 $<sup>{}^{5}</sup>https://bitbucket.org/TobiasOnBitbucket/ea-bitcoin-wallet/src/master/Bitcoin-wallet/$ 



Figure 6.4: Tool Evaluation, Available Features in Project, FeatureDashboard

not case sensitive and merges the features "BlockchainSync" and "BlockChainSync".



Figure 6.5: Tool Evaluation, Available Features in Project, FAXE

To receive an export from FAXE about the details of the embedded annotations (type, feature and location), it must be run from its source code with activated debug information. The created debug print was copied into a CSV file and both data sets have been cleaned for braces, leading project paths and '\' for easier comparison. For the dataset of FeatureDashboard in addition the embedded annotation type (LINE or FRAGMENT (&begin+&end)) is added. The final steps is to sort both data sets according to file name and line number.

The summary about the data shows that there are discrepancies how FeatureDash-

board and FAXE work at the moment. The discrepancies are for the type FRAG-MENT and only for certain features. Also, the example data needs to be checked, as FAXE could detect some errors within this data, e.g. 36 & begin without a matching & end. Some discrepancies can be explained with this, but not the fact that FAXE found around 200 FRAGMENTS more than FeatureDashboard (see Table 6.1 and Table 6.2).

```
175 //&begin [PaymentURL]
                                                81
   public PaymentIntent(@Nullable final
176
       Standard standard, @Nullable final
                                                82
       String payeeName,
                                                83
177
            @Nullable final String
                                                84
       payeeVerifiedBy, @Nullable final
       Output [] outputs, @Nullable final
                                                85
       String emo,
                                                86
            @Nullable final String
178
                                                87
       paymentUrl, @Nullable final byte []
                                                88
       payeeData,
            //&begin[RequestCoins]
                                                89
179
            @Nullable final String
180
       paymentRequestUrl, @Nullable
                                       final
                                                90
       byte[] paymentRequestHash) {
                                                91
            //&begin[RequestCoins]
181
       this.standard = standard;
182
       this.payeeName = payeeName;
183
       this.payeeVerifiedBy =
184
       payeeVerifiedBy;
       this.outputs = outputs;
185
186
       this.memo = memo;
       this.paymentUrl = paymentUrl;
187
188
       this.payeeData = payeeData;
       //&begin[RequestCoins]
189
190
        this.paymentRequestUrl =
       paymentRequestUrl;
       this.paymentRequestHash =
191
       paymentRequestHash;
192
        //&end[RequestCoins]
193 }
194 //&line [PaymentURL]
```

Bit public Dialog onCreateDialog(final Bundle savedInstanceState) { final Bundle args = getArguments(); //&begin[ShareAddress] final Address address = (Address) args.getSerializable(KEY\_ADDRESS); //&begin[Codecs] //&line[base58] //&end[Codecs] final String addressStr = address. toBase58(); final String addressLabel = args. getString(KEY\_ADDRESS\_LABEL); //&end[ShareAddress]

Listing 6.4: Bitcoin-Wallet, WalletAddressDialogFragment.java, Undocumented handling of lines 85 till 87

**Listing 6.3:** Bitcoin-Wallet, PaymentIntent.java, Bugs for embedded annotations in line 181 and 194

The results of the comparison of both tools look promising for the &line annotation and several embedded annotations but require future investigation. The original data is not changed to avoid working on the data until just a satisfying result is reached. The first ten lines of the compared data sets/tools (alphabetically sorted):

Embedded Annotations	Count	Embedded Annotations	Count
&line	605	&line	605
FRAGMENT	835	&begin	36
	====	FRAGMENT	1047
SUM	1440		====
ROWS	1440	SUM	1688
		ROWS in dataset	1688

	Features	Count
	AddressBook	80
	AppLog	12
	AutoCloseSendDialog	4
	BackupReminder	10
	BackupWallet	49
	BalanceReminder	6
	base58	81
	BIP21	2
	BIP70	14
1	BIP72	2

**Table 6.1:** Bitcoin-Wallet, Tool evalu-ation summary, FeatureDashboard

Features	Count
AddressBook	92
AppLog	12
AutoCloseSendDialog	4
BackupReminder	12
BackupWallet	57
BalanceReminder	6
base58	81
BIP21	6
BIP70	16
BIP72	2

**Table 6.2:** Bitcoin-Wallet, Tool evalu-<br/>ation summary, FAXE

### 6.7.1 Scenario 1 - Adding New Assets to an Existing Feature

This scenario covers changes in existing embedded annotations in the project's source code and its unit test. For this purpose, an example test project is used to avoid demonstration commits on a real project. The feature under test is "FeatureTestScenario1". Screenshots to this scenario can be found in Appendix C.1.

The source code for the program and unit test is changed in the following way:

```
1 public class HelloCommit {
     //&begin(FeatureA)
13
       private void commitC(){
                                                  13
            System.out.println("commitC");
14
                                                  14
                                                  15
       //&end(FeatureA)
16
24
                                                  24
       //&begin(FeatureTestScenario1)
25
                                                  25
       protected boolean runTestScenario1(
26
                                                  26
       int i) {
                                                          int i) {
         System.out.println("Run
27
                                                  27
       runTestScenario1 with i="+i);
28
                                                  28
         commitC();
29
                                                  29
30
                                                  30
          if ( i%2==0) {
                                                            }
31
                                                  31
            return true;
                                                  32
32
33
          }
            else {
                                                  33
34
            return false;
                                                  34
          }
35
                                                  35
36
                                                  36
        //&end(FeatureTestScenario1)
37
                                                  37
                                                              else {
                                                            }
38 }
                                                  38
                                                  39
```

Listing 6.5: PartialCommit-Testapplication, HelloCommit.java, Scenario 1 - Unmodified Source Code

```
1 public class HelloCommit {
     //&begin(FeatureA)
       private void commitC(){
           System.out.println("commitC");
       //&end(FeatureA)
       //&begin(FeatureTestScenario1)
       protected boolean runTestScenario1(
        System.out.println("Run
       runTestScenario1 with i="+i);
         if(i==0){
           return true;
        commitC();
         if ( i%2==0) {
           return true;
           return false;
        }
40
       //&end(FeatureTestScenario1)
41
42
  }
```

Listing 6.6: PartialCommit-Testapplication, HelloCommit.java, Scenario 1 - Modified Source Code

```
1 import static org.junit.jupiter.api.
       Assertions.*;
2
                                               2
  import org.junit.jupiter.api.Test;
3
                                               3
4
                                                4
  class HelloCommitTest {
5
                                               5
6
                                               6
     //&begin(FeatureTestScenario1)
7
                                                7
    @Test
8
                                               8
    void test1() {
9
                                               9
      HelloCommit hello = new HelloCommit
10
       ();
       boolean retVal = hello.
11
                                               11
       runTestScenario1(4);
       assertEquals(retVal, true);
                                               12
13
                                               13
                                                    }
     //&end(FeatureTestScenario1)
14
                                               14
15
                                               15
16 }
                                               16
                                               17
  Listing
                                        6.7:
  PartialCommitTestapplication,
                                               18
  HelloCommitTest.java,
                             Scenario 1
                                               19
  Unmodified Unit Test
                                               20
                                               21
                                               22
```

```
1 import static org.junit.jupiter.api.
      Assertions.*;
  import org.junit.jupiter.api.Test;
  class HelloCommitTest {
    //&begin(FeatureTestScenario1)
    @Test
    void test1() {
      HelloCommit hello = new HelloCommit
      ();
      boolean retVal = hello.
      runTestScenario1(4);
      assertEquals(retVal, true);
    @Test
    void test2() {
      HelloCommit hello = new HelloCommit
      ();
      boolean retVal = hello.
      runTestScenario1(0);
      assertEquals(retVal, true);
    //&end(FeatureTestScenario1)
23 }
                                       6.8:
  Listing
```

PartialCommitTestapplication, HelloCommitTest.java, Scenario 1 -Modified Unit Test

To perform the partial feature-based commit the following steps are taken for the currently existing approach (git add) and the new tool approach.

### Steps git-add:

- 1. Call "git add --patch"
- 2. Decide for hunk in HelloCommit.java to be staged. Select 'y' (yes).
- 3. Decide for hunk in HelloCommitTest.java to be staged. Select 'y' (yes).
- 4. All changes are now in the staging area. Call "git commit -m "Scenario 1 manual"

### Steps partial commit tooling:

1. Call "git pfc -f FeatureTestScenario1 -m "Scenario 1 with tool"

### 6.7.2 Scenario 2 - Evolution of Source Code in Embedded Annotation and Base Source Code

This scenario covers changes in existing embedded annotations plus the base source code (non-feature source code). Only the changes in the feature shall be committed and change outside is ignored. For this purpose, an example test project is used to avoid demonstration commits on a real project. The feature under test is "FeatureTestScenario2". Screenshots to this scenario can be found in Appendix C.2.

The source code for the program and unit test is changed in the following way:

```
public class HelloPartial {
1
                                                 1
2
                                                 2
       public void main(String[] args) {
3
                                                 3
           System.out.println("Make prints
4
                                                 4
          different methods to simulate
                                                 5
       partial commits.");
           methodA();
5
                                                 6
6
       }
7
                                                 7
8
       //&begin(FeatureA)
       private void methodA(){
9
                                                 8
10
       System.out.println("New Method
       here1");
                                                 9
       System.out.println("New Method
11
       here2");
       System.out.println("New Method
12
                                                27
       here3");
                                                28
       System.out.println("New Method
13
                                                29
       here4");
14
                                                30
       //&end(FeatureA)
15
31
                                                31
       //&begin(FeatureTestScenario2)
                                                32
32
       protected int runTestScenario2(int
33
                                                33
       i, int j) {
                                                34
         System.out.println("Run
                                                35
34
       runTestScenario1 with i=" +i +" j='
                                                36
        +i);
                                                37
         return i*j;
35
                                                38
                                                39
36
       //&end(FeatureTestScenario2)
37
                                                40
38
                                                41
     //&begin(FeatureA)
39
                                                42
     private void methodC1(){
                                                43 }
40
           System.out.println("methodC");
41
42
     //&end(FeatureA)
43
44 }
```

Listing6.9:PartialCommit-Testapplication,HelloPartial.java,Scenario 2 - Unmodified Source Code

```
public class HelloPartial {
    //&begin(FeatureA)
    private void methodA(){
    System.out.println("New Method
    here1");
    System.out.println("New Method
    here2");
    System.out.println("New Method
    here3");
    System.out.println("New Method
    here4");
    //&end(FeatureA)
    //&begin(FeatureTestScenario2)
    protected int runTestScenario2(int
    i, int j) \{
      System.out.println("Run
    runTestScenario1 with i=" +i +" j="
     +j);
      if(i==0||j==0) {
        return 0;
      }
      return i*j;
    //&end(FeatureTestScenario2)
  //&begin(FeatureA)
  private void methodC1() {
        System.out.println("methodC");
  //&end(FeatureA)
                                   6.10:
Listing
```

PartialCommitTestapplication, HelloPartial.java, Scenario 2 - Modified Source Code

To perform the partial feature-based commit the following steps are taken for the currently existing approach (git add) and the new tool approach.

#### Steps git-add:

- 1. Call "git add --patch"
- 2. Decide for hunk (remove main method) in HelloPartial.java to be staged. Select 'n' (no).
- 3. Decide for hunk (change method runTestScenario2) in HelloPartial.java to be staged. Select 'y' (yes).
- 4. All changes are now in the staging area. Call "git commit -m "Scenario 2 manual"

#### Steps partial commit tooling:

1. Call "git pfc -f FeatureTestScenario2 -m "Scenario 2 with tool"

### 6.7.3 Scenario 3 - Refactoring Existing Structural Code Within a Feature

This scenario covers the refactoring of existing embedded annotations plus outside of it in the project's source code. In this example, two features are to be committed. Also, both features are interleaving with each other (line 33). Both created commits shall only contain the changes of one feature. Therefore, the inner feature of the interleaving needs to be committed first. For this purpose, an example test project is used to avoid demonstration commits on a real project. The features under test are "FeatureTestScenario3" and "FeatureTestScenario4". Screenshots to this scenario can be found in Appendix C.3.

The source code for the program and unit test is changed in the following way:

```
1
   public class HelloFeature {
                                                  public class HelloFeature {
2
                                                2
3
                                                3
     public static void main(String[] args
                                                     public static void main(String[] args
4
                                                4
                                                      ) {
       ) {
       int res1 = plus(1,2);
5
                                                5
                                                       int res1 = plus(1,2);
       double res2 = multi(5,3);
                                                       double res2 = multi(5,3);
6
                                                6
     }
                                                    }
7
                                                7
8
                                                8
     //&begin [FeatureTestScenario3]
                                                     //&begin [FeatureTestScenario3]
9
                                                9
     private static int plus(int i, int j)
                                                    private static int plus(int i, int j)
                                               10
        {
                                                        {
       int tmp1 = i;
11
                                                11
                                                       return i + j;
       int tmp2 = j;
12
                                               12
                                                    }
                                                    //&end[FeatureTestScenario3]
       int tmp = tmp1 + tmp2;
13
                                               13
       return tmp;
14
                                                14
                                                    //&begin [FeatureTestScenario4]
     }
                                               15
     //&end[FeatureTestScenario3]
                                                     private static int minus(int i, int j
16
                                               16
17
                                                       ) {
                                                       int temp = 0;
     //&begin [FeatureTestScenario4]
                                               17
18
     private static int minus(int i, int j
                                                      temp = i - j;
19
                                               18
       ) {
                                               19
                                                       return temp;
       int temp = 0;
20
                                               20
                                                   //&end[FeatureTestScenario4]
21
       temp = i - j;
                                               21
       return temp;
22
23
   //&end[FeatureTestScenario4]
```

```
25
                                                  22
     //&begin [FeatureTestScenario3]
                                                       //&begin [FeatureTestScenario3]
26
                                                  23
     private static double multi(double x,
                                                       private static double multi(double x,
27
                                                  24
        double y) {
                                                           int y) {
       if (y==0) {
                                                  25
                                                          switch(y)
28
                                                                     {
         return x*0;
else if (y==1) {
                                                          case 0:
                                                  26
29
                                                            return x*0;
30
                                                  27
          return x*1;
                                                  28
                                                          case 1:
         else if (y==2) {
32
                                                  29
                                                            return x*1:
         x += Math.random() *2; //&line[
                                                  30
                                                          case 2:
33
       FeatureTestScenario4]
                                                            x = x + Math.random() *2; //&line[
                                                          FeatureTestScenario4]
          return x * 2;
34
                                                  32
35
         else if (y==3) {
                                                            return x * 2;
          return x*3;
                                                  33
                                                          case 3:
36
                                                            return x*3:
37
         else {
                                                  34
          return -1;
                                                          default:
38
                                                  35
39
       }
                                                  36
                                                            return -1:
40
                                                  37
                                                          }
41
     //&end[FeatureTestScenario3]
                                                  38
                                                        //&end[FeatureTestScenario3]
                                                  39
42
43 }
                                                  40
                                                  41 }
```

Listing 6.11: PartialCommit-Testapplication, HelloFeature.java, Scenario 3 - Unmodified Source Code

Listing 6.12: PartialCommit-Testapplication, HelloFeature.java, Scenario 3 - Modified Source Code

To perform the partial feature-based commit the following steps are taken for the currently existing approach (git add) and the new tool approach.

#### Steps git-add:

- 1. Call "git add —patch" with target to commit "FeatureTestScenario4"
- 2. Decide for hunk (method plus) in HelloFeature.java to be staged. Select 'n' (no).
- 3. Decide for hunk (method multi) in HelloFeature.java to be staged. Select 's' (split) as hunk is defined over whole method.
- 4. Decide for hunk (method multi, first sub-hunk) to be staged. Select 'n' (no).
- 5. Decide for hunk (method multi, second sub-hunk) to be staged. Select 'n' (no).
- Decide for hunk (method multi, third sub-hunk with "FeatureTestScenario4") to be staged. Select 's' (split) as hunk consists of two lines: one out of this feature and one not.

#### Steps partial commit tooling:

- 1. Call "git pfc -f FeatureTestScenario4 -m "Scenario 3 Feature FeatureTestScenario4 manual"
- 2. Add remaining changes which belong only to FeatureTestScenario3
- 3. Commit remaining changes which belong only to FeatureTestScenario3

- 7. Hunk cannot be split further. Limitations of "git add —patch" reached and full manual approach required.
  - (a) Save local copy of file HelloFeature.java
  - (b) Reset HelloFeature.java to git repository version
  - (c) Manual copy line-annotation of FeatureTestScenario4 to reset file
  - (d) Perform "git add HelloFeature.java"
  - (e) Perform "git commit -m "Scenario 3 Feature FeatureTestScenario4 manual"
  - (f) Copy local copy of file HelloFeature.java back to the original file
- 8. Call "git add ——patch" with target to commit "FeatureTestScenario3"
- 9. Decide for hunk (method plus) in HelloFeature.java to be staged. Select 'y' (yes).
- 10. Decide for hunk (method multi) in HelloFeature.java to be staged. Select 'y' (yes).
- 11. All changes are now in the staging area. Call "git commit -m "Scenario 3 Feature FeatureTestScenario3 manual"

7

### Discussion

The created solutions for an unified embedded annotation design (Chapter 4), feature extraction engine (Chapter 5), and partial feature-based commit (Chapter 6) show that the chosen approach can be used to realize them. For this research, the design science approach from Hevner et al. (2004) and Hevner (2007) was adjusted to the specific research needs. Difference to the original approach is the fact that for evaluation purposes, software implementations were used, which became afterward artifacts and needed to be evaluated themselves.

My answer to research question RQ1 "What can a unified and intuitive standard for embedded annotations look like?" is the in Chapter 4 provided the unified design of embedded annotations. The research of Ji et al. (2015), Andam et al. (2017), Entekhabi et al. (2019), and Krüger, Mukelabai, et al. (2019) has been analyzed in detail and the unified design been created over many weeks and iterations, discussing the benefits and drawbacks of the different solutions and balancing them. Several aspects with their benefits and drawbacks have been found, discussed and only the most promising variants added to the design in Chapter 4. Important is to conduct such discussions with persons with practical background and in collaboration with domain experts, such as my supervisor and co-supervisor, who know the field of feature development and have seen the usage of embedded annotations before. Especially the design of feature-to-file kept valid alternatives. The available options are either to create the mapping of feature-to-file via a specialized file or to create this mapping as code annotation in the file. Both solutions have thereby their strengths and further research is required to see if one alternative is preferable. The first alternative (specialized file) has the benefit to be able to annotate also non-source code files while renaming or moving the file requires an update in it. The second alternative (code annotation) has the benefit to resist file renaming and movement but does not apply to non-source code or generated files. Latter unless the code generator supports embedded annotations.

The created embedded annotation design is available in a shorted version as selfcontaining "Embedded Annotation Specification" and can be used independently of the overall research report. To use embedded annotations, the specification alone may serve as a starting point for technical persons and management, but without proper tooling, it is more unlikely that it will be implemented (confirming Andam et al. (2017)). With this unified design for embedded annotations, a central reference point is given and has the potential for tool development referring to it. This may avoid e.g. that derived tools diverge from each other (Entekhabi et al., 2019; Ji et al., 2015). Software feature traceability is for this research a factor which accompanies constantly this work. Ji et al. (2015) showed how to store and maintain traceability, and this approach is central to the unified design. Against the lazy approach (Ji et al., 2015) to document features after the development or omit feature documentation we follow the eager approach in an as flexible as possible way to annotate source code and other software artifacts. Independent from the design of the embedded annotation itself, the usage of embedded annotations is a challenge itself and brought up as uniform usage in the survey and was discussed in the research of Ludwig et al. (2019) and Abukwaik et al. (2018) that feature annotations are used in the right way.

The factor of proper tool support is one of the key findings of the survey for the embedded annotation design. Based on Java, as one of the most used programming language, a ready-to-use engine to extract feature locations is such a tool.

Different to Entekhabi et al. (2019) this implementation bases on an EBNF grammar. The different types of embedded annotations are described in a generic way, which allows the grammar to be a core element for future non-Java implementations. The usage of grammar is thereby more robust than using regular expressions but at the same time, a different way of definition. Defining a language grammar has some specialties which programmers typically would tackle differently and makes it more difficult to define them without experience. Tomassetti (2017) background to use EBNF grammar, as well as to highlight some general challenges with them, is helpful to define such a grammar.

Core attributes of the engine are ease of use and reusability. The challenge thereby is to define interfaces and return structures in a way to fulfill all kinds of project types. With the help of practitioners and researchers applying the engine, it must be further evolved and updated. Keeping in mind not to overload the engine's interfaces and keeping the implementation stable and reliable. Such an evolution might take a long time and several version iterations.

My answer to research question RQ2 "How can embedded annotations make an industrial use case more efficient?" is provided with the use case of partial feature-based commits, Chapter 6.

Having the ready-to-use engine based on Java allows a larger range of projects to include it in their Java code or import it as Java-libraries into it. One of such implementations and a further tool is the created tool for performing partial feature-based commits.

Partial commit is a known, even when not well known, technique that is supported by Git itself. The new approach is to use the idea of partial commits for feature development to allow a feature-driven development and feature isolated commits. Which might allow projects to avoid feature-branch handling and the management of them.

A lesson learned while developing a tool to interact with Git is that command line git-commands and how a git source code library is used out of source code differs in several aspects. This is especially the case for commands with user interaction, such as "git add --patch" or how data is received/interpreted in source code.

The tool for partial commit is an independent tool, i.e. not integrated into "git add" (Conservancy, 2020), and can keep with this its independent development from the main git development. It includes the FAXE engine for extracting embedded annotations and shows at the same time the possibility to perform partial feature-based commits and the beneficial usage of the engine.

Figure 4.14 (Chapter 4.10) has among other things shown some concrete potential use cases for embedded annotations. Beyond this list, further use cases are possible, such as feature management or synchronization. Another use case could be in the context of software product line or variant rich system to decide if a certain bug fix must be included in the own derived version.

### 7. Discussion

### Threats to Validity

With the decisions taken for this research and limitations in the potential scope of such a work, different limitations must be considered. The validity of the presented results depends on the as best as possible objective point of view taken for this analysis. In the following validity threats for *Construct validity*, *Internal validity*, *External validity*, and *Conclusion validity* are discussed.

It is not possible to avoid all threats, but important is to be aware of them and consider potential De-limitations. In general, to reduce the risk/effect of the limitations or at least decrease their impact, the following actions are taken. A regular meeting with the research group of the supervisor takes place where the progress is reported and the next steps are announced. The solutions will be available as opensource products which allow others to review and extend for their needs if necessary.

**Construct validity** This type of validity considers how the actual research questions have been addressed by this work and ensured to be answered.

First of all, the research questions have been used as core elements for the chosen methodology and based on this the results were presented. To ensure construct validity for RQ1 (unified design for embedded annotations) a set of design principles (Chapter 4.1) has been defined. Based on them the design description has been created and evaluated. For RQ2 (EA in industrial use case) a specific use case has been selected and scenarios have been defined to show the intended functionality.

**Internal validity** Internal validity covers the different factors, e.g. design principles, and how they relate to each other. When analyzing two factors the risk of an influencing third one is always present.

This threat mainly applies to the conducted survey. To avoid considering connections between the results, the complete survey results have been discussed with the supervisor and co-supervisor, which have high expertise in the domain.

**External validity** The goal of external validity is the generalizability of the results and to what extent they are valid in other technology/industry fields.

For RQ1 a survey with ten participants from different industries and in different job positions has been conducted. With the number of participants, one risk is to receive too specific requirements and therefore not being representative of the general field of software engineering. RQ2 has been selected based on the need of a specific company and the general lack in research for such tooling. Even when the topic of partial commits is supported by several tools, the limited knowledge and new way of working with features might limit the applicability of the current solution. For the current situation, the tools have not been applied by practitioners, and by relating to one company, the integration into their IT setup and way of working might not be generalized.

**Conclusion validity** Conclusion validity covers the situations where researchers might see relations where none are present and miss relations which are present. This research has been discussed in detail between all project members and the supervisor's research group. Nevertheless, relations and bias is unavoidable, even with domain expert knowledge. For the survey in RQ1 the participants had the possibility to provide qualitative answers and show potential links and relations. As the boundaries for RQ2 are set, relations between this tool and others, or its environment, might exist. This is addressed in flexible interfaces and an extendable software architecture.

### Conclusion

For this research, we used the eager approach to document proactive features in source code. The documented features are a good way to re-use them to trace feature locations. This feature traceability can be achieved with embedded annotations that co-evolve with source code.

Tracing of feature locations is a field in software engineering which will be more and more relevant for practitioners. Especially in safety-relevant fields, such as the certifying body USA-Federal-Aviation-Authority, or the Automotive SPICE process assessment, emphasizes is put on traceability between requirements, source code, and testing.

Niu et al. (2016) pointed out the purpose of traceability as a key factor for its success. The benefit of spending effort in traceability annotations must show early on and also, it must serve the person creating them. If this is not present, the creation and maintenance of traceability will not reach its full potential.

One of such techniques is the in this work analyzed notion of embedded annotations. Documenting features as close as possible to the software artifacts is an approach working on source code and specialized files and therefore known to developers. As embedded annotations are part of the source code, receiving a benefit from them is directly present for future work. With the situation of different definitions for embedded annotations, this work targeted and solved RQ1 "How can a unified and intuitive standard for embedded annotations look like?". The full design is present in this report and a compact form as a self-containing specification document. The evaluation of the design was conducted as an online survey with practitioners and confirmed thereby the general acceptance of embedded annotations.

Answering RQ2 "How can embedded annotations make an industrial use case more efficient?" is done in the context of partial commits. Considering the notion of embedded annotations, the created tool relies on extracting the locations of embedded annotations and performs a Git-commit on all changes for a given feature. The partial-commit-tool as well as the engine to extract embedded annotations (FAXE) are independent tools and can be used for practitioners and researchers in the future.

Beyond the concrete answers to the research questions of this thesis, it provides the scientific contributions to:

- Solves lack of unification of embedded annotations
- Empirically evaluation of unified embedded annotations design
- Feature location extraction engine FAXE on unified embedded annotation design

• Demonstration of FAXE on new use case partial feature-based commit for isolated feature development

This report closes the raised research questions, provides for embedded annotations a unified design, extraction engine, and based on them to perform partial featurebased commits. Potential future extensions, as well as future research, is shown in the next chapter.

# 10 Future Work

The results of this work may serve for a set of further research areas. Thereby touching a lot of topics which can be further developed by themselves or raise new research questions. This chapter discusses the most interesting questions to work on in the future directly or in an extended scope.

### Embedded Annotations Specification - Level 2

For the Embedded Annotations Design, Chapter 4, two levels of embedded annotations are defined. Level 2 is considering in addition to logical operator expressions as well as supporting a Full Hierarchy Model and is not covered by the current results and implementations. A detailed definition of the possibilities and exceptions of embedded annotations with these elements will make the approach more flexible and allow an easier combination with existing annotations, such as #IFDEF.

### FAXE engine extensions

While developing the FAXE engine to extract embedded annotations and apply it to the use case of partial commit, different fields of extension showed up. To learn more about the requirements which tools have on such an engine, it could be e.g. applied to existing open-source tools such as FeatureDashboard<sup>1</sup> or FeatureIDE<sup>2</sup>. Another internal field would be the implementation of configuration options for FAXE and to implement the interpretation of feature models. The current engine supports local directories. One next step might be the access to Git repositories and their history.

### Extended embedded annotation language support

While there is a set of functionalities that can be added to the engine and extend it, the current version is written in Java. This limits the scope of projects where it can be used. Further research on how to extend this engine for a programming language flexible/independent version of itself is required.

### Embedded Annotations without embedded annotations

Adding and maintaining embedded annotations requires to add markers (begin, end, line) into the source code. This additional content might be unwanted, e.g. with a

<sup>&</sup>lt;sup>1</sup>https://bitbucket.org/easelab/featuredashboard

<sup>&</sup>lt;sup>2</sup>http://www.featureide.com/

Clean Code Policy. Future research might be therefore directed into the field of how feature location could be done without embedded annotation markers. This could be e.g. reached with naming conventions for functions and variables.

### Usage of embedded annotations outside the technical scope

The focus of this work is how embedded annotations are technical to be realized and can be extracted. A further step to investigate is the usage of how to benefit from this information outside the technical scope, e.g. in requirements engineering or project management. With the usage of embedded annotations for general project purposes, their usage might be enforced with this.

### Tool support

One of the main outcomes of the conducted survey for the design of the embedded annotation is that without proper tool support, embedded annotations might not be used, or at least not to the extent they could. Tool development for embedded annotations might look at the following use cases:

- IDE plugin for automated embedded annotations completion
- Static analysis of broken annotation syntax
- Fail compilation if annotations are incorrect
- Support for refactoring, e.g. automation of feature file updates when files or folders are renamed/moved/deleted
- Recommender systems, e.g. to suggest feature annotations based on context and commits

### Challenges for embedded annotations in larger development teams

The benefit of embedded annotations is only provided when they are added to the project and maintained over time. Besides the awareness, the different project members must work in a similar way in which level and how embedded annotations are used. This is especially for distributed teams a challenge. It becomes even more relevant when different cultures and focus teams, e.g. platform development and customer teams, come together. Further research is required to understand these needs and propose how to tackle this challenge.

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# A Embedded Annotation Specification

### A.1 Embedded Annotation Definition by Authors

Summary of definitions for embedded annotations by different authors. Out of these differences this work formulates a common definition.

	.vp- folder	feature- folder in parent folder	feature- folder	feature- folder
Feature-to-Folder	featureName (" "featureName)*	featureName: folderName (,fold- erName)*	featureName (\n featureName)*	featureName
	.vp-files	.feature- file	.feature- file	feature- file .vp-files
Feature-to-File	fileName(,fileName)* featureName (,featureName)*	featureName: file- Name(,fileName)*	featureName: file- Name(,fileName)*	fileName featureName
	& line[LPQs]	&line[LPQ] 	&line[LPQ] 	&line[LPQ] 
	 &end[LPQs]	kend[LPQ]	kend[LPQ]	 &end[Feature]
Fragment Annotations	&begin[LPQs]  (LPQ List)	&begin[LPQ]  (single LPQ)	&begin[LPQ]  (single LPQ)	&begin[Feature]  (single Feature)
LPQ	Yes	Yes	oN	um- speci- fied
	unspeci- fied	feature- model.cfr		feature- model.cfr .vp-project
Feature Model	Clafer	Clafer	Clafer	Clafer
	Ji et al., 2015	7102 ,.ls tə msbnA	Entekhabi et al., 2019	Krüger, Mukelabai, et al., 2019

 Table A.1: Overview Embedded Annotation Concepts

### A.2 EBNF Grammar Definitions

### A.2.1 Feature Hierarchy Model Grammar

 $\langle SPACE \rangle ::= ', * \to skip$  $\begin{array}{ll} \langle \textit{KEYWORDS} \rangle ::= & ('or' \\ & | & 'xor' \\ & | & '?') -> \text{skip} \ // \ \text{Skip Clafer Keywords} \end{array}$  $\langle projectHierarchy \rangle ::= \langle FEATURENAME \rangle (\langle subfeature \rangle)^*$  $\langle subfeature \rangle ::= (' n' ' t' \langle FEATURENAME \rangle) \langle subsubfeature \rangle^*$ (subsubfeature) ::= (' n' ' t t' (FEATURENAME)) (subsubsubfeature)\* $\langle subsubsubfeature \rangle ::= (' \n')$ ' t t' $\langle FEATURENAME \rangle$ )  $\langle subsubsubsubfeature \rangle^*$  $\langle subsubsubslasheature \rangle ::= ('\n', '\ \langle subsubsubsubslasheature \rangle *$ ' t t'' $\langle FEATURENAME \rangle$ )  $\langle subsubsubsubsubsubsubfeature \rangle^*$  $\langle subsubsubsubsubfeature \rangle ::= (' \n')$  $\langle subsubsubsubsubsubsubfeature \rangle^*$  $\langle FEATURENAME \rangle ::= ([A-Z] +$ [a-z]+[0-9]+"∖"+)+

Grammar A.1: EA, Full EBNF of Simple Hierarchy Model

### A.2.2 Source Code Annotations Grammar

 $\langle marker \rangle :::= .?? (\langle beginmarker \rangle \\ | \langle endmarker \rangle \\ | \langle linemarker \rangle \rangle^* \\ \langle SPACE \rangle :::= '`* -> skip ; // ignores all more than one-time space characters \\ \langle beginmarker \rangle :::= '\& begin' '`* \langle parameter \rangle \\ \langle endmarker \rangle :::= '\& cha' '`* \langle parameter \rangle \\ \langle linemarker \rangle :::= '\& line' '`* \langle parameter \rangle \\ \langle parameter \rangle :::= '(``* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(` '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(' '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(* '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(* '* \langle lpq \rangle (`+ \langle lpq \rangle)^* `**')' .*? \\ | '(* '* \langle lpq \rangle (`**', `** \langle lpq \rangle)^* `**')' .*? \\ | (lpq \rangle :::= \langle FEATURENAME \rangle ('::' \langle FEATURENAME \rangle)^* \\ \langle FEATURENAME \rangle :::= ([A-Z] + \\ | [0-9] + \\ | `.'+ \\ | '(`+)+ // restriction from Clafer and follow their definition \\ \langle OTHER \rangle :::= .-> skip // allows fuzzy parsing \\ \end{cases}$ 

Grammar A.2: EA, Full EBNF of Annotation Markers

### A.2.3 Feature-to-File Annotations Grammar

 $\langle SPACE \rangle ::= ', * -> skip // ignores all more than one-time space characters$  $\langle WS \rangle$  ::=  $[]^{+} -> skip$  $\langle fileAnnotations \rangle ::= (\langle fileAnnotation \rangle)^*$  $\langle fileAnnotation \rangle ::= \langle fileReferences \rangle ::? : \langle n' + \langle lpqReferences \rangle$  $\langle fileReference \rangle ::= (\langle fileReference \rangle (' '* \langle fileReference \rangle)^* ' '*) \\ | (\langle fileReference \rangle (' '* ', ' '* \langle fileReference \rangle)^* ' '*)$ (fileReference) ::= (', <fileName> ')  $| (\langle fileName \rangle)$  $\langle fileName \rangle ::= \langle STRING \rangle$  $| (\langle STRING \rangle :: \langle STRING \rangle)$  $\langle lpqReferences \rangle ::= (\langle lpq \rangle (', '* \langle lpq \rangle)^*, '*) \\ | (\langle lpq \rangle (', '*, ', ', '* \langle lpq \rangle)^*, '*)$  $::= \langle STRING \rangle (::: \langle STRING \rangle)^*$  $\langle lpq \rangle$  $\langle STRING \rangle ::= ([A-Z] +$ | [a-z]+'''+)+ // -> restriction from Clafer and follow their definition

Grammar A.3: EA, Full EBNF of feature-to-file Mapping

### A.2.4 Feature-to-Folder Annotations Grammar

Grammar A.4: EA, Full EBNF of feature-to-folder Mapping

### Survey Data Evaluation Embedded Annotation Specification

This chapter contains the unmodified results of all survey participants. Starting with the given survey introduction and followed by sub-chapters per survey candidate. The only information omitted is the optional given contact email-address of the participant.

### B.1 Survey of Embedding Annotations in Code

We are a group of researchers at Chalmers/Gothenburg University, studying the usage of lightweight techniques to trace features in source code. Knowledge of feature locations has several benefits. e.g. saving time, keeping an overview understanding of source code and connecting code to the business side.

The goal of this survey is to receive feedback on the proposed specification for embedded annotations for feature location in source code. Your feedback helps to improve the proposed design for embedded annotations in the industry and your own usage. The survey will take no more than 15 minutes.

The survey consists of two parts. The first part is to read the specification document which explains and illustrates the design of embedded annotations. In the second part, which is this questionnaire, we ask closed and open questions to evaluate the design of embedded annotations. We suggest to briefly skim through the questionnaire first.

Your data will be treated confidentially. Your ratings will only be published in aggregated form and any open-ended response will be shown in an anonymized way. We are pleased to send you a summary of the results of this survey.

Thank you for your contribution! If you have any questions please let us know.

 $To bias \ Schwarz \ \texttt{-tobschw@student.chalmers.se}$ 

Wardah Mahmood - wardah@chalmers.se

Thorsten Berger - thorsten.berger@chalmers.se

Computer Science and Engineering, Chalmers |University of Gothenburg \*Required

### Our notation for embedded annotations

Please read the specification document:

https://drive.google.com/file/d/1gpSxDrXdW7vOxWc19WqHLaE5gUxzuzFZ/view? usp=sharing

To which extent do you agree with the following statements?

### **B.2** Feedback Participants

### Feedback Participant 1

### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

\_

### The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

### Please elaborate:

-

### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

### Please elaborate:

-

### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

\_

### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

-

### Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

-

### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

· ·	· · · · · · · · · · · · · · · · · · ·						
	Completely disagree	Disagree	Neutral	Agree	Completely agree		
Rating:	0	0	0	•	0		

### Please elaborate:

-

## The notion is robust during software evolution and maintenance. \*

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

### The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

-

It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

\_

What do you think the biggest benefits of using embedded annotations are?

-

### Any suggestions for improvements?

-

### Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

### Feedback Participant 2

### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0
-

#### The notation is intuitive. \*

(e.g. How natural it feels to use it)

( 0		/			
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

The set of keywords (begin, end, AND, etc.) are minimal and similar to other languages and easy to understand.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

The simplistic design of notations allows developers to learn the framework easily

#### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

It's easy to apply notations in different stages of software development. Ideally the notations should be applied as early as possible in a project to obtain the maximum value feature locating brings when the project ages. However, even for legacy projects, I believe that only by spending some effort on feature-to-folder and feature-to-file mappings, it would help a great deal in reducing the efforts of locating features for developers, especially newcomers.

#### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

The ability of annotating down to source code level with logical expressions provides the maximum flexibility. I could not think of any uncovered use cases yet.

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

### Please elaborate:

\_

#### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

<b>N</b>	0		/		
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

The convention is clear and concise so with a well-structured code base where features aren't interleaving one another, the effort of annotating is small.

## The notion is robust during software evolution and maintenance. \*

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

~		/			
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Feature-to-folder: since folders are less subjected for change, annotations will stay relatively consistent over time. If a folder is renamed/moved/deleted, the mapping must be updated accordingly. Without proper tooling, this might cause an integrity problem. I'd suggest that we keep the mapping in the same folder instead of from the parent folder as you do now. More specifically, a folder shall have an annotation file which defines all features included within this folder. Feature-to-file: similarly to feature-to-folder, it's subjected to the same problems. I'd suggest in a similar fashion, we keep the annotations on the file headers or class headers instead of a separate file which will be outdated the moment the file is renamed/moved/deleted. An alternative to the above suggestion is additional tooling which detects discrepancy between the feature files and the actual files/folders. Lastly, feature-to-code is advantageous when the files are copied/moved as the annotations will be replicated. However, in case of source code modification, there will be cases where annotations will become invalid if there's no supported tooling around it. For example, one of the annotation "begin" or "end" is accidentally removed during refactoring. Another example is during refactoring, the annotations might be extracted into different methods without being noticed, as a result, the syntax might still be valid but the annotated piece of code no longer reflects the real feature.

## The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

It largely depends on the codebase. If it's written in a way that features are interleaving, extensively using feature annotations might require large effort to maintain, especially when it comes to feature-to-code mapping. However, I think it's even a sign of code-smell if one needs to employ feature-to-code at all. In general, featureto-folder and feature-to-file should be enough in an object-oriented code base which strictly follows programming principals.

## It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

Only when there's sufficient supported tooling, developers will incline to use and maintain feature annotations. Some examples are:

- Static analysis of broken annotation syntax
- Fail compilation if annotations are incorrect
- Automation of feature file updates when files or folders are renamed/moved/deleted
- Suggest feature annotations based on context and commits
- IDE plugins, git plugins or separate installable tools

## What do you think the biggest benefits of using embedded annotations are?

The biggest benefit is linking requirements to code, thereby, speeding up the process of development. Nowadays, a lot of projects follow agile methodologies, requirements

are usually incomplete or constantly changing over the course of the project. Now if there's a change in requirement, the affected code path will be located instantly.

### Any suggestions for improvements?

I've written the suggested improvements in the sections above. I hope with additional tooling and some revision on the structure of feature-to-folder and feature-tofile, the framework will bring great benefit to the software industry.

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Project Manager

## Feedback Participant 3

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

## Please elaborate:

Reading code is harder with additional comments. For bug-fixing readability of code is a must. Also I think with the key goals of identifying features on code level can be easily achieved via architecture and code generation from architecture. I have seen this in ASIL-D and aviation SW projects, where dead-code is strictly not allowed and features are most visible on code level.

## The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

Many special characters are needed. I would not know how to grep them out of the source code.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

I would need more examples in order to understand. Special cases, like nesting, partial feature distribution, variant handling which are common in my area -> i would not know how to start.

#### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

\_

#### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Can't say without experience.

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

If there eas no feature handling before. This is a valid method to create it.

#### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

(			/		
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

The notion is robust during software evolution and maintenance.  $\ast$ 

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

With references (feature annotations) breaking features might be harder on one hand, on the othe hand managing the annotations adds complexity to documentation, making the documentation phase longer. When pressure towards deadline hit is applied during a project, documenation is always the trade off -> see also agile manifesto.

## The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

Unless automated and somehow derived from existing function names -> No. One way to solve this issue could be to create a annotation language with a regular grammatic (chomsky L3) which has the source code as input alphabet, the language as the automat, and the annotations as output alphabet.

#### It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	•	0	0	0	0

#### Please elaborate:

more documenation = more effort

## What do you think the biggest benefits of using embedded annotations are?

Generating a feature Report out of source code, without architecture

## Any suggestions for improvements?

show the complete annotation syntax in a table; show some regular expressions examples -> or how to create the feature reports compare it to architecture driven code creation (where features can be easily identified)

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Developer

## Feedback Participant 4

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

Useful, but perhaps not complete in all development environments. The variability describing the featuers might sometimes be outside of the source code, for example at checkout time (what to checkout), build time (perhaps this notation can be used there as well), load time, run time etc.

## The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Yes, but I don't think I fully understood the purpose of the clafer hierarchy from the document. Perhaps I just need more time to read about it.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

Seams to be simple, but can't say for sure until I have used it myself.

### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

\_

### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)Completely disagreeDisagreeNeutralAgreeCompletely agreeRating:00000

## Please elaborate:

It is hard say without testing it myself. For example I was thinking about features that spans many files and modules. Sometimes not everything is built at once. I don't know how flexible this is in this context. As the information is in comments, everything is lost at compile time.

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

I am not sure I understand this question.

#### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

## The notion is robust during software evolution and maintenance. $\ast$

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

Maybe, but I think this depends on the development model, environment etc. For example, how to document versions of features? Are a feature always compatible with everything else independent of versions? Of course, the goal is usually yes (?), but in reality maybe not. Is it notion rubust to having information in other files than source code? For example recipies to the checkout system? I don't know.

## The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

I should be negligible, but I was thinking about the parallell traceability to requirements etc? Is this replacing that, or should/could it be used in parallell without creating ambiguities.

## It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

I think yes - at least if features are an important part of the development organization.

## What do you think the biggest benefits of using embedded annotations are?

To keep the information about features close to the implementation itself. The disadvantage could be in those cases where you would like to separate problem- and solution space more. Maybe this is not a problem, I need to think about it.

### Any suggestions for improvements?

Develop a small example of the complete development workflow using the notion (and not only the code examples)

#### Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Principal Engineer

## Feedback Participant 5

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

-

## The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

-

## The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

\_

### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

-

## Using the annotation will avoid redundancies. $\ast$

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	٠	0

## Please elaborate:

\_

#### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

· · · · · · · · · · · · · · · · · · ·			/		
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

\_

## The notion is robust during software evolution and maintenance. $\ast$

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

-

# The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

-

## It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	•	0	0	0	0

## Please elaborate:

In my opinion, this would require a lot of user-friendliness: e.g., support the annotations by means of coloring within an IDE like eclipse as depicted in the figures (maybe this already exists?), GUIs with file- and folder-choosing dialogs for your mappings, etc.

# What do you think the biggest benefits of using embedded annotations are?

It offers arbitrarily fine-grain mappings

## Any suggestions for improvements?

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

## Feedback Participant 6

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

\_

I wouldn't put in more comments or notation about features in the code if I didn't feel like I needed to, the code should be self explanatory from the start. I like python because of its simplicity/pseudo like syntax. Maybe a java developer could appreciate these notations more.

#### The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

If it's not part of the original syntax I wouldn't say it's intuitive to use.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

Maybe, I would need to try it myself to give an honest opinion.

## The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

It's all about being agile nowadays so I don't see a developer using this if it's not very late iteration of a project that focus on reusability and robustness.

## The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

### Please elaborate:

I haven't tried it so I can't say... But I think software updates will break the notation after a while.

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

## Please elaborate:

I think it might be a redundancy to use it from the start.

## The notation is succinct. \*

(I.e. the additional writing effort is minimal)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

## Please elaborate:

I think adding any other code than necessary is bad practise.

XXIV

## The notion is robust during software evolution and maintenance. \*

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

		/			
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

I think it's safe to removing code and edit folders since any developer will hot reload his/her software after any changes.

## The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

-

## It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	•	0	0	0	0

#### Please elaborate:

If it's not part of the original syntax in java so I don't think it will be easy to convince developers to use it.

## What do you think the biggest benefits of using embedded annotations are?

It may give a new developer a better chance to understand someone else's code.

## Any suggestions for improvements?

I would want a clear example of what you mean by feature. "Feature A distinct functional or non-functional attribute of a software product.1" this quote is really abstract for a common developer. And since I'm in data science I associate feature with something else.

I would also shorten the questionnaire by at least 3 questions. Then I would feel more obliged to answer the questions more thoroughly.

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Data Engineer

## Feedback Participant 7

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

It's good to standardize feature annotations (i.e., have a common understanding).

## The notation is intuitive. \*

(e.g. How natural it feels to use it)

		/			
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

It is close to what we know from #ifdef's. So, yes, it should be intuitive to the majority of (C) programmers.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

## Please elaborate:

Very limited set of keywords (i.e., should be easy to learn)

XXVI

### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

Only with appropriate tool support (e.g., code completion). Otherwise, it could be cumbersome to add.

#### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

1. The level of granularity is not clear to me. Which AST elements can be annotated. From the examples I see that statements are supported. What about more fine-grained annotations such as individual switch cases, parameter declarations, etc.? What about elements that are non-optional in the AST, such as type and expressions?

If you just parse text: how do you enforce syntactic correctness?

2. What about feature combinations that are not possible according to the feature model (i.e., having two features selected in the annotation and then making them alternative to each other in the feature model)? I know we just talk notation here, but the question is whether there should be support for it? I don't know ;)

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Compared to what?

#### The notation is succinct. \*

(I.e. the additional writing effort is minimal)

\[	0		/		
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

Only with appropriate tool support. Otherwise, I wouldn't use it.

## The notion is robust during software evolution and maintenance. $\ast$

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

Hm, renaming files will break it. Also renaming features... Although this is not an issue with notation itself. For instance, if the notation is implemented in a projectional editor or in a textual editor with automated refactorings, we could avoid these issues. Nevertheless, I wouldn't say the "notation" is robust...

### The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	•	0	0	0

#### Please elaborate:

Cf. to answer for "The notation is succinct. \*".

### It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

#### Please elaborate:

You could be more precise here. Who are the developers using it? Web developers, embedded software developers, etc.? The latter is very hard to convince (since #ifdefs are already in place).

## What do you think the biggest benefits of using embedded annotations are?

Being able to trace your features is a big plus.

XXVIII

### Any suggestions for improvements?

IMO there should be a more straightforward way for feature mappings, e.g., I don't understand why we need to distinguish files and folders. From a developer's perspective it should be easy to just include/exclude mappings of folders and files. Maintaining two files could be too cumbersome.

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Engineering Manager

## Feedback Participant 8

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

fast finding of features in the source code

#### The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

-

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

-

## The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

-

## The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

-

## Using the annotation will avoid redundancies. $\ast$

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

depends on the discipline of the developer when assigning the parameter names

## The notation is succinct. \*

```
(I.e. the additional writing effort is minimal)
```

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

-

## The notion is robust during software evolution and maintenance. $\ast$

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

-

The additional effort of using annotations during programming is negligible.  $\ast$ 

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

### Please elaborate:

-

It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

Please elaborate:

-

What do you think the biggest benefits of using embedded annotations are?

-

Any suggestions for improvements?

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Director

## Feedback Participant 9

## The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

It may especially be useful for linking SW requirements with corresponding code part, where such code parts spread over sources files and over source file contents.

### The notation is intuitive. \*

(e.g. How natural it feels to use it)

( 0		- )			
	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

-

## The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

This annotation concept looks very flexible and hence I would expect that a project planing to use it should initially agree e.g. schemes and structures of how to identify features, sub-features etc, up to which level, naming conventions etc. Also important

XXXII

seems to be the associated tool(s), which extract and process the annotations and represent the extracted information in a user friendly way.

### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

-

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Not yet quite clear to me - the actual annotation syntax is very compact.

## The notation is succinct. \*

(I.e. the additional writing effort is minimal)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

## Please elaborate:

-

## The notion is robust during software evolution and maintenance. $\ast$

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

Much might depend on the discipline of the involved SW developers. In case of big and larger organizations and changing teams and responsibilities certain code

parts are often maintained by different people with different experiences, know-how, ways of working, cultural differences and so on. The added value of the annotations might depend on a consistent usage over time and among different people as much as possible. Thus, it may depend on correspondingly chosen SW development process aspects and their consistent application. (This however is not a topic specific for this annotation scheme.)

# The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

### Please elaborate:

I would assume that initially a "getting used to" process is required - SW developpers will have to keep in mind using the annotations consistently. This may be similar to the readiness to add to source code "sensible" and "readable" and "understandable" comments in general. Once a certain mindset is achieved and mentally accepted I indeed think that adding such annotations may become a "natural" habit.

### It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

Would be easy to convince me at least. :-)

## What do you think the biggest benefits of using embedded annotations are?

If used and applied consistently over the lifetime of the related SW parts (from the beginning) and if related tool(s) are available to easily extract the annotated information and present it to the user, then the annotation scheme may indeed be really helpful in order to quickly identify source code parts associated with certain features. This may be especially helpful e.g. for bug fixing tasks, for effort estimation and implementation tasks related to new of changed features.

#### Any suggestions for improvements?

Have you already had a closer look at how the information, which is generated using this annotation scheme, may be represented to potential users, and how users then may actually work with it? This and potential initial experiences might be

XXXIV

great information for future interested users of this scheme. Potentially also some best practice recommendations regarding feature granularity and structuring might round up the description.

### Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Developer

## Feedback Participant 10

#### The notation is useful. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

#### Please elaborate:

The annotations Importance increase with size and complexity of the development project

#### The notation is intuitive. \*

(e.g. How natural it feels to use it)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

#### Please elaborate:

The annotation is based on in software development commonly used keywords and syntax. Hence, it is easy to learn and to work with. Furthermore it is compact so that it does not increase the length of the source code.

#### The notation is easy to learn. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

See answer above.

### The notation is easily applicable. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

As single developer the annotation is simple to use. The applicability of the annotation to be used in multidisciplinary and distributed development team should be investigated.

### The notation is flexible to use. \*

(imagine the contexts in which you want to use it, do you think its flexible to use?)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

### Please elaborate:

The annotation is independent of the context or development problem. Hence it can be applied in a large variety of software development projects.

## Using the annotation will avoid redundancies. \*

(i.e. Requires to write more annotations than necessary for mapping assets to features)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	•	0

## Please elaborate:

It will not avoid redundancies by itself, but it can support the developer to identify those.

## The notation is succinct. \*

(I.e. the additional writing effort is minimal)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	0	0	•

## Please elaborate:

The annotation syntax is very compact and is therefore efficient to be written.

XXXVI

## The notion is robust during software evolution and maintenance. \*

(I.e. as many annotations as possible survive the evolution, e.g. moving folders/files, removing code, and editing code etc.)

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

That depends a lot on the discipline of the developer. Here it is the risk that with changing source code the annotations get outdated.

# The additional effort of using annotations during programming is negligible. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

I would say it is worth the effort, but it requires still time and concentration to write high quality annotations.

## It will be easy to convince developers to use it while programming. \*

	Completely disagree	Disagree	Neutral	Agree	Completely agree
Rating:	0	0	•	0	0

## Please elaborate:

Typically the first thing a developer does is to get the source code working. After this is achieved the developer should improve code quality and documentation. In practice this is the part which lacks on quality. The advantages of this method need to be experienced by the developers to get acceptance.

# What do you think the biggest benefits of using embedded annotations are?

Achieving overview of the developed features in the project as well as motivating the developer to think about the written code in more detail resulting in improved code quality

#### Any suggestions for improvements?

It would be interesting to see the benefits of this method with respect to small-/medium/large development projects.

## Participant information

We would ask you to provide your name and email for verification and analysis (e.g., to identify duplicates), to be informed about the study results, and in case of questions we might have. Hint: Your information is voluntary.

# Please add your job title. (e.g. Developer, Tester, SW-Architect, Project Manager)

Project Manager

С

## **Partial Commit Evaluation**

## C.1 Scenario 1 - Adding New Assets to an Existing Feature

Steps for "git add --patch" on the left side and the new tool on the right side.



(a) Git add --patch

(b) Partial Commit Tool

Figure C.1: Tool Evaluation Partial Commit, Scenario 1, Changes to Commit



(a) Call "git add ——patch" and Add Hunk in HelloCommit.java



(b) Call Partial Commit Tool

Figure C.2: Tool Evaluation Partial Commit, Scenario 1, Tool Execution



**Figure C.3:** Tool Evaluation Partial Commit, Scenario 1, git-add for Hunk in HelloCommitTest.java



Figure C.4: Tool Evaluation Partial Commit, Scenario 1, git-commit for Staged Changes



(a) Call git add --patch

(b) Call Partial Commit Tool

Figure C.5: Tool Evaluation Partial Commit, Scenario 1, git-log After Tool Execution



(a) Call git add --patch



(b) Call Partial Commit Tool, linebreaks highlighted due to tool internal handling

Figure C.6: Tool Evaluation Partial Commit, Scenario 1, git-diff for New Commits

## C.2 Scenario 2 - Evolution of Source Code in Embedded Annotation and Base Source Code

Steps for "git add --patch" on the left side and the new tool on the right side.



(a) Git add --patch

(b) Partial Commit Tool

Figure C.7: Tool Evaluation Partial Commit, Scenario 2, Changes to Commit



(a) Call "git add ——patch" and Skip Hunk in HelloPartial.java



(b) Call Partial Commit Tool

Figure C.8: Tool Evaluation Partial Commit, Scenario 2, Tool Execution



**Figure C.9:** Tool Evaluation Partial Commit, Scenario 2, git-add for Hunk in HelloCommitTest.java



Figure C.10: Tool Evaluation Partial Commit, Scenario 2, git-commit for Staged Changes



§ git diff
diff -git dirf
dirff -git dirff.
dirff -git dirff.
dirff -git dirff.
dirff -git dirff.
dirff.-git dirff.-git dirff.
dirff.-git dirff.-git dirff.-git dirff.-git dirff.
dirff.-git dirff.-git dirff.-git dirff.
dirff.-git diff.-git dirff.-git diff.-git dirff.-git dirff.-g

(a) Git add --patch

(b) Partial Commit Tool

MINGW64:/c/Users/Tobias/git/PartialCommitTestapplication6

**Figure C.11:** Tool Evaluation Partial Commit, Scenario 2, Non-feature Changes Unmodified



(a) Git add --patch



nitTestapplication6 (m

(b) Partial Commit Tool

MINGW64:/c/Users/Tobias/git/PartialCommitTestapplication6

Figure C.12: Tool Evaluation Partial Commit, Scenario 2, git-log After Tool Execution





(a) Git add --patch

(b) Partial Commit Tool

Figure C.13: Tool Evaluation Partial Commit, Scenario 2, git-diff for New Commits
## C.3 Scenario 3 - Refactoring Existing Structural Code Within a Feature

Steps for "git add --patch" on the left side and the new tool on the right side.





(a) Git add --patch

(b) Partial Commit Tool

**Figure C.14:** Tool Evaluation Partial Commit, Scenario 3, Changes to Commit, Feature FeatureTestScenario4



(a) Call "git add —patch" and Skip Hunk in HelloFeature.java



(b) Partial Commit Tool

**Figure C.15:** Tool Evaluation Partial Commit, Scenario 3, Tool Execution, Feature FeatureTestScenario4



**Figure C.16:** Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFeature.java



**Figure C.17:** Tool Evaluation Partial Commit, Scenario 3, Git Skip Hunk in HelloFeature.java



**Figure C.18:** Tool Evaluation Partial Commit, Scenario 3, Git Skip Hunk in HelloFeature.java



**Figure C.19:** Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFeature.java



Figure C.20: Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk rejected



**Figure C.21:** Tool Evaluation Partial Commit, Scenario 3, Manual Workaround for Rejected Hunk Split



(a) Call git add --patch



(b) Call Partial Commit Tool

**Figure C.22:** Tool Evaluation Partial Commit, Scenario 3, Changes to Commit, Feature FeatureTestScenario3



(a) Call "git add ——patch" and Add Hunk in HelloFeature.java



(b) Call Partial Commit Tool

**Figure C.23:** Tool Evaluation Partial Commit, Scenario 3, Tool Execution, Feature FeatureTestScenario3



**Figure C.24:** Tool Evaluation Partial Commit, Scenario 3, Git Split Hunk in HelloFeature.java



**Figure C.25:** Tool Evaluation Partial Commit, Scenario 3, git-commit for Staged Changes



(a) Git add --patch

(b) Partial Commit Tool

**Figure C.26:** Tool Evaluation Partial Commit, Scenario 3, git-log After Tool Execution



(a) Git add --patch



(b) Partial Commit Tool

**Figure C.27:** Tool Evaluation Partial Commit, Scenario 3, git-diff for New Commits of Feature FeatureTestScenario4





(b) Partial Commit Tool

**Figure C.28:** Tool Evaluation Partial Commit, Scenario 3, git-diff for New Commits of Feature FeatureTestScenario3